Form **990**

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ► Do not enter social security numbers on this form as it may be made public. ► Information about Form 990 and its instructions is at www.irs.gov/form990. 2016

OMB No. 1545-0047

Open to Public Inspection

| Α | For the 2 | 016 calen | dar year, or ta | x year begin | ning | | , 2016, : | and endir | ng | | | , | | |
|---------------------------|----------------------------------|--|---|---------------------|---|-------------------------------------|------------------------------------|----------------|---------------|-------------------------------|---------------|---------------------|-------------------------|--|
| В | Check if app | licable: | C Name of organ | nization Gro | undswell | Interna | ational, | Inc. | | D Employ | /er ident | ification number | | |
| | X Addres | s change | Doing busines | | | | | | | 27- | 1493 | 841 | | |
| | Name | change | Number and s | treet (or P.O. box | k if mail is not delive | ered to street add | dress) | Room/ | suite | E Telepho | one numb | per | | |
| | Initial re | eturn | 1875 Conr | necticut | Ave. | | | | | (20 | 2) 8 | 32-9352 | | |
| | Final ret | urn/terminated | City or town, s | tate or province, | country, and ZIP or | foreign postal c | ode | | | | | | | |
| | Amend | led return | Washingto | on | | | DC | 20009- | -6046 | G Gross r | eceipts | \$1,929,36 | 2. | |
| | Applica | ation pending | F Name and add | | officer: | | | | | a group return | | | | |
| | | | Steve Bresc | ia 1875 Con | nnecticut Ave | Washing | aton DC | 20009-6046 | H(b) Are all | subordinates attach a list. (| included | ? Ye | s No | |
| T | Tax-exer | npt status | X 501(c)(3) | 501(c) (|) (ins | | 4947(a)(1) or | 527 | It 'No,' | attach a list. (| see instr | uctions) | | |
| J | Websit | - | oundswell | | tional.or | a, T | (), () | | H(c) Group | exemption nu | mber 🕨 | • | | |
| K | | rganization: | X Corporation | Trust | Association | Other ► | LY | ear of formati | () | · · | | egal domicile: D | C | |
| | | Summar | | | | | 1 | | 200 | <u> </u> | | | <u> </u> | |
| | | | e the organiza | tion's missior | n or most signif | ficant activiti | es: Gr | oundsw | ell In | ternat | iona | l streng | thens | |
| a | | | mmunities | | | | | | | | | | | |
| Activities & Governance | | | | | | | | 4 | | | | | | |
| -ue | | | | | | | | | | | | | | |
| ove | 2 Ch | eck this bo | x 🕨 🚺 if the | organization | discontinued i | its operation | s or disposed | d of more t | han 25% c | of its net as | ssets. | | | |
| ۍ سر | | | ting members o | | | | | | | | 3 | | 8 | |
| ŝ | | | lependent votin | | | | | | | | 4 | | 8 | |
| vitie | | | of individuals e | | | | · · · | | | | 5 | | 9 | |
| vct iv | | | of volunteers (e d business reve | | • / | | | | | | 6 7a | | 9 | |
| ٩ | | | business taxat | | | | | | | | 7a 7b | | 0. | |
| | DINC | t uniciated | business taxat | | 5111 6111 550-1 | I, III O - I I | | | | rior Year | 10 | Current | | |
| | 8 Co | ntributions | and grants (Pa | rt VIII. line 1 | n) | | | | | L, 372, 4 | 02 | | 0,233. | |
| Revenue | | | ice revenue (Pa | | | | | | | | 500. | ±,25 | 5,255. | |
| ver | | | come (Part VIII | | | | | | | | 231. | | 134. | |
| Å | | | e (Part VIII, colu | | | | | | | _ | | _ | 1,005. | |
| | | | - add lines 8 | | | | | | | L,378,1 | .33. | | 9,362. | |
| | | | milar amounts i | | | | | | | 866,1 | | | 7,734. | |
| | 14 Be | nefits paid | to or for memb | ers (Part IX, | column (A), line | e4) | | | | | | | | |
| | 15 Sa | | | | | | | | | 489,7 | 46. | 53 | 6,272. | |
| ses | 16a Pro | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) Professional fundraising fees (Part IX, column (A), line 11e) | | | | | | | | , | | | | |
| Expenses | h Tot | | ing expenses (| | | | | | | | | | | |
| Ă | 47 04 | | | | | | | 5,631. | | 015 0 | 25 | 2.0 | 0 501 | |
| | | | es (Part IX, col | | | | | | | 215,3 | | | <u>8,501.</u> | |
| | | | es. Add lines 13 | | | | | | | 1,571,2 | | | 2,507. | |
| r s | | venue less | expenses. Sub | Diraci ine 18 | irom ine 12 . | | | | | -193,0 | | End of | <u>6,855.</u> | |
| ance ance | 20 Tot | al accote (| Part X, line 16) | | | | | | Beginni | ng of Curren | | | | |
| Bal | 20 Tot | ``` | (Part X, line 2) | | | | | | | <u>531,9</u> 18,3 | | | <u>9,897.</u> 8,078. | |
| Net Assets Fund Balanc | 22 No. | | | , | | | | | | | | | | |
| | | Signatur | fund balances. | Subtract line | e 21 from line 2 | .0 | | | • | 513,6 | 022. | 70. | 1,819. | |
| | | 0 | | | | | | 1.4 .4 .1 | | | | | | |
| comp | er penalties o plete. Declara | ation of prepare | lare that I have exar er (other than officer |) is based on all i | including accompa information of which | nying schedules i preparer has a | s and statements, ny knowledge. | and to the be | st of my know | ledge and be | lief, it is t | rue, correct, and | | |
| | | | | | | | | | 0 | 7/18/1 | 7 | | | |
| Sig | nn | Signatu | re of officer | | | | | | | ate | | | | |
| He | re | Ster | ve Bresci | а | | | | | Execu | utive I | Dire | ctor | | |
| | | | print name and title | u | | | | | Incer | ucive i | | 0001 | | |
| | | Print/Type p | reparer's name | | Preparer's signat | ure | | Date | | Check | if | PTIN | | |
| Pa | id | Stephe | en C Corl: | iaa | Stephen | C Corli | aa | 07/18/ | /17 | self-employe | | P0133331 | 7 | |
| | eparer | Firm's name | | | LOMON, PL | | ~~ | 10,710, | ± / | | | - 0 - 0 - 0 0 0 0 1 | <u>.</u> | |
| | e Only | Firm's addre | | | E ST STE | | | | | Firm's EIN | > 20 | -2571677 | | |
| | , | | ASHEV | | | | NC 28803 | 1-1434 | | Phone no. | (828 | | 206 | |
| May | v the IRS | discuss this | s return with the | | own above? (| | | | | | | 77 14 | <u>No</u> | |
| | · | | eduction Act | | , | | , | | EA0101 11/1 | 6/16 | | | 90 (2016) | |
| | u | | | | | | | | | | | | | |

| Check 1 Briefly descri Groundsw systems | tement of Program Service Accomplishments of if Schedule O contains a response or note to any line in this Part III ibe the organization's mission: well_International_strengthens_rural_communities_to_bui | | x |
|--|--|--|--------------------------|
| 1 Briefly descri Groundsw systems | ibe the organization's mission: well _International _strengthens rural _communities to bui | | X |
| Groundsw systems | well International strengthens rural communities to bui | | |
| systems | | | 1 6 1 |
| | from the encourd we | Id healthy farming ar | <u>id_tood</u> _ |
| | from the ground up. | | |
| a D :14 | | | |
| 2 Did the organ | nization undertake any significant program services during the year which were not lister | d on the prior | |
| Form 990 or | 990-EZ? | Yes | X No |
| If 'Yes,' desci | ribe these new services on Schedule O. | | |
| 3 Did the organ | nization cease conducting, or make significant changes in how it conducts, any program | • services? Yes | X No |
| | ribe these changes on Schedule O. | | |
| Section 501(| e organization's program service accomplishments for each of its three largest program s (c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocate, if any, for each program service reported. | services, as measured by expense tions to others, the total expenses | 9 S . |
| 4 a (Code: |) (Expenses \$ 170,794. including grants of \$ 60,4 | 98.)(Revenue \$ | 0.) |
| <u>Groundsv</u> communit | rica Together with partner organizations in Burki well continued implementing a long-term regional pro ty resilience, farmer livelihoods, and environmental ng the spread of Farmer Managed Natural Regeneration | gram to improve | |
| other_lo its_part Challeng nutritio | ocally_adapted_ecological_farming_practicesAlso,_i tners_Burkina_Faso, Mali, and Senegal implemented th ge_initiative, which aims to integrate women's empow on_into_ongoing_agricultural_work_with_rural_communi ngthen_their_resilience_and_overcome_food_insecurity | n 2016, Groundswell he Global Resilience rerment and improved ties in dry-land are | and |
| | | | |
| | | | |
| Associat families headed h and 3,43 as soil farms. 1 average |)(Expenses \$ 316,320. including grants of \$ 219,1 Faso Groundswell works with the Burkinabe non-go tion Nourrir Sans Detruire (ANSD) to improve the liv s living in eastern Burkina Faso. Last year, over 10 by women) applied FMNR techniques to improve their 1 33 of these farmers applied complementary agroecolog conservation, water harvesting, and composting, to The collective impact of these actions has reduced f of 50% among participating families in Burkina Faso acres of land. | res of thousands of f 0,600 households (2,5 and and farm product gical practices, such further improve thei food insecurity by ar while regenerating | arm 554 ion, .r |
| | | | |
| is Ground We are w the ador Groundsw 9,083 ac more foo |)(Expenses \$ 182,271. including grants of \$ 126,9 - The Center for Indigenous Knowledge and Organizati ndswell's Ghanaian partner organization in the West working together to address the soil and food crisis ption of FMNR and complementary ecological farming p well and CIKOD worked with 1,200 households in 34 vi cres of land, increasing tree density by an average od and fuel wood production and increased abundance n turn is contributing to enhanced resilience to cli | onal Development (CI African regional pro in Ghana by spreadioractices. In 2016, llages to regenerate of 60%. This resulte of fodder for livest | ogram .ng |
| | | | |
| | am services (Describe in Schedule O.) \$ 783,699. including grants of \$ 591,137.) (Reve m service expenses ► 1,453,084. | enue \$ 0. |) |
| (Expenses 4 e Total prograr | | | |

Form 990 (2016) Groundswell International, Inc. Part IV Checklist of Required Schedules

| | | | Yes | No |
|------|---|------|-------|----------|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete | | | |
| • | Schedule A | 1 | Х | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? | 2 | Х | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I. | 3 | | х |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II | 4 | | х |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III | 5 | | х |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I. | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If 'Yes,' complete Schedule D, Part II</i> | 7 | | x |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III. | 8 | | х |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV | 9 | | x |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V | 10 | Х | |
| 11 | If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI. | 11 a | Х | |
| k | Did the organization report an amount for investments – other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII. | 11 b | | х |
| c | Did the organization report an amount for investments – program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII | 11 c | | х |
| C | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX | 11 d | Х | |
| e | Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X. | 11 e | | Х |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X | 11 f | Х | |
| 12 a | Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI and XII | 12a | Х | |
| k | Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional | 12 b | | х |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E | 13 | | Х |
| 14 a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | Х | |
| k | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV | 14b | Х | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV | 15 | Х | |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV | 16 | | х |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions) | 17 | | х |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II | 18 | | х |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III. | 19 | | Х |
| BAA | TEEA0103 11/16/16 | Form | 990 (| (2016) |

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Form 990 (2016) Groundswell International, Inc. Part IV Checklist of Required Schedules (continued)

| га | | | Yes | No |
|------|---|------------|---------------|-------|
| 20a | Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H | 20a | 162 | X |
| b | If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II</i> | 21 | | X |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III | 22 | | x |
| 23 | Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete Schedule J. | 23 | | x |
| 24 a | a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No, 'go to line 25a | 24a | | x |
| I | b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24a 24b | | 21 |
| (| c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| (| d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? | 24d | | |
| 25 a | a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I | 25a | | Х |
| I | b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L. Part I | 25b | | х |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? | 26 | | x |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L, Part III | 27 | | x |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| ä | A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV | 28a | | Х |
| I | A family member of a current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV. | 28b | | х |
| (| An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV | 28c | | х |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M | 29 | | Х |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M | 30 | | х |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I | 31 | | Х |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II | 32 | | Х |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I | 33 | | Х |
| 34 | Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1 | 34 | | х |
| 35 a | a Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | Х |
| I | b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2 | 35b | | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2 | 36 | | Х |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI | 37 | | X |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O | 38 | х | |
| BAA | | Form | 990 (2 | 2016) |

Form **990** (2016)

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| Form | 990 (2016) Groundswell International, Inc. 27-149384 | 1 | P | Page 5 |
|---------|--|------|--------|--------|
| Par | t V Statements Regarding Other IRS Filings and Tax Compliance | | | |
| | Check if Schedule O contains a response or note to any line in this Part V | | | . 🗖 |
| | | | Yes | No |
| 1 a | a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | | | |
| k | Denter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming | | | |
| | (gambling) winnings to prize winners? | 1 c | | |
| 2 a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax State- | | | |
| | ments, filed for the calendar year ending with or within the year covered by this return 2a 9 | | | |
| k | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | 2 b | Х | |
| | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions) | | | |
| | a Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3 a | | Х |
| k | b If 'Yes,' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O | 3 b | | L |
| 4 a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a | | | x |
| | financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4 a | | |
| Ľ | b If Yes,' enter the name of the foreign country: ► | | | |
| _ | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). | _ | | v |
| | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5 a | | X X |
| | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5 b | | X |
| c | : If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? | 5 c | | L |
| 6 a | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization | | | 37 |
| | solicit any contributions that were not tax deductible as charitable contributions? | 6 a | | Х |
| k | If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6 h | | |
| 7 | Organizations that may receive deductible contributions under section 170(c). | 6 b | | |
| | | | | |
| а | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7 a | | Х |
| F | b If 'Yes,' did the organization notify the donor of the value of the goods or services provided? | 7 b | | |
| | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file | | | |
| | Form 8282? | 7 c | | Х |
| c | I If Yes,' indicate the number of Forms 8282 filed during the year | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7 e | | Х |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7 f | | Х |
| ç | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 | | | |
| - | as required? | 7 g | | |
| ŀ | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a | 7 6 | | |
| 8 | Form 1098-C? | 7 h | | |
| Ū | organization have excess business holdings at any time during the year? | 8 | | |
| 9 | Sponsoring organizations maintaining donor advised funds. | 0 | | |
| - | Did the sponsoring organizations make any taxable distributions under section 4966? | 9 a | | |
| | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 9b | | |
| 10 | Section 501(c)(7) organizations. Enter: | 3.5 | | |
| | Initiation fees and capital contributions included on Part VIII, line 12 | | | |
| | o Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b | | | |
| ۲ 11 | | | | |
| | Gross income from members or shareholders. | | | |
| | | | | |
| Ľ | o Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | | | |
| 12 a | a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12 a | | |
| | b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year 12b | | | |
| | Section 501(c)(29) qualified nonprofit health insurance issuers. | | | |
| | Is the organization licensed to issue qualified health plans in more than one state? | 13 a | | |
| Ŭ | Note. See the instructions for additional information the organization must report on Schedule O. | | | |
| ŀ | • Enter the amount of reserves the organization is required to maintain by the states in | | | |
| | which the organization is licensed to issue qualified health plans | | | |
| c | Enter the amount of reserves on hand | | | |
| 14 a | Did the organization receive any payments for indoor tanning services during the tax year? | 14 a | | Х |
| k | If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O | 14 b | | |
| BAA | TEEA0105 11/16/16 | Form | 990 (2 | 2016) |

| Part VI | Governance, Management, and Disclosure For each 'Yes' response to lines 2 through 7b below, and for |
|---------|---|
| | a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in |
| | Schedule O. See instructions. |
| | Check if Schedule O contains a response or note to any line in this Part VI |

| Sec | tion A. Governing Body and Management | | | | | | | | |
|------|--|-------|-------|------|--|--|--|--|--|
| | | | Yes | No | | | | | |
| 1 a | Image: Enter the number of voting members of the governing body at the end of the tax year | | | | | | | | |
| ŀ | | | | | | | | | |
| 2 | | | | | | | | | |
| 2 | officer, director, trustee, or key employee? | | | | | | | | |
| 2 | | | | | | | | | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | Х | | | | | |
| 4 | Did the organization make any significant changes to its governing documents | | | | | | | | |
| | since the prior Form 990 was filed? | 4 | | Х | | | | | |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | Х | | | | | |
| 6 | Did the organization have members or stockholders? | 6 | | Х | | | | | |
| 7 a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7 a | | х | | | | | |
| k | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7 b | | Х | | | | | |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | | | | | | |
| | a The governing body? | 8 a | Х | | | | | | |
| k | Each committee with authority to act on behalf of the governing body? | 8 b | Х | | | | | | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? <i>If 'Yes,' provide the names and addresses in Schedule O</i> | 9 | | х | | | | | |
| Sec | tion B. Policies (This Section B requests information about policies not required by the Internal Reven | ue C | ode.) |) | | | | | |
| | | | Yes | No | | | | | |
| 10 a | Did the organization have local chapters, branches, or affiliates? | 10 a | | Х | | | | | |
| | If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their | | | | | | | | |
| | operations are consistent with the organization's exempt purposes? | 10 b | | | | | | | |
| 11 a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11 a | Х | | | | | | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | | | | | | | |
| 12 a | Did the organization have a written conflict of interest policy? If 'No,' go to line 13 | 12 a | Х | | | | | | |
| t | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12 b | х | | | | | | |
| C | Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done | 12 c | х | | | | | | |
| 13 | Did the organization have a written whistleblower policy? | 13 | Х | | | | | | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | Х | | | | | | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | | | | | | |
| а | The organization's CEO, Executive Director, or top management official | 15 a | Х | | | | | | |
| | Other officers or key employees of the organization | 15 b | | Х | | | | | |
| | If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions). | | | | | | | | |
| 16 a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16 a | | Х | | | | | |
| k | If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16 b | | | | | | | |
| Sec | tion C. Disclosure | | | | | | | | |
| 17 | List the states with which a copy of this Form 990 is required to be filed | | | | | | | | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) a for public inspection. Indicate how you made these available. Check all that apply. | | | | | | | | |
| | Own website X Another's website X Upon request Other (explain in Schedule O) | | | | | | | | |
| 19 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available the public during the tax year. | e to | | | | | | | |
| 20 | State the name, address, and telephone number of the person who possesses the organization's books and records: | | | | | | | | |
| | Rhonda Devan1875 Connecticut Ave WashingtonDC20009(2) |)2) 8 | 332-9 | 9352 | | | | | |

27-1493841

| Form 990 (2016) Groundswell International, Inc. | 27-1493841 | Page 7 |
|--|------------------------------------|---------|
| Part VII Compensation of Officers, Directors, Trustees, Key Employees, Hig Independent Contractors | hest Compensated Employe | es, and |
| Check if Schedule O contains a response or note to any line in this Part VII | | |
| Section A. Officers, Directors, Trustees, Key Employees, and Highest Compe | ensated Employees | |
| 1 a Complete this table for all persons required to be listed. Report compensation for the calendar year e organization's tax year. | ending with or within the | |
| • List all of the organization's current officers, directors, trustees (whether individuals or organization compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid. | ons), regardless of amount of | |
| • List all of the organization's current key employees, if any. See instructions for definition of 'key e | mployee.' | |
| List the organization's five current highest compensated employees (other than an officer, directo who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more to organization and any related organizations. | | |
| • List all of the organization's former officers, key employees, and highest compensated employees of reportable compensation from the organization and any related organizations. | s who received more than \$100,000 | |
| • List all of the organization's former directors or trustees that received, in the capacity as a former organization, more than \$10,000 of reportable compensation from the organization and any related organization an | | |
| List persons in the following order: individual trustees or directors; institutional trustees; officers; key em employees; and former such persons. | ployees; highest compensated | |
| | | |

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| | | | | (C) |) | | | | | |
|--|--|-----------------------------------|---------------------------|-----------------|---|---------------------------------|--------|--|---|--|
| (A) Name and Title | | thar | n one b s both dire | an of actor/ | lo not check more ox, unless person an officer and a ctor/trustee) | | | (D) Reportable compensation from the organization | (E) Reportable compensation from related organizations | (F) Estimated amount of other compensation |
| | per week (list any hours for related organiza- tions below dotted line) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (W-2/1099-MISC) | (W-2/1099-MISC) | from the organization and related organizations |
| _(1) Jamie Wimberly Chair | <u>2.00</u> | x | | Х | | | | 0. | 0. | 0. |
| (2) Kathleen Earl Colverson, PhD Vice Chair | _1.00 | x | | Х | | | | 0. | 0. | 0. |
| (3) Perry Clutts Treasurer | _1.00 | x | | Х | | | | 0. | 0. | 0. |
| _(4)_Eileen_Oldag Secretary | <u>1.00</u> | X | | Х | | | | 0. | 0. | 0. |
| _(5)_Ross_Borja Int'l Council Rep. | _0.50 | x | | | | | | 0. | 0. | 0. |
| _(6)_Bernard_Guri IC Rep. | _0.50 | x | | | | | | 0. | 0. | 0. |
| _(7)_Muthusami_Kumaran Board Member | _ <u>0.50</u> | Х | | | | | | 0. | 0. | 0. |
| _(8)_Vance_Russell Board Member | _0.50 | X | | | | | | 0. | 0. | 0. |
| _(9)_Steve_Brescia Executive Director | 50.00 | | | Х | | | | 97,200. | 0. | 0. |
| (10) | | | | | | | | | | |
| (11) | | | | | | | | | | |
| (12) | | | | | | | | | | |
| (13) | | | | | | | | | | |
| (14) | | | | | | | | | | |
| BAA | TEEA0 | 107 | 11/16/ | 16 | | • | | 1 | | Form 990 (2016) |

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| Par | t VII | Section A. Officers, Directors, Tru | stees, | Key | En | nple | oye | es, | and | d Highest Con | pensated Emp | loyees | S (conti | nued) |
|------|----------------|---|---|-----------------------------------|-----------------------|---------------|---------------------|---------------------------------|---------------|--|---|----------------------------|---|---------|
| | | | (B) | | | • | C) | | | | | | | |
| | | (A) Name and title | Average hours per | box | , unle | heck ss pe | erson i | than o is both pr/trust | an | (D) Reportable compensation from | (E) Reportable compensation from | Es amou | (F) timated nt of othe | ər |
| | | | week (list any hours for related organiza - tions below dotted line) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | the organization (W-2/1099-MISC) | related organizations (W-2/1099-MISC) | comp fro orga and | pensation om the anization I related anizations | ı |
| (15) | | | | | | | | | | | | | | |
| (16) | | | | | | | | | | | | | | |
| (17) | | | | | | | | | | | | | | |
| (18) | | | | | | | | | | | | | | |
| (19) | | | | | | | | | | | | | | |
| (20) | | | | | | | | | | | | | | |
| (21) | | | | | | | | | | | | | | |
| (22) | | | | | | | | | | | | | | |
| (23) | | | | | | | | | | | | | | |
| (24) | | | | | | | | | | | | | | |
| (25) | | | | | | | | | | | | | | |
| 1 b | Sub-to | otal | | | • • | | • • | • • | | 97,200. | 0. | | | 0. |
| | | from continuation sheets to Part VII, Section (add lines 1b and 1c) | | | | | | · · · · | • | 97,200. | 0. | | | 0. |
| | | number of individuals (including but not limited ne organization ► | I to those | listed | l abo | ove) | who | o rece | eive | d more than \$100,0 | 000 of reportable co | mpensat | ion | |
| 3 | | e organization list any former officer, director, a 1a? If 'Yes.' complete Schedule J for such in | | | | | | | | | | . 3 | Yes | No X |
| 4 | For an the org | y individual listed on line 1a, is the sum of rep ganization and related organizations greater th | oortable co nan \$150, | ompe 000? | nsat If 'Y | tion ′es,' | and ' <i>con</i> | other | r coi e Sc | mpensation from the state of th | | | | |
| 5 | Did an | ndividual | ompensat | ion fr | om a | any | unre | lated | lorg | anization or individ | lual | . 4 | | X |
| | | vices rendered to the organization? If 'Yes,' c B. Independent Contractors | ompiele S | scrieu | uie | J 101 | suc | in per | ISOL | 1 | | . J | | Λ |
| 1 | Comp | ete this table for your five highest compensate ensation from the organization. Report compe | ed indepe nsation fo | nden r the | t coi cale | ntrao nda | ctors r yea | that ar end | rec ding | eived more than \$1 with or within the | 100,000 of organization's tax ye | ear. | | |
| | | (A) Name and business addre | ess | | | | | | | (B) Description o | | (Compe | C) nsatior | า |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | <u> </u> | |
| | Tabl | | h | a.'4 - 1 | | | 11-1 | | |) | un these | | | |
| 2 | | number of independent contractors (including 000 of compensation from the organization | Dut not lin | nited | to th | iose | liste | ea ab | ove |) who received mo | re than | | | |

Page 9

| | Check if Schedule O contains a response or note to any lir | e in this Part VIII | | | [] |
|---|--|-----------------------------|--|---|--|
| | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a Federated campaigns1 ab Membership dues1 bc Fundraising events1 cd Related organizations1 de Government grants (contributions)1 e559,038. | | | | |
| intribution d Other | f All other contributions, gifts, grants, and similar amounts not included above. 1 f 1,371,195. g Noncash contributions included in lines 1a-1f: \$ | | | | |
| | | 1,930,233. | | | |
| Program Service Revenue | Business Code | | | | |
| Seve 2 | b | | | | |
| е Н | <u> </u> | | | | |
| ŝ | d | | | | |
| ъ Ч | e | | | | |
| grar | f All other program service revenue | | | | |
| õ | g Total. Add lines 2a-2f | | | | |
| | 3 Investment income (including dividends, interest and | | | | |
| | other similar amounts) | 134. | 0. | 0. | 134. |
| | 4 Income from investment of tax-exempt bond proceeds ► | | | | |
| | 5 Royalties► | | | | |
| | (i) Real (ii) Personal | | | | |
| | 6 a Gross rents | | | | |
| | b Less: rental expenses | | | | |
| | c Rental income or (loss) | | | | |
| | d Net rental income or (loss) | | | | |
| | 7 a Gross amount from sales of assets other than inventory (i) Securities (ii) Other | | | | |
| | b Less: cost or other basis and sales expenses | | | | |
| | c Gain or (loss) | | | | |
| | d Net gain or (loss) | | | | |
| Other Revenue | 8 a Gross income from fundraising events (not including\$ of contributions reported on line 1c). | | | | |
| Ве | See Part IV, line 18 a | | | | |
| ler | b Less: direct expenses b | | | | |
| हे | c Net income or (loss) from fundraising events ► | | | | |
| - | 9 a Gross income from gaming activities. See Part IV, line 19 a | | | | |
| | b Less: direct expenses b | | | | |
| | c Net income or (loss) from gaming activities ► | | | | |
| | 10 a Gross sales of inventory, less returns and allowances a | | | | |
| | b Less: cost of goods sold b | | | | |
| | c Net income or (loss) from sales of inventory ► | | | | |
| | Miscellaneous Revenue Business Code | | | | |
| | 11a <u>Exchange Rate (Gain/Loss)</u> 900099 | -1,005. | 0. | 0. | -1,005. |
| | b | | | | |
| | c | | | | |
| | d All other revenue | | | | |
| | e Total. Add lines 11a-11d | -1,005. | | | |
| | 12 Total revenue. See instructions | 1,929,362. | 0. | 0. | -871. |

| Section 501(c)(3) and 501(c) Check if | Schedule O contains a res | | | | · · · · · <u>· · · · ·</u> |
|--|--|-----------------------|---|---|---------------------------------------|
| Do not include amounts re 6b, 7b, 8b, 9b, and 10b of F | | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
| , | estic governments. | | | | |
| 2 Grants and other assist individuals. See Part IV | tance to domestic /, line 22 | | | | |
| • | governments, and for- art IV, lines 15 and 16 . | 997,734. | 997,734. | | |
| 4 Benefits paid to or for n5 Compensation of current | nt officers, directors, | | | | |
| 6 Compensation not including disqualified persons (as section 4958(f)(1)) and | s defined under | 97,200. | 49,360. | 16,239. | 31,601. |
| 7 Other salaries and wag | jes | 403,795. | 276,805. | 43,105. | 83,885. |
| | and 403(b))...... | | | | |
| | ts | | | | |
| , | | 35,277. | 22,966. | 4,179. | 8,132. |
| 11 Fees for services (non- | | | | | |
| a Management | | | | | |
| b Legal | | 0.450 | 2 404 | C10 | 4 400 |
| d Lobbying | | 8,450. | 3,404. | 619. | 4,427. |
| e Professional fundraising ser | | | | | |
| f Investment manageme | | | | | |
| g Other. (If line 11g amount ex | | | | | |
| (A) amount, list line 11g exp | enses on Schedule O.) | 22,508. | 9,066. | 1,648. | 11,794. |
| 12 Advertising and promot | F | 3,439. | 1,281. | 0. | 2,158. |
| • | | 16,824. | 4,709. | 3,321. | 8,794. |
| ••• | | 15,270. | 5,011. | 3,179. | 7,080. |
| | | 04 414 | | 10 077 | 1 991 |
| | | 24,414. 58,626. | <u>6,666.</u> 35,834. | 12,977. | 4,771. |
| 18 Payments of travel or e expenses for any feder | entertainment | 58,020. | 33,034. | 16,699. | 6,093. |
| 19 Conferences, convention | ons, and meetings | 9,202. | 3,333. | 5,247. | 622. |
| | | | | | |
| • | · · · · · · · · · · · · · · · · | | | | |
| | , and amortization | 2,044. | 776. | 572. | 696. |
| 24 Other expenses. Itemiz covered above (List mis in line 24e. If line 24e a of line 25, column (A) a | scellaneous expenses mount exceeds 10% | 2,873. | 775. | 2,098. | 0. |
| a <u>Program Servi</u> | ce_Contracts_ | 29,006. | 29,006. | 0. | 0. |
| | ontract_Support | 3,960. | 3,960. | 0. | 0. |
| ^c <u>Translation</u> | | 372. | 150. | 27. | 195. |
| d <u>Fundraising</u> Fe | | 1,674. | 30. | 8. | 1,636. |
| e All other expenses | | 9,839. | 2,218. | 3,874. | 3,747. |
| 25 Total functional expenses. | . Add lines 1 through 24e | 1,742,507. | 1,453,084. | 113,792. | 175,631. |
| | ed in columń (B) ined educational ing solicitation. following | | | | |
| SOP 98-2 (ASC 958-72 | 20) | | | | Form 990 (2016 |

Form 990 (2016) Groundswell International, Inc. Part X Balance Sheet

| Cash – non-interest-bearing . Savings and temporary cash investments . Pledges and grants receivable, net . Accounts receivable, net . Accounts receivable, net . Accounts receivable, net . Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L Schedule L Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L Notes and loans receivable, net . Inventories for sale or use . Inventories for sale or use . Inventories for sale or use . Prepaid expenses and deferred charges . Inventories for sale or use . Investments – publicly traded securities . Investments – publicly traded securities . Investments – other securities. See Part IV, line 11 . Intangible assets . Intangible assets . Other assets. Add lines 1 through 15 (must equal line 34) . Accounts payable and accrued expenses. Grants payable . Deferred revenue . Deferred revenue . | Beginning of year 481,349. 5,021. 5,106. 10,410. 2,628. 2,412. 2,412. 25,016. 531,942. 16,572. | 1 2 3 4 5 6 7 8 9 10c 11 12 13 14 15 16 17 | End of year <u>664,029</u> . <u>5,029</u> . <u>83,692</u> . <u>9,026</u> . <u>2,865</u> . <u>25,231</u> . <u>789,897</u> . <u>4,538</u> . |
|--|--|--|---|
| Pledges and grants receivable, net . Accounts receivable, net . Accounts receivable, net . Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . Notes and loans receivable, net . It of Schedule L . Inventories for sale or use . It of Schedule L . Prepaid expenses and deferred charges . It a 11,874. Less: accumulated depreciation . It a 11,874. Investments – publicly traded securities . It a 11,874. Investments – other securities. See Part IV, line 11 . Intangible assets . Intangible assets . It is 11 . Intangible assets . It is 24 . Other assets. See Part IV, line 11 . It is 34 . Accounts payable and accrued expenses . Grants payable . Deferred revenue . It revenue . | 5,021. 5,106. 10,410. 2,628. 2,412. 25,016. 531,942. 16,572. | 3 4 5 6 7 8 9 10c 11 12 13 14 15 16 | 5,029 83,692 9,026 2,865 25 25 25 25 25,231 789,897 |
| Pledges and grants receivable, net . Accounts receivable, net . Accounts receivable, net . Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . Notes and loans receivable, net . It of Schedule L . Inventories for sale or use . It of Schedule L . Prepaid expenses and deferred charges . It a 11,874. Less: accumulated depreciation . It a 11,874. Investments – publicly traded securities . It a 11,874. Investments – other securities. See Part IV, line 11 . Intangible assets . Intangible assets . It is 11 . Intangible assets . It is 24 . Other assets. See Part IV, line 11 . It is 34 . Accounts payable and accrued expenses . Grants payable . Deferred revenue . It revenue . | 5,106. 10,410. 2,628. 2,412. 25,016. 531,942. 16,572. | 4 5 6 7 8 9 10c 11 12 13 14 15 16 | 83,692 9,026 2,865 25 25 25,231 789,897 |
| Accounts receivable, net | 10,410. 2,628. 2,412. 25,016. 531,942. 16,572. | 5 6 7 8 9 10c 11 12 13 14 15 16 | 9,026 2,865 25 25 25,231 789,897 |
| trustees, key employees, and highest compensated employees. Complete Part II of Schedule L Part II of Schedule L Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Complete Part VI of Schedule D Less: accumulated depreciation Investments – publicly traded securities Investments – other securities. See Part IV, line 11 Investments – program-related. See Part IV, line 11 Intangible assets. Other assets. See Part IV, line 11 Counts payable and accrued expenses. Other assets. Add lines 1 through 15 (must equal line 34) Accounts payable and accrued expenses. Orants payable. | 2,628. 2,412. 25,016. 531,942. 16,572. | 6 7 8 9 10c 11 12 13 14 15 16 | 2,865 25 25 25,231 789,897 |
| Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L Notes and loans receivable, net | 2,628. 2,412. 25,016. 531,942. 16,572. | 6 7 8 9 10c 11 12 13 14 15 16 | 2,865 25 25 25,231 789,897 |
| Inventories for sale or use Inventories for sale or use Prepaid expenses and deferred charges Investor Land, buildings, and equipment: cost or other basis. Investor Complete Part VI of Schedule D Investor Less: accumulated depreciation Investor Investments – publicly traded securities Investor Investments – other securities. See Part IV, line 11 Investor Investments – program-related. See Part IV, line 11 Investor Intangible assets Intangible assets Other assets. See Part IV, line 11 Intangible assets Accounts payable and accrued expenses Grants payable Deferred revenue Intervenue | 2,628. 2,412. 25,016. 531,942. 16,572. | 8 9 10c 11 12 13 14 15 16 16 | 2,865 25 25 25,231 789,897 |
| Prepaid expenses and deferred charges 10a Land, buildings, and equipment: cost or other basis. 10a Complete Part VI of Schedule D 11,874. Less: accumulated depreciation 10b p. Less: accumulated depreciation 9,009. Investments – publicly traded securities 10b Investments – other securities. See Part IV, line 11 11 Investments – program-related. See Part IV, line 11 11 Intangible assets 0 Other assets. See Part IV, line 11 11 Total assets. Add lines 1 through 15 (must equal line 34) 11 Accounts payable and accrued expenses 11 Deferred revenue 11 | 2,628. 2,412. 25,016. 531,942. 16,572. | 9 10c 11 12 13 14 15 16 | 2,865 25 25 25,231 789,897 |
| Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 10 a 11,874. Less: accumulated depreciation 10 b 9,009. Investments – publicly traded securities 10 b 9,009. Investments – other securities. See Part IV, line 11 11 11 Investments – program-related. See Part IV, line 11 11 11 Intangible assets 11 11 11 Other assets. See Part IV, line 11 11 11 11 Total assets. Add lines 1 through 15 (must equal line 34) 11 11 Accounts payable and accrued expenses 11 11 11 Deferred revenue 11 11 11 11 | 2,628. 2,412. 25,016. 531,942. 16,572. | 10 c 11 12 13 14 15 16 | 2,865 25 25 25,231 789,897 |
| Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 10 a 11,874. Less: accumulated depreciation 10 b 9,009. Investments – publicly traded securities 10 b 9,009. Investments – other securities. See Part IV, line 11 11 11 Investments – program-related. See Part IV, line 11 11 11 Intangible assets 11 11 11 Other assets. See Part IV, line 11 11 11 11 Total assets. Add lines 1 through 15 (must equal line 34) 11 11 Accounts payable and accrued expenses 11 11 11 Deferred revenue 11 11 11 11 | 2,628. 2,412. 25,016. 531,942. 16,572. | 11 12 13 14 15 16 | 2,865 25 25 25,231 789,897 |
| D Less: accumulated depreciation 10b 9,009. Investments – publicly traded securities 10b 9,009. Investments – other securities. See Part IV, line 11 11 11 Investments – program-related. See Part IV, line 11 11 11 Intangible assets 11 11 11 Other assets. See Part IV, line 11 11 11 Total assets. Add lines 1 through 15 (must equal line 34) 11 11 Accounts payable and accrued expenses 11 11 11 Deferred revenue 11 11 11 11 | 2,412. 25,016. 531,942. 16,572. | 11 12 13 14 15 16 | 25 25,231 789,897 |
| Investments – publicly traded securities | 2,412. 25,016. 531,942. 16,572. | 11 12 13 14 15 16 | 25 25,231 789,897 |
| Investments – other securities. See Part IV, line 11 | 25,016. 531,942. 16,572. | 12 13 14 15 16 | 25,231 789,897 |
| Investments – program-related. See Part IV, line 11 | <u>531,942.</u> 16,572. | 13 14 15 16 | 789,897 |
| Intangible assets | <u>531,942.</u> 16,572. | 14 15 16 | 789,897 |
| Other assets. See Part IV, line 11 | <u>531,942.</u> 16,572. | 15 16 | 789,897 |
| Total assets. Add lines 1 through 15 (must equal line 34) | <u>531,942.</u> 16,572. | 16 | 789,897 |
| Accounts payable and accrued expenses | 16,572. | | |
| Grants payable | | | т, ЈЈО |
| Deferred revenue | 1,748. | 18 | 83,540 |
| | ±,,,10. | 19 | 007010 |
| Tax-exempt bond liabilities | | 20 | |
| Escrow or custodial account liability. Complete Part IV of Schedule D | | 21 | |
| Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L. | | 22 | |
| Secured mortgages and notes payable to unrelated third parties | | 23 | |
| Unsecured notes and loans payable to unrelated third parties | | 24 | |
| Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | | 25 | |
| Total liabilities. Add lines 17 through 25 | 18,320. | 26 | 88,078 |
| Organizations that follow SFAS 117 (ASC 958), check here ► X and complete lines 27 through 29, and lines 33 and 34. | | | |
| Unrestricted net assets | 200,514. | 27 | 132,179 |
| Temporarily restricted net assets | 312,758. | 28 | 569,290 |
| Permanently restricted net assets | 350. | 29 | 350 |
| Organizations that do not follow SFAS 117 (ASC 958), check here ► and complete lines 30 through 34. | | | |
| Capital stock or trust principal, or current funds | | 30 | |
| Paid-in or capital surplus, or land, building, or equipment fund | | | |
| | | | |
| - | 513 600 | | 701,819 |
| Total net assets or fund balances. | JIJ,044. | 1 | 789,897 |
| | and other liabilities not included on lines 17-24). Complete Part X of Schedule D Total liabilities. Add lines 17 through 25 | and other liabilities not included on lines 17-24). Complete Part X of Schedule D 18,320. Total liabilities. Add lines 17 through 25 | and other liabilities not included on lines 17-24). Complete Part X of Schedule D 25 Total liabilities. Add lines 17 through 25 |

Page 11

27-1493841

| Forn | 1990(2016) Groundswell International, Inc. 27- | 1493841 | | Pa | ge 12 |
|------|---|---------|------|--------|--------------|
| Pa | rt XI Reconciliation of Net Assets | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XI | | | | |
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 1,93 | 29,3 | 62. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 1,74 | 42,5 | 07. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | 1 | 36,8 | 55. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 5 | 13,6 | 22. |
| 5 | Net unrealized gains (losses) on investments | 5 | | 1,3 | 42. |
| 6 | Donated services and use of facilities | 6 | | | |
| 7 | Investment expenses | 7 | | | |
| 8 | Prior period adjustments | 8 | | | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | | | |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, | | _ | | |
| De | column (B)) | 10 | 7 |)1,8 | 19. |
| Pa | rt XII Financial Statements and Reporting | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XII | | | | |
| | | | | Yes | No |
| 1 | Accounting method used to prepare the Form 990: Cash X Accrual Other | | | | |
| | If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O. | | | | |
| 2 a | a Were the organization's financial statements compiled or reviewed by an independent accountant? | | 2 a | | Х |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a | | | | |
| | separate basis, consolidated basis, or both: | | | | |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | | |
| I | b Were the organization's financial statements audited by an independent accountant? | | 2 b | Х | |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate | | | | |
| | basis, consolidated basis, or both: | | | | |
| | X Separate basis Both consolidated and separate basis | | | | |
| (| If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audi review, or compilation of its financial statements and selection of an independent accountant? | | 2 c | х | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | | | | |
| 3 a | a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | | 3 a | | Х |
| I | J If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required au | udit | | | |
| | or audits, explain why in Schedule O and describe any steps taken to undergo such audits | | 3 b | | |
| BAA | | | Form | 990 (2 | 2016) |

| SCHE | ΞDL | JLE | Α |
|-------|-----|------|--------|
| (Form | 990 | or 9 | 90-EZ) |

Public Charity Status and Public Support

 $\begin{array}{l} \mbox{Complete if the organization is a section 501(c)(3) organization or a section} \\ 4947(a)(1) \mbox{ nonexempt charitable trust.} \end{array}$

Attach to Form 990 or Form 990-EZ.

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

| OMB No. 1545-0047 | 7 |
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| 2016 | |

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| Nam | e of | the | or | gar | niza | tion |

Total

| Department Internal Rev | | | | | | ispection | | | |
|----------------------------|---|--|--|---|----------------------------|-----------------------|--|-------------------------|---|
| Name of th | e organization | | | | | | Employer identific | ation numb | er |
| Groun | dswell In | ternationa | al, Inc. | | | | 27-149384 | 1 | |
| Part I | Reason fo | r Public Cha | rity Status (All or | ganizations must c | omplete | e this p | art.) See instruction | ns. | |
| The orga | anization is not a | a private foundat | ion because it is: (For | lines 1 through 12, chec | k only or | e box.) | | | |
| 1 | A church, con | vention of churcl | hes, or association of c | churches described in se | ction 17 | ′0(b)(1)(| A)(i). | | |
| 2 | A school desc | ribed in section | 170(b)(1)(A)(ii). (Attac | ch Schedule E (Form 99 | 0 or 990- | -EZ).) | | | |
| 3 | | | | tion described in section | | |). | | |
| 4 | | • | | tion with a hospital desc | • • • | | | he hospi | al's |
| • | name, city, an | • | | | | | | | |
| 5 | An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) | | | | | | | | |
| 6 | A federal, stat | e, or local gover | nment or governmenta | I unit described in secti | on 170(b |)(1)(A)(\ | /). | | |
| 7 X | | on that normally 0(b)(1)(A)(vi). (0 | receives a substantial Complete Part II.) | part of its support from a | governr | nental ur | nit or from the general p | ublic des | cribed |
| 8 | A community t | rust described in | n section 170(b)(1)(A) | (vi). (Complete Part II.) | | | | | |
| 9 | or university o | r a non-land-gra | nt college of agricultur | e (see instructions). Ente | • | | - | - | |
| 10 | university: An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) | | | | | | | | |
| 11 | An organizatio | n organized and | operated exclusively | to test for public safety. | See sec t | tion 509 | (a)(4). | | |
| 12 a | or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. | | | | | | | | |
| b | Type II. A sup management | porting organiza | tion supervised or con organization vested ir | trolled in connection with the same persons that | n its supp control c | oorted or or manag | ganization(s), by having Je the supported organiz | control o zation(s). | or You |
| с | | | | nization operated in conr ete Part IV, Sections A, | nection w | vith, and | functionally integrated w | vith, its su | upported |
| d | Type III non-f | unctionally inte egrated. The or | grated. A supporting of ganization generally m | organization operated in ust satisfy a distribution A and D, and Part V. | connect | ion with | its supported organization | on(s) that | is not |
| e | integrated, or | Type III non-fund | ctionally integrated sup | | | | | ctionally | |
| - | | | about the supported or | \cdots | | | | | |
| | lame of supported of | | (ii) EIN | (iii) Type of organization (described on lines 1-10 above (see instructions)) | (iv) la organizati | on listed | (v) Amount of monetary support (see instructions) | | Amount of other t (see instructions) |
| | | | | | in your go docur Yes | | | | |
| | | | | | 103 | | | | |
| <u>(</u> A) | | | | | | | | | |
| <u>(</u> B) | | | | | | | | | |
| (C) | | | | | | | | | |
| | | | | | | | | | |
| <u>(D)</u> | | | | | | | | | |
| <u>(E)</u> | | | | | | | | | |

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

| 000 | tion A: I ublic ouppoit | | | | | | |
|------|---|---|---|---|---|--|-------------------|
| | ndar year (or fiscal year nning in) ► | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.') | 972,290. | 1,484,561. | 1,592,635. | 1,372,882. | 1,930,233. | 7,352,601. |
| 2 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 | Total. Add lines 1 through 3 | 972,290, | 1,484,561. | 1,592,635. | 1,372,882. | 1,930,233. | 7,352,601. |
| 5 | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 3,846,908. |
| 6 | Public support. Subtract line 5 from line 4 | | | | | | 3,505,693. |
| Sec | tion B. Total Support | | | | | | |
| Cale | ndar year (or fiscal year nning in) ► | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
| 7 | Amounts from line 4 | 972,290. | 1,484,561. | 1,592,635. | 1,372,882. | 1,930,233. | 7,352,601. |
| 8 | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | 329. | 20. | 134. | 483. |
| 9 | Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | 1,382. | 0. | 0. | 2,122. | 0. | 3,504. |
| | Total support. Add lines 7 through 10 | | | | | | 7,356,588. |
| 12 | Gross receipts from related activiti | es, etc. (see instru | ictions) | | | 12 | 50,627. |
| 13 | First five years. If the Form 990 is organization, check this box and s | s for the organizati top here | on's first, second, | third, fourth, or fifth | tax year as a sec | tion 501(c)(3) | |
| Sec | tion C. Computation of Pul | blic Support F | Percentage | | | | |
| 14 | Public support percentage for 2010 | | | | | | 47.65% |
| 15 | Public support percentage from 20 | 015 Schedule A, Pa | art II, line 14 | | | 15 | 37.66 % |
| 16a | 33-1/3% support test-2016. If the and stop here. The organization q | | | | | | |
| b | 33-1/3% support test-2015. If the and stop here. The organization of | | | | | | |
| 17a | 10%-facts-and-circumstances te or more, and if the organization me the organization meets the 'facts-a | est-2016. If the or eets the 'facts-and ind-circumstances | ganization did not -circumstances' tes ' test. The organiza | check a box on line st, check this box a ation qualifies as a | e 13, 16a, or 16b, a and stop here. Exp publicly supported | and line 14 is 10% blain in Part VI how l organization | · · · · · · • 📋 |
| | 10%-facts-and-circumstances te or more, and if the organization me organization meets the 'facts-and-t | eets the 'facts-and circumstances' tes | -circumstances' test. t. The organization | st, check this box a n qualifies as a pub | and stop here. Exp olicly supported org | plain in Part VI how panization | the ► |
| 18 | Private foundation. If the organiz | ation did not checl | k a box on line 13, | 16a, 16b, 17a, or 1 | 17b, check this boy | and see instructio | ns ► |
| BAA | | | | | Sc | hedule A (Form 90 | 0 or 990-EZ) 2016 |

Schedule A (Form 990 or 990-EZ) 2016

27-1493841

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec | tion A. Public Support | | | | | | | |
|---------|--|--------------------------|-----------------------|----------------------|----------------------|---------------|----------|------------------|
| | dar year (or fiscal year beginning in) ► | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 201 | 6 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.') | | | | | | | |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | | |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | | |
| 4 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge. | | | | | | | |
| | Total. Add lines 1 through 5Amounts included on lines 1,2, and 3 received fromdisqualified persons | | | | | | | |
| b | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | | |
| С | Add lines 7a and 7b | | | | | | | |
| 8 | Public support. (Subtract line 7c from line 6.) | | | | | | | |
| Sec | tion B. Total Support | | | • | - | | | |
| Calen | dar year (or fiscal year beginning in) ► | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 201 | 6 | (f) Total |
| 9 | Amounts from line 6 | | | | | | | |
| | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | | |
| с 11 | Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | | |
| 12 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | | |
| | Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | | |
| | First five years. If the Form 990 is organization, check this box and st | op here | | | | | | |
| | tion C. Computation of Pul | | | | | | | ^ |
| 15 | Public support percentage for 2016 | | | | | | 15 | 00 |
| 16 | Public support percentage from 20 | | | | | | 16 | 00 |
| | tion D. Computation of Inv | | | | | | | |
| 17 | Investment income percentage for | | ., | | | | 17 | % |
| 18 | Investment income percentage from | | | | | | 18 | % |
| | 33-1/3% support tests-2016. If the is not more than 33-1/3%, check the | is box and stop h | ere. The organization | tion qualifies as a | publicly supported | organization | | ► |
| | 33-1/3% support tests — 2015. If the line 18 is not more than 33-1/3%, or a support test of the line 18 is not more than 33-1/3%, or a support test of the line 18 is not more than 33-1/3%. | heck this box and | stop here. The or | rganization qualifie | es as a publicly sup | ported organ | nization | · · · · · · • |
| 20 | Private foundation. If the organiza | ation did not checl | a box on line 14, | 19a, or 19b, check | k this box and see | instructions. | | |

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

No Yes Are all of the organization's supported organizations listed by name in the organization's governing documents? 1 If 'No,' describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain. 1 Did the organization have any supported organization that does not have an IRS determination of status under section 2 509(a)(1) or (2)? If 'Yes,' explain in Part VI how the organization determined that the supported organization was 2 described in section 509(a)(1) or (2). 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below. 3a b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in Part VI when and how the organization made the determination. 3b c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in Part VI what controls the organization put in place to ensure such use. 3c 4a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 12a or 12b in Part I, answer (b) and (c) below. 4a **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations. 4h c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If Yes,' explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes. 4c 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by 5a amendment to the organizing document). b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? 5b c Substitutions only. Was the substitution the result of an event beyond the organization's control? 5c 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If 'Yes,' provide detail in **Part VI.** 6 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor 7 (defined in section 4958(c)(3)(Č)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ). 7 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' 8 complete Part I of Schedule L (Form 990 or 990-EZ). 8 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If 'Yes,' provide detail in Part VI. 9a b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If 'Yes,' provide detail in Part VI. 9b c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in Part VI. 9c 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes, answer 10b below. 10a b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.) 10b

| Part IV Supporting Organizations (continued) | | | |
|--|-----|-----|----|
| | | Yes | No |
| 11 Has the organization accepted a gift or contribution from any of the following persons? | | | |
| a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | 11a | | |
| b A family member of a person described in (a) above? | 11b | | |
| c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in Part VI. | 11c | | |
| Section B. Type I Supporting Organizations | | | |
| | | Yes | No |
| 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint | | | |

| | or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. |
|---|--|
| 2 | Did the organization operate for the benefit of any supported organization other than the supported organization(s) |

that operated, supervised, or controlled the supported organization? If 'Yes,' explain in **Part VI** how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

Section C. Type II Supporting Organizations

| | | | Yes | No |
|---|--|---|-----|----|
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If 'No,' describe in Part VI how control or management of the | | | |
| | supporting organization was vested in the same persons that controlled or managed the supported organization(s). | 1 | | |

Section D. All Type III Supporting Organizations

| | | | Yes | No |
|---|---|---|-----|----|
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the | | | |
| | organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If No ' explain in Part VI how | | | |
| | organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). | 2 | | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in Part VI the role the organization's supported organizations played | | | |
| | in this regard. | 3 | | |

Section E. Type III Functionally Integrated Supporting Organizations

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
 - a The organization satisfied the Activities Test. Complete line 2 below.
 - The organization is the parent of each of its supported organizations. Complete line 3 below.
 - c The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in **Part VI identify those supported** organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- **b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.
- 3 Parent of Supported Organizations. Answer (a) and (b) below.
- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI.*
- **b** Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If 'Yes,' describe in **Part VI** the role played by the organization in this regard.

b

Schedule A (Form 990 or 990-EZ) 2016

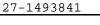
Yes No

2a

2b

3a

3b



1

2

| Schedule A | (Form 990 or 990-EZ) 2016 | Groundswell | International, | Inc. |
|------------|---------------------------|-------------------|----------------------|----------------------|
| Part V | Type III Non-Function | ally Integrated 5 | 509(a)(3) Supporting | Organizations |

Page 6

| 1 Check here if the organization satisfied the Integral Part Test as a qualifying true instructions. All other Type III non-functionally integrated supporting organization | st on Nov. 20, ons must com | 1970 (explain in Part) plete Sections A throu | /I). See gh E. |
|--|--------------------------------|---|--------------------------------|
| Section A – Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3. | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4). | 8 | | |
| ection B – Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | | | |
| a Average monthly value of securities | 1 a | | |
| b Average monthly cash balances | 1 b | | |
| c Fair market value of other non-exempt-use assets | 1 c | | |
| d Total (add lines 1a, 1b, and 1c) | 1 d | | |
| e Discount claimed for blockage or other factors (explain in detail in Part VI): | | | |
| 2 Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 Subtract line 2 from line 1d. | 3 | | |
| 4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by .035. | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |
| ection C – Distributable Amount | | | Current Year |
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 Enter 85% of line 1. | 2 | | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 Enter greater of line 2 or line 3. | 4 | | |
| 5 Income tax imposed in prior year | 5 | | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions). | 6 | | |
| 7 Check here if the current year is the organization's first as a non-functionally int | earsted Type | III supporting organizat | ion |

7 Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

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Schedule A (Form 990 or 990-EZ) 2016

| Par | t V Type III Non-Functionally Integrated 509(a)(3) Su | upporting Organiz | ations (continued) | |
|-----|---|--------------------------------|--|---|
| Sec | tion D – Distributions | | | Current Year |
| 1 | | | | |
| 2 | Amounts paid to perform activity that directly furthers exempt purposes in excess of income from activity | ons, | | |
| 3 | | | | |
| 4 | Amounts paid to acquire exempt-use assets | | | |
| 5 | Qualified set-aside amounts (prior IRS approval required) | | | |
| 6 | Other distributions (describe in Part VI). See instructions. | | | |
| 7 | Total annual distributions. Add lines 1 through 6. | | | |
| 8 | Distributions to attentive supported organizations to which the organization $\ensuremath{\text{Part VI}}\xspace$). See instructions. | tion is responsive (provi | de details | |
| 9 | Distributable amount for 2016 from Section C, line 6 | | | |
| 10 | Line 8 amount divided by Line 9 amount | | | |
| Sec | tion E – Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2016 | (iii) Distributable Amount for 2016 |
| 1 | Distributable amount for 2016 from Section C, line 6 | | | |
| 2 | Underdistributions, if any, for years prior to 2016 (reasonable cause required – explain in Part VI). See instructions. | | | |
| 3 | Excess distributions carryover, if any, to 2016: | | | |
| a | | | | |
| b | | | | |
| | From 2013 | | | |
| d | From 2014 | | | |
| е | From 2015 | | | |
| f | Total of lines 3a through e | | | |
| g | Applied to underdistributions of prior years | | | |
| - | Applied to 2016 distributable amount | | | |
| i | Carryover from 2011 not applied (see instructions) | | | |
| j | Remainder. Subtract lines 3g, 3h, and 3i from 3f. | | | |
| 4 | Distributions for 2016 from Section D, line 7: \$ | | | |
| а | Applied to underdistributions of prior years | | | |
| - | Applied to 2016 distributable amount | | | |
| C | Remainder. Subtract lines 4a and 4b from 4. | | | |
| 5 | Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions. | | | |
| 6 | Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions. | | | |
| 7 | Excess distributions carryover to 2017. Add lines 3j and 4c. | | | |
| 8 | Breakdown of line 7: | | | |
| а | | | | |
| b | Excess from 2013 | | | |
| С | Excess from 2014 | | | |
| d | Excess from 2015 | | | |
| е | Excess from 2016 | | | |

BAA

Schedule A (Form 990 or 990-EZ) 2016

<u>27-149</u>3841

 Part VI
 Supplemental Information.
 Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Pt II Ln 10 Other Income Part II, Line 10 Description: Miscellaneous 2012: 1382. 2013: 0. 2014: 0. 2015: 2122. 2016: 0.

| SCHEDULE D Supplemental Financial Statements | |
|---|---------|
| (Form 990) ► Complete if the organization answered 'Yes' on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. | 6 |
| Department of the Treasury Internal Revenue Service Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990. Open to Put Inspection Inspection | |
| Name of the organization Employer identification number | r |
| Groundswell International, Inc. 27-1493841 | |
| Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 6. | |
| (a) Donor advised funds (b) Funds and other accounts | |
| 1 Total number at end of year | |
| 2 Aggregate value of contributions to (during year) | |
| 3 Aggregate value of grants from (during year) | |
| 4 Aggregate value at end of year | |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? | No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring | |
| impermissible private benefit? | No |
| Part II Conservation Easements. | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 7. | |
| 1 Purpose(s) of conservation easements held by the organization (check all that apply). | |
| Preservation of land for public use (e.g., recreation or education) | |
| Protection of natural habitat Preservation of a certified historic structure | |
| Preservation of open space | |
| 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. | <u></u> |
| Held at the End of the Ta | Year |
| a Total number of conservation easements | |
| c Number of conservation easements on a certified historic structure included in (a) | |
| | |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | |
| 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► | |
| 4 Number of states where property subject to conservation easement is located ► | |
| 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? | No |
| 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year | |
| 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►\$ | |
| 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? | No |
| 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements. | |
| Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 8. | |
| 1 a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. | |
| b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: | |
| (i) Revenue included on Form 990, Part VIII, line 1 | |
| (ii) Assets included in Form 990, Part X | |
| 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: | |
| a Revenue included on Form 990, Part VIII, line 1 | |
| b Assets included in Form 990, Part X |)) 2016 |

| Schedule D (Form 990) 2016 Groun | dswell Interr | ational, In | с. | 27-149 | 3841 | | Page 2 |
|---|--|--|--|---|------------|-----------|---------------|
| Part III Organizations Maintai | ning Collections | s of Art, Histori | cal Treasures, o | r Other Similar Ass | sets (c | ontinu | ed) |
| 3 Using the organization's acquisition items (check all that apply): | , accession, and othe | r records, check an | y of the following that | are a significant use of its | s collecti | ion | |
| a Public exhibition | | d Loan or | exchange programs | | | | |
| b Scholarly research | | e Other | | | | | |
| c Preservation for future generat | ions | | | | | | |
| Provide a description of the organiz Part XIII. | ation's collections and | d explain how they | urther the organizatio | n's exempt purpose in | | | |
| 5 During the year, did the organization to be sold to raise funds rather than | n solicit or receive do to be maintained as | nations of art, histor part of the organiza | ical treasures, or othe tion's collection? | er similar assets | Yes | | No |
| Part IV Escrow and Custodia line 9, or reported an ar | l Arrangements. mount on Form 99 | Complete if the 0, Part X, line 2 | organization ans 21. | wered 'Yes' on Form | n 990, I | Part IV | Ι, |
| 1 a Is the organization an agent, truste on Form 990, Part X? | | | | | Yes | Γ | No |
| b If 'Yes,' explain the arrangement in | | | | | | | |
| | | | | | Amount | | |
| c Beginning balance | | | | | | | |
| d Additions during the year | | | | | | | |
| e Distributions during the year | | | | | | | |
| f Ending balance | | | | | Vee | | Na |
| 2 a Did the organization include an am | | | | • | Yes | | No |
| b If 'Yes,' explain the arrangement in | | • | • | | | ···L | |
| Part V Endowment Funds. C | - · · · · · · · · · · · · · · · · · · · | | | | | | |
| | (a) Current year | (b) Prior year | (c) Two years back | | (e) F | our years | back |
| 1 a Beginning of year balance | 22,641. | 23,01 | | | - | | |
| b Contributions | | (|). 10 | 0. 20,250. | | | |
| c Net investment earnings, gains, and losses | 1,619. | -76 | 5. 1,44 | 1. 1,616. | | | |
| d Grants or scholarships | | | | | | | |
| e Other expenditures for facilities | 1,094. | | | | | | |
| and programs | | 2.00 | 20 | 0 00 | | | |
| f Administrative expenses | 310. | 300 | | | | | |
| 2 Provide the estimated percentage | 22,856. | 22,64 | | 7. 21,776. | | | |
| a Board designated or quasi-endown | • | | olumn (a)) neiù as. | | | | |
| b Permanent endowment ► | | .47 % | | | | | |
| | <u>1.53</u> % ► | 9 | | | | | |
| c Temporarily restricted endowment The percentages on lines 2a, 2b, a | | 8 | | | | | |
| | | | | | | | |
| 3 a Are there endowment funds not in to organization by: | he possession of the | organization that ar | e held and administer | ed for the | Г | Yes | No |
| (i) unrelated organizations | | | | | . 3a(i) | X | |
| (ii) related organizations | | | | | . 3a(ii) | Δ | v |
| b If 'Yes' on line 3a(ii), are the related | | | | | . 3b | | X |
| 4 Describe in Part XIII the intended u | - | | | | . 36 | | <u> </u> |
| Part VI Land, Buildings, and | | | | | | | |
| Complete if the organiz | | es' on Form 90 | 0 Part IV line 11 | a See Form 990 P | art X I | ine 10 | |
| Description of property | | | | | | Book va | |
| Description of property | | or other basis vestment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (a) 1 | DOOK VA | lue |
| 1 a Land | · · · · | , | | | | | |
| b Buildings | | | | | | | |
| c Leasehold improvements | | | | | | | |
| d Equipment | | | 11,874. | 9,009. | | 2 | ,865. |
| e Other | | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | |
| Total. Add lines 1a through 1e. (Column | | 990, Part X, column | (B), line 10c.) | <u></u> | | 2 | ,865. |

Schedule **D** (Form 990) 2016

BAA

Page 3

| Part VII Investments – Other Securities. Complete if the organization answered " | Yes' on Form 990 | Part IV line 11b See Form 990 F | Part X line 12 |
|--|--------------------------|--|---------------------|
| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of- | |
| (1) Financial derivatives | () Doon value | | |
| (2) Closely-held equity interests | | | |
| | | | |
| (3) Other(A) | | | |
| (B) | | | |
| (C) | | | |
| (0) (D) | | | |
| (E) | | | |
| (-/ (F) | | | |
| (G) | | | |
| (H) | | | |
| (I) | | | |
| Total. (Column (b) must equal Form 990, Part X, column (B) line 12.) ► | | | |
| Part VIII Investments – Program Related. | | | |
| Complete if the organization answered " | Yes' on Form 990, | | |
| (a) Description of investment | (b) Book value | (c) Method of valuation: Cost or end-o | f-year market value |
| (1) | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| (10) | | | |
| Total. (Column (b) must equal Form 990, Part X, column (B) line 13.)► | | | |
| Part IX Other Assets. Complete if the organization answered " | Yes' on Form 990 | Part IV line 11d See Form 990 F | Part X line 15 |
| (a) Des | scription | | (b) Book value |
| (1) Endowment at the Oklahoma City Com | munity Foundat | tion | 22,856. |
| (2) Security Deposit | | | 2,375. |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| | | | |
| (9) | | | |
| (10) | | | |
| Total. (Column (b) must equal Form 990, Part X, column (B) li | ne 15) | • | 25,231. |
| Part X Other Liabilities. | | | 23,231. |
| Complete if the organization answered 'Yes' on F | orm 990, Part IV, line 1 | 1e or 11f. See Form 990, Part X, line 25 | |
| (a) Description of liability | (b) Book value | | |
| (1) Federal income taxes | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | <u> </u> | |
| (7) | | <u> </u> | |
| (8) | | | |
| <u>(9)</u> (10) | | | |
| | | | |
| | | | |
| (10) (11) Total. (Column (b) must equal Form 990, Part X, column (B) line 25.) | • | _ | |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

Х

| Schedule D (Form 990) 2016 Groundswell International, Inc. | 27 | -1493841 | Page 4 |
|--|------|----------|------------------|
| Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per | Re | turn. | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a. | | | |
| 1 Total revenue, gains, and other support per audited financial statements | | 1] | L,930,704. |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | |
| a Net unrealized gains (losses) on investments | 12. | | |
| b Donated services and use of facilities | | | |
| c Recoveries of prior year grants | | | |
| d Other (Describe in Part XIII.) | | | |
| e Add lines 2a through 2d | • • | 2 e | 1,342. |
| 3 Subtract line 2e from line 1 | | 3 1 | L,929,362. |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | | |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a | | | |
| b Other (Describe in Part XIII.) | | | |
| c Add lines 4a and 4b | | 4 c | |
| 5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.). | | 5 1 | L,929,362. |
| Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses p | er F | Return. | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a. | | | |
| 1 Total expenses and losses per audited financial statements. | | 1 1 | 1,742,507. |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: | | | · · · |
| a Donated services and use of facilities. | | | |
| b Prior year adjustments | | | |
| c Other losses | | | |
| d Other (Describe in Part XIII.) | | | |
| e Add lines 2a through 2d | | 2 e | |
| 3 Subtract line 2e from line 1 | | | 1,742,507. |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: | | | <u>, 12,30,1</u> |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a | | | |
| b Other (Describe in Part XIII.) | | | |
| C Add lines 4a and 4b | | 4 c | |
| 5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | | 5] | L,742,507. |
| Part XIII Supplemental Information. | | | |

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V,

line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Groundswell is exempt from federal income taxes under 501(c)(3) of the Internal Revenue Code. Under the Code, however, income from certain activities not related to an organization's tax-exempt purpose may be subject to taxation as unrelated business income. The organization had no income from unrelated business activities in 2016 and was, therefore, not required to file Federal Form 990-T (Exempt Organization Business Income Tax Return). The organization believes that it has appropriate support for all tax positions taken, and as such, does not have any Pt X, Line 2 Pt V, Line 4 To build a fund that will eventually supplement operating support.

| (Form 990) | 2016 | | | | |
|--|---|---|---|---|---|
| Department of the Treasury Internal Revenue Service | Open to Public Inspection | | | | |
| Name of the organization | | | | Employer ide | ntification number |
| Groundswell Intern | | | | 27-1493 | |
| | Part IV, line 14b. | es Outside th | e United States. Comple | ete if the organizatio | on answered 'Yes' |
| | | | ostantiate the amount of its gra | | |
| 2 For grantmakers. Desc United States. | cribe in Part V the orga | nization's procedu | res for monitoring the use of its | grants and other assista | ance outside the |
| 3 Activities per Region. (T | he following Part I, line | 3 table can be du | plicated if additional space is n | eeded.) | |
| (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
| (1) Central America | a <u> </u> | 4 | Program Services | Agricultural | 65,093. |
| (2) Central America | a 0 | 2 | Regional Grants | Agricultural | 227,679. |
| (3) South America | 0 | 1 | Program Services | Agricultural | 2,376. |
| (4) South America | 0 | 1 | Regional Grants | Agricultural | 50,305. |
| (5) Sub-Saharan Afri | .ca 0 | 3 | Program Services | Agricultural | 353,964. |
| (6) Sub-Saharan Afri | .ca 0 | 3 | Regional Grants | Agricultural | 719,751. |
| (7) South Asia | 0 | 0 | Program Services | Agricultural | 763. |
| (8) | | | | | |
| (9) | | | | | |
| (10) | | | | | |
| (11) | | | | | |
| (12) | | | | | |
| (13) | | | | | |
| (14) | | | | | |
| (15) | | | | | |
| (16) | | | | | |
| (17) | | | | | |

Statement of Activities Outside the United States

0

0

b Total from continuation sheets to Part I **c** Totals (add lines 3a and 3b) .

SCHEDULE F

1,419,931.

OMB No. 1545-0047

14

14

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------------|---|---|--------------------|--------------------------------|--------------------------|--|---|---|--|
| (1) | | | Central America | Haiti Program | 180,739. | Wire | | | |
| (2) | | | Central America | Honduras Program | 46,940. | Wire | | | |
| (3) | | | South America | Ecuador Program | 50,305. | Wire | | | |
| (4) | | | Sub-Saharan Africa | Burkina Faso Program | 219,192. | Wire | | | |
| (5) | | | Sub-Saharan Africa | Ghana Program | 126,908. | Wire | | | |
| (6) | | | Sub-Saharan Africa | Mali Program | 140,083. | Wire | | | |
| (7) | | | Sub-Saharan Africa | Senegal Program | 173,070. | Wire | | | |
| (8) | | | Sub-Saharan Africa | Multi-country | 60,498. | | | | |
| (9) | | | | - | | | | | |
| (10) | | | | | | | | | |
| (11) | | | | | | | | | |
| (12) | | | | | | | | | |
| (13) | | | | | | | | | |
| (14) | | | | | | | | | |
| (15) | | | | | | | | | |
| (16) | | | | | | | | | |
| 2 Ei th | nter total number of recipient organiz e grantee or counsel has provided a | section 501(c)(3) equivation | alency letter | | | | | · · · · · · · · • <u>•</u> | 8 |
| BAA | nter total number of other organization | | | <u></u> | | | <u></u> | Schedule F | F (Form 990) 2016 |

| rt III | Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered 'Yes' on Form 990, |
|--------|--|
| | Part IV, line 16. Part III can be duplicated if additional space is needed. |

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of noncash assistance | (g) Description of noncash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|------------|-----------------------------|---------------------------------|---------------------------------------|----------------------------------|---------------------------------------|--|
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |
| (13) | | | | | | | |
| (14) | | | | | | | |
| (45) | | | | | | | |
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| (17) | | | | | | | |
| (18) BAA | | | | | 1 | l Schedule F | ⁻ (Form 990) 2016 |

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| |

| 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926). Image: State of the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926). Image: State of the organization may be required to separately file Form 3520, Annual Return To Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520 AA nnual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990). Image: State of Certain Foreign Gifts, and/or Form 3520 and 3520-A; do not file with Form 990). Image: State of Certain Foreign Corporation Auring the tax year? If 'Yes,' the organization have an ownership interest in a foreign corporation during the tax year? If 'Yes,' the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471). Image: State organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If 'Yes,' the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8865). Image: State organization a qualified to file Form 88621, Information Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865). Image: State organization may be required to file Form 8865, Return of U.S. Persons Wi | | | |
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| required to separately file Form 3520, Annual Return To Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990) | 1 | organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign | X No |
| organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) | 2 | required to separately file Form 3520, Annual Return To Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A Annual Information Return of Foreign Trust With a U.S. | X No |
| electing fund during the tax year? If 'Yes,' the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) | 3 | organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain | X No |
| organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865). 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If 'Yes,' the organization may be required to separately file Form 5713, International Boycott Report (see | 4 | electing fund during the tax year? If 'Yes,' the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see | X No |
| If 'Yes,' the organization may be required to separately file Form 5713, International Boycott Report (see | 5 | organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign | X No |
| | 6 | If 'Yes,' the organization may be required to separately file Form 5713, International Boycott Report (see | X No |

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Schedule F (Form 990) 2016

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Part V Supplemental Information Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

- Pt I Line 3 Col (F) Procedures for monitoring the use of grant funds outside the U.S. Pt I Line 2 Groundswell engages in extensive pre-grant due diligence of any organization to which it disburses money.For foreign organizations, Groundswell requires that the organization be a legally registered charitable non-profit organization within its country. The information required to be provided by potential grantees includes financials for the current and previous years, governing documents, details about the board of directors, and descriptions of programs and activities. These requirements are in addition to rather than in lieu of a project funding proposal.
- Pt I Line 2 Once the decision is made to make a grant to a foreign organization, Groundswell requires a written cooperation agreement between the grantee and Groundswell, which outlines each organization's duties and responsibilities, including the grantee's responsibility to request prior written approval from Groundswell before making substantial modifications to the project and/or budget.
- Pt I Line 2 When the agreement is signed by the grantee, a transfer is made either from Groundswell headquarters or by direct transfer from the donor to a local bank account in the country where the grantee intends to implement activities outlined in its project proposal.
- Pt I Line 2 During the grant period, Groundswell maintains regular and frequent contact with grantees, including through e-mail, phone and occasional field visits. Groundswell also requires regular progress and final narrative and financial reports. When reports are received, management and staff compare actual expenses to the approved budgeted expense, and, as necessary, seek additional explanation for any significant variations not already documented in the narrative report.
- Pt I Line 2 Groundswell encourages all grantees to undertake annual audits of their overall operations, and Groundswell reserves the right to require an independent audit at its expense at any time during the project or program it is funding. This authority is set forth explicitly in the cooperation agreement entered into with each grantee.
- Pt I Line 2 Finally, a Groundswell staff member conducts a site visit at least once during the term of all projects or programs undertaken with Groundswell funding.

| SCHEDULE O (Form 990 or 990-EZ) | Supplemental Information to Form 990 or 990 Complete to provide information for responses to specific question Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or 990-EZ. ► Information about Schedule O (Form 990 or 990-EZ) and its instruction | s on | OMB No. 1545-0047 2016 Open to Public Inspection |
|---|---|---|---|
| Internal Revenue Service Name of the organization | at www.irs.gov/form990. | Employer identifica | • |
| Groundswell Inter | rnational Inc | 27-149384 | |
| Pt VI, Line 11b | The 990 is prepared by independent accountants, presented to the Board for review, proposed re- approval. | reviewed b | py management, |
| | Annually conflict of interest statements are re each Board member. The statements affirm that the either that no conflict of interest exists or of | ne policy ha | as been read & |
| Pt VI, Line 12c | conflict. In the annual budgeting process, the Board appresent approximation of the second se | salaries an Executive D . The Board erformance | nd salary irector (the of Directors review & a |
| Pt VI, Line 15a | similar budgets. The Form 990 is available on the GuideStar Excl | - | |
| Pt VI, Line 18 | Groundswell's website. The Form 1023 is availab The organization's financial statements & confi are available upon request. Its governing documents | ble upon realist of inte | quest. erest policy |
| Pt VI, Line 19 | request. | | |

| Form 8879-EO | IRS <i>e-file</i> Signat for an Exemp | ure Authorization t Organization | | OMB No. 1545-1878 |
|--|--|--|--|---|
| | For calendar year 2016, or fiscal year beginning | , 2016, and ending | _, 20 | |
| Department of the Treasury | | S. Keep for your records. | " | 2016 |
| Internal Revenue Service | Information about Form 8879-EO and its | s instructions is at www.irs.gov | | ntification number |
| 1 0 | | | | |
| Groundswell Inter Name and title of officer | inational, Inc. | | 27-1493 | 3841 |
| Steve Brescia | | Executive Direct | tor | |
| Part I Type of Retu | rn and Return Information (Whole D | | | |
| check the box on line 1a, 2a leave line 1b, 2b, 3b, 4b, or | for which you are using this Form 8879-EO and , 3a , 4a , or 5a , below, and the amount on that I 5b , whichever is applicable, blank (do not enter b not complete more than 1 line in Part I. | ine for the return being filed with | this form was blar | nk, thên |
| 1 a Form 990 check here | · · · ► X b Total revenue, if any (Form 99 | 90, Part VIII, column (A), line 12) | 1 | b 1,929,362. |
| 2 a Form 990-EZ check he | | m 990-EZ, line 9) | | b |
| 3 a Form 1120-POL check | chere 🕨 🛛 b Total tax (Form 1120- | POL, line 22) | 3 | b |
| 4 a Form 990-PF check he | | t income (Form 990-PF, Part VI, | , | b |
| 5 a Form 8868 check here | ອ · · · ► 🔲 🐱 Balance Due (Form 8868, line | 3c | 5 | b |
| Part II Declaration a | and Signature Authorization of Offic | er | | |
| intermediate service provide the IRS (a) an acknowledge refund, and (c) the date of a funds withdrawal (direct deb organization's federal taxes contact the U.S. Treasury Fi authorize the financial institu answer inquiries and resolve | ount in Part I above is the amount shown on the r, transmitter, or electronic return originator (EF ment of receipt or reason for rejection of the tra ny refund. If applicable, I authorize the U.S. Tre it) entry to the financial institution account indic owed on this return, and the financial institution inancial Agent at 1-888-353-4537 no later than utions involved in the processing of the electron e issues related to the payment. I have selected urn and, if applicable, the organization's consen | RO) to send the organization's ret nsmission, (b) the reason for any asury and its designated Financi ated in the tax preparation softwa to debit the entry to this account 2 business days prior to the payr ic payment of taxes to receive co I a personal identification number | urn to the IRS and delay in processi al Agent to initiate are for payment of t. To revoke a pay nent (settlement) infidential informat | d to receive from ing the return or an electronic the ment, I must date. I also tion necessary to |
| Officer's PIN: check one b | - | | | —————————————————————————————————————— |
| X I authorize CORLIS | S & SOLOMON, PLLC ERO firm name | to enter my PIN | 93841 Enter five number | ers, but |
| on the organization's tax a state agency(ies) regu the return's disclosure co | x year 2016 electronically filed return. If I have in lating charities as part of the IRS Fed/State pro onsent screen. | ndicated within this return that a o gram, I also authorize the aforen | do not enter all z copy of the return nentioned ERO to | is being filed with |
| indicated within this retu program, I will enter my | nization, I will enter my PIN as my signature on rn that a copy of the return is being filed with a PIN on the return's disclosure consent screen. | the organization's tax year 2016 state agency(ies) regulating char | electronically filed ities as part of the | d return. If I have IRS Fed/State |
| Officer's signature | Hun Dienie | Date ► | | |
| Part III Certification | and Authoritication | | | |
| | r six-digit electronic filing identification | | | |
| number (EFIN) followed by y | your five-digit self-selected PIN | | | 56191371677 |
| I certify that the above nume above. I confirm that I am su Authorized IRS <i>e-file</i> Provide | eric entry is my PIN, which is my signature on th ubmitting this return in accordance with the requ ers for Business Returns. | ne 2016 electronically filed return irements of Pub. 4163, Moderniz | for the organizatio zed e-File (MeF) I | do not enter all zeros on indicated nformation for |
| ERO's signature | | Date ► <u>07/11/</u> | 2017 | |
| | ERO Must Retain This Do Not Submit This Form To the | Form – See Instructions e IRS Unless Requested To Do | So | |

BAA For Paperwork Reduction Act Notice, see instructions.

Form 8879-EO (2016)

Schedule O (Form 990), Supplemental Information to Form 990 Form 990, Page 2, Part III, Line 4d (continued)

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

| Code: | Description: | Mali - In 2016, Groundswell and Sahel Eco, as well as ANSD |
|------------|--------------|--|
| Expenses | 185,672. | |
| Grants Of | 140,083. | implementing the USAID, SIDA and Rockefeller Foundation- |
| Revenue | 0. | funded Global Resilience Challenge initiative, which seeks |
| | | to integrate women's empowerment and improved nutrition |
| | | into ongoing agricultural work with rural communities in |
| | | dry-land areas to strengthen their resilience and |
| Code: | Description: | overcome food insecurity. |
| Expenses | 218,659. | Senegal - Since 2015, Groundswell and Agrecole Afrique |
| Grants Of | 173,070. | |
| Revenue | 0. | |
| | | agroecological practices, strengthen women's roles, and |
| | | improve family nutrition. These strategies will complement |
| | | Agrecole Afrique's strong capacity to support women's' and |
| Code: | Description: | farmers' organizations to improve organic agriculture and |
| Expenses | 52,680. | local food markets. |
| Grants Of | 50,305. | |
| Revenue | 0. | are working together to strengthen the capacity of |
| | | marginalized, rural highland communities to lead their |
| | | own development processes that improve local food |
| | | production and resilience and reinforce local food systems. |
| Code: | Description: | EkoRural promotes discovery based learning to deepen and |
| Expenses | 0. | spread agroecological farming, and supports communities |
| Grants Of | 0. | to map, recover, reproduce, and improve seeds through |
| Revenue | 0. | participatory plant breeding of dozens of useful varieties |
| | | that have fallen into disuse. EkoRural is also a member of |
| | | a national network working to recruit 250,000 Ecuadorian |
| | | families to participate in a "Responsible Local Food |
| Code: | Description: | Consumption" campaign to shift the country toward the |
| Expenses | | consumption of healthy, local food. |
| Grants Of | | Guatemala Groundswell began collaborating with Qachuu |
| Revenue | 0. | |
| | | members (80% women) from 21 communities in Baja Verapaz |
| | | that are working together to learn and apply agroecological |
| | | farming methods, promote sustainable livestock production, |
| Code: | Description: | and conserve, reproduce, and sell native seeds. Groundswell |
| Expenses _ | 0. | |
| Grants Of | 0. | |
| Revenue. | 0. | |
| | | REDSAG and local NGOs are using grassroots media and |
| | | events, such as community radio stations, village fairs, |
| | | and popular theatre, to encourage local people to consume |
| Code: | Description: | healthy foods produced by local farmers using |
| Expenses _ | 236,735. | |
| Grants Of | 180,739. | |
| Revenue. | 0. | |
| | | with rural communities to create 17 peasant organizations |
| | | that are leading local development efforts. In 2016, some |
| | | 23,000 people (belonging to 15 of these local organizations) |

Schedule O (Form 990), Supplemental Information to Form 990 Form 990, Page 2, Part III, Line 4d (continued)

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

| Code: | Description: | directly participated in programs to sustainably improve |
|-----------|--------------|---|
| Expenses | | their agriculture, livelihoods, health, and resiliency. |
| Grants Of | 0. | |
| Revenue. | 0. | |
| | | PDL are supporting farmers' groups to develop market |
| | | opportunities for processed agricultural products, permitting |
| | | farmers to generate more income for their households. In |
| Code: | Description: | 2016, local organizations launched a community rice |
| Expenses | 48,873. | commercialization enterprise, cassava processing workshop, |
| Grants Of | 46,940. | and artisanal sugar cane mills. |
| Revenue. | 0. | Honduras Since 2011, Groundswell and Vecinos Honduras, |
| | | an NGO based in Tegucigalpa, have nurtured the capacities |
| | | and skills of women and men to become leaders and |
| | | active agents in the positive transformation of their |
| Code: | Description: | communities. In 2016, in Honduras' drought stricken and |
| Expenses | 0. | impoverished southern region, we strengthened 65 |
| Grants Of | 0. | communities and engaged more than 1,400 women, men and |
| Revenue | 0. | youth in participant-led programs that have improved the |
| | | food security, nutrition, health and livelihoods of more than |
| | | 7,500 people. Also, Vecinos Honduras and ANAFAE, the |
| | | agroecology network in Honduras, continue to raise |
| Code: | Description: | awareness about health and food issues through community |
| Expenses | 763. | radio, village fairs, and popular theatre. |
| Grants Of | 0. | |
| Revenue. | 0. | Nepal - Since 2014, Groundswell has partnered with the |
| | | Nepalese NGO BBP-Pariwar to improve farmers' livelihoods |
| | | and food security. In 2016, Groundswell and BBP-Pariwar |
| | | continued their work with marginalized women impacted by |
| Code: | Description: | the 2015 earthquakes. This program provides women from the |
| Expenses | 0. | "untouchable" dalit caste with opportunities to learn |
| Grants Of | 0. | |
| Revenue. | 0. | create savings and credit groups and farming cooperatives |
| | | to give them access to affordable credit, and develop alternative |
| | | means of earning income (such as training as vet techs and |
| | | agriculture promoters) to help their families recover their |
| Code: | Description: | household economies. |
| Expenses | 33,153. | |
| Grants Of | | US pilot project called "Grow Food Where People Live", |
| Revenue. | 0. | which sought to test our experience and lessons from |
| | | abroad in rural Western North Carolina. The project |
| | | succeeded in improving access to healthy food and |
| | | providing lifelong skills to some of the region's most |
| | Description: | marginalized families; helped galvanize a coalition of |
| Expenses | 0. | |
| Grants Of | 0. | |
| Revenue. | 0. | Carolina Asheville to create an adult learning curriculum for |
| | | growing and eating healthy food; and, supported the |
| | | creation of a new nonprofit - Growing Rural Opportunities |
| | | (GRO), which is taking the pilot forward beginning in 2017. |

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