Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection , 2020, and ending , 20

Α	For the 2	020 calend	dar year, or tax year beginning	, 20	20, and end	ling	_		, 20
В	Check if ap	oplicable:	c Name of organization Ground	swell Internationa	l, Inc.			D Emplo	oyer identification number
X	Address ch	nange	Doing business as					27-14	193841
	Name char	nge	Number and street (or P.O. box if	mail is not delivered to street addr	ess)	Room/	suite	E Teleph	none number
	Initial retur	n	712 H Street NE			1355	5	(202)	952-2322
	Final return	/terminated	City or town, state or province, co	ountry, and ZIP or foreign postal co	ode	•			
\Box	Amended i	return	Washington, DC 200	002				G Gross	receipts \$3,387,541.
	Application		F Name and address of principal offi	icer:			H(a) Is this a gro		or subordinates? Yes X No
	1.1.		Steve Brescia, 1875 Connecticut		on. DC 20009				
ı	Tax-exemp		▼ 501(c)(3)) ◀ (insert no.) 4947(a)(st. See instructions
J	Website:	aroun	dswellinternational	org	,		H(c) Group ex		
	•		Corporation Trust Associate		L Year of for		• • •		of legal domicile: DC
	art I	Summai					2007		<u></u>
			cribe the organization's missi	ion or most significant activ	ities: Croi	ındaw	011 Tn+	rnat i	onal atronathona
Ф			ties to build health						
Activities & Governance		JOHHHAITT	cres to burid hearth	ily latiliting and 1000	a systei	IID II	OIII CITE	groui	ila up.
Ĭ	2 0	heck this	box ▶ ☐ if the organization	discontinued its operations	or dienoe	ad of n	nore than	25% of	ite nat accate
ŏ			voting members of the government	•	•			3	14
ত			independent voting member					4	14
Se			per of individuals employed in			-		5	6
Ę			per of individuals employed in per of volunteers (estimate if r					6	0
Ċŧ				= -					
٩			ated business revenue from F					7a	0.
	b N	iet unrelat	ed business taxable income	from Form 990-1, Part I, IIr	ie II		Prior Year	7b	0.
		المارية المارية المارية	one and avanta (Davt VIII. line :	4 L.\					Current Year
ne			ons and grants (Part VIII, line	The state of the s			1,954,		3,371,276.
Revenue		_	ervice revenue (Part VIII, line					382.	13,503.
æ			income (Part VIII, column (A)				8,	476.	2,762.
			nue (Part VIII, column (A), line						
			ue—add lines 8 through 11 (m				1,983,		3,387,541.
			similar amounts paid (Part I)				1,040,	639.	1,739,803.
		-	aid to or for members (Part IX						
es			her compensation, employee b				675,	134.	658,731.
Expenses			al fundraising fees (Part IX, co						
ă			aising expenses (Part IX, colu		50,140.				
ш			enses (Part IX, column (A), line	•				939.	274,368.
			nses. Add lines 13–17 (must e				2,140,		2,672,902.
	19 F	Revenue le	ess expenses. Subtract line 1	8 from line 12			-157,		714,639.
Net Assets or Fund Balances						Begir	nning of Curr	ent Year	End of Year
set alar	20 T	otal asset	s (Part X, line 16)				1,009,	397.	1,648,816.
E E	21 T		ties (Part X, line 26)				123,	852.	45,923.
			or fund balances. Subtract li	ine 21 from line 20			885,	545.	1,602,893.
Pa	art II	Signatu	re Block						
			I declare that I have examined this r						ny knowledge and belief, it is
tru	e, correct, a	and complete	e. Declaration of preparer (other than	officer) is based on all information	or which prep	arer nas	any knowied	ge.	
		\					09	/08/2	021
Siç	-	Signatu	ure of officer				Date		
He	re	Ste	<u>ve Brescia, Executiv</u>	ve Director					
		Type o	r print name and title						
Pa		Print/Type	preparer's name	Preparer's signature		Date		Check [if PTIN
		Stephe	n C Corliss	Stephen C Corliss				self-emp	Dloyed P01333317
	eparer	Firm's nan					Firm's	EIN ► 2	20-2571677
US	e Only		lress ► 242 CHARLOTTE S		LLE, NC	288			28)236-0206
Ma	y the IRS		this return with the preparer s						
			- F - F - F - F - F - F - F - F - F - F			-			

____ Page **2**

Part	
1	Check if Schedule O contains a response or note to any line in this Part III
1	Groundswell International strengthens rural communities to build healthy farming and food
	systems from the ground up.
	systems from the ground up.
2	Did the organization undertake any significant program services during the year which were not listed on the
	prior Form 990 or 990-EZ?
_	If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?
	If "Yes," describe these changes on Schedule O.
4	,
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others,
	the total expenses, and revenue, if any, for each program service reported.
	то т
4a	(Code:) (Expenses \$ 2,331,463. including grants of \$ 1,739,803.) (Revenue \$ 13,503.)
	Groundswell International, Inc. (Groundswell) strengthens communities to build healthy
	farming and food systems from the ground up. Groundswell's programs address the root causes of
	food insecurity, economic vulnerability, and social marginalization with a practical, "learning by
	doing" approach that builds participants' confidence while meeting their basic needs.
	We work closely with local partner organizations in Latin America and the Caribbean, South Asia, and
	West Africa. While each regional program design responds to its unique people and context,
	Groundswell's core program services focus on: Building capacity, improving sustainable food
	production, regenerating land, rural livelihood opportunities and local markets, and emphasizing
	the empowerment of women and other marginalized people.
4b	(Code:) (Expenses \$including grants of \$) (Revenue \$)
	West Africa Partners:
	ANSD in Burkina Faso
	CIKOD in Ghana
	Sahel Eco in Mali
	Agrecol in Senegal
	Engaged and trained 80,571 smallholder farmers
	Benefitted 416,604 people
	Regenerated 125,357 acres of land
4c	(Code:) (Expenses \$including grants of \$) (Revenue \$)
	Latin America and the Carribean Partners:
	PDL in Haiti
	Vecinos Honduras in Honduras
	Qachuu Aloom in Guatamala
	EkoRural in Ecuador
	CEDICAM in Mexico
	Engaged and trained 12,972 smallholder farmers
	Benefitted 108,140 people
	Regenerated 3,867 acres of land
	Coo Dort III In As statement
	See Part III, Ln 4c statement
4d	Other program services (Describe on Schedule O.)
-ru	(Expenses \$ including grants of \$) (Revenue \$)
4e	Total program service expenses ► 2,331,463.

Part l	V Checklist of Required Schedules			
			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	×	
2	Is the organization required to complete Schedule B, Schedule of Contributors See instructions?	2	×	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If</i> "Yes," <i>complete Schedule C, Part I</i>	3		×
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If</i> "Yes," complete Schedule C, Part II	4		×
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		×
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		×
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If</i> "Yes," <i>complete Schedule D, Part II</i>	7		×
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If</i> "Yes," complete Schedule D, Part III	8		×
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9		×
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V </i>	10	×	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	×	
b	Did the organization report an amount for investments—other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		×
С	Did the organization report an amount for investments—program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		×
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	×	
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e		×
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f	×	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	×	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		×
13 14a	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> Did the organization maintain an office, employees, or agents outside of the United States?	13 14a	×	×
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate			
15	foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or	14b	×	
16	for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	×	
17	assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i>	16		×
18	Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I See instructions	17		×
19	Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18		×
00	If "Yes," complete Schedule G, Part III	19		×
20a h	Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .	20a 20b		×
b 21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or	200		
-1	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		×

Part	Checklist of Required Schedules (continued)			
			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		×
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23		×
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b			
b	through 24d and complete Schedule K. If "No," go to line 25a	24a 24b		×
C	Did the organization maintain an escrow account other than a refunding escrow at any time during the year	240		
U	to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		×
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		×
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i>	26		×
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		×
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If</i> "Yes," complete Schedule L, Part IV	28a		×
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		×
С	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV	28c		×
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		×
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M </i>	30		×
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		×
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		×
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If</i> "Yes," <i>complete Schedule R, Part I</i>	33		×
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34		×
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		×
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 </i>	36		×
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		×
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note: All Form 990 filers are required to complete Schedule O.	38	×	
Part	V Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V			
_	5 - 11 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -		Yes	No
1a b	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	10		

Part '	V Statements Regarding Other IRS Filings and Tax Compliance (continued)			
			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax			
		б		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? .	2b	×	
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		×
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O.	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over,			
	a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		×
b	If "Yes," enter the name of the foreign country ▶			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		×
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		×
С	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5с		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the			
	organization solicit any contributions that were not tax deductible as charitable contributions?	6a		×
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or			
	gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods			
	and services provided to the payor?	7a		×
	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			
	required to file Form 8282?	7c		×
d	If "Yes," indicate the number of Forms 8282 filed during the year			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		×
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? .	7f		×
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	 	-
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the			
_	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a		₩
	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on Part VIII, line 12	_		
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . [10b]	_		
11	Section 501(c)(12) organizations. Enter: Gross income from members or shareholders			
a		-		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b	12a		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	-		
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
a	Note: See the instructions for additional information the organization must report on Schedule O.	100		
h	Enter the amount of reserves the organization is required to maintain by the states in which			
b	the organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		×
	If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation on Schedule O</i> .	14b	+	\vdash
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or	_	+	
13	excess parachute payment(s) during the year?	15		×
	If "Yes," see instructions and file Form 4720, Schedule N.	.0		
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		×
. •	If "Yes," complete Form 4720. Schedule O			

Part	Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O.			
	Check if Schedule O contains a response or note to any line in this Part VI			
Secti	on A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 14			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar			
	committee, explain on Schedule O.			
b	Enter the number of voting members included on line 1a, above, who are independent .			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		×
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person? .	3		×
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		×
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .	5		×
6	Did the organization have members or stockholders?	6		×
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		×
b	Are any governance decisions of the organization reserved to (or subject to approval by) members,			
	stockholders, or persons other than the governing body?	7b		×
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
а	The governing body?	8a	×	
b	Each committee with authority to act on behalf of the governing body?	8b	×	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? <i>If "Yes," provide the names and addresses on Schedule O</i>	9		×
Secti	on B. Policies (This Section B requests information about policies not required by the Internal Reven	ue Co	ode.)	
			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		×
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	×	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	×	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	×	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	×	
13	Did the organization have a written whistleblower policy?	13	×	
14	Did the organization have a written document retention and destruction policy?	14	×	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a	×	
b	Other officers or key employees of the organization	15b	×	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		×
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the			
	organization's exempt status with respect to such arrangements?	16b		
Secti	on C. Disclosure			
17	List the states with which a copy of this Form 990 is required to be filed ▶			
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-1 (3)s only) available for public inspection. Indicate how you made these available. Check all that apply.			
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict or and financial statements available to the public during the tax year.	f inter	est p	olicy,
20	State the name, address, and telephone number of the person who possesses the organization's books and recoldin Group LLC, 4641 Montgomery Ave, #300, Bethesda, MD 20814 (202)952-2		>	

Form 990 (2020)

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

Officer this box in Heither the organization					C)	 			
(A) Name and title	Average hours per week (list any hours for related organizations below dotted line) (B) Average hours per week (list any hours for related organizations below dotted line)				(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations		
(1) Steve Brescia	50.00								
Executive Director				×			125,940.	0.	8,640.
(2) Vance Russell Chair	2.00	×		×			0.	0.	0.
(3) D. Merrill Ewert	2.00								
Vice Chair		×		×			0.	0.	0.
(4) Mark Stone Treasurer	1.00	×		×			0.	0.	0.
(5) Jan Middendorf	1.00								
Secretary		×		×			0.	0.	0.
(6) Tim Lasalle	0.50								
Int'l Council Rep.		×					0.	0.	0.
(7) Elizabeth Kucinich Board Member	0.50	×					0.	0.	0.
(8) Muthusami Kumaran Board Member	0.50	×					0.	0.	0.
(9) Fatou Batta Board Member	0.50	×					0.	0.	0.
(10) Margaret Malloy Board Member	0.50	×					0.	0.	0.
(11) Cantave Jen Batiste Board Member	0.50	×					0.	0.	0.
(12) Jay Slaughter Board Member	0.50	×					0.	0.	0.
(13) Afua Bruce Board Member	0.50	×					0.	0.	0.
(14) Jeannette Tramhel Board Member	0.50	×					0.	0.	0.

Part	VII Section A. Officers, Directors, 1	rustees,	Key I	Em	plo	yee	s, an	d F	lighest Compe	nsated Em	nplo	yees (continued		
	(C)													
	(A)	(B) Position (D) (E)									(F)			
	Name and title	Average	١,				e than d is both		Reportable	Reportable	е	Estimated amount		
		hours					or/trust		compensation	compensation		of other		
		per week (list any	악고	7	Q	<u>~</u>	g 프	F	from the organization	from relate organization		compensation from the		
		hours for	divi	stitu	Officer	Key employee	ghe	Former	(W-2/1099-MISC)	(W-2/1099-M		organization and		
		related	dual	tior	٦	<u> </u>	st c	4				related organizations		
		organizations below	ੌ ੜੂ	lal t		oye) mg							
		dotted line)	Individual trustee or director	Institutional trustee		Φ	ens							
				96			Highest compensated employee							
(15) B	nadra Sheela Durgabakshi	0.50												
	pard Member		×						0.		0.	0		
(16)														
3			1											
(17)														
3			1											
(18)														
3														
(19)														
32			1											
(20)														
32			1											
(21)														
32			1											
(22)														
32			1											
(23)														
32			1											
(24)														
32			1											
(25)														
32			1											
1b	Subtotal			٠.					125,940.		0.	8,640		
С	Total from continuation sheets to Part	VII, Sectio	n A					>						
d									125,940.		0.	8,640		
2	Total number of individuals (including but	not limited	to th	nose	e list	ted	above	e) w	ho received mor	e than \$100	,000	of		
	reportable compensation from the organi	zation ►					1							
												Yes No		
3	Did the organization list any former of	officer, dire	ector,	tru	ste	e, k	ey e	mpl	oyee, or highes	t compens	ated			
	employee on line 1a? If "Yes," complete	Schedule J	for s	uch	ind	ivid	ual					3 ×		
4	For any individual listed on line 1a, is the	sum of re	portal	ble	con	npei	nsatio	n a	nd other compe	nsation from	the			
	organization and related organizations									dule J for s	such			
	individual											4 ×		
5	Did any person listed on line 1a receive of													
	for services rendered to the organization	? If "Yes," c	compl	lete	Sch	nedu	ıle J f	or s	such person .			5 X		
Secti	on B. Independent Contractors													
1	Complete this table for your five high													
	compensation from the organization. Rep	ort compen	satio	n foi	r the	ca	lenda	r ye	ar ending with or	within the o	rgan	ization's tax year		
	(A)								(B)			(C)		
	Name and business add	ress							Description of serv	rices	(Compensation		
		,, ,						L		, , <u> </u>				
2	Total number of independent contractor) th	iose listed abov	e) who				
	received more than \$100,000 of compens	auon trom 1	ıne or	gan	ıızat	ıon	_							

Part VIII Statement of Revenue

		Check if Schedule	Осо	ntains a re	spon	ise or note to ai	າy line in this Pa	ırt VIII		
							(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512–514
S S	1a	Federated campaig	ns .		1a					
Contributions, Gifts, Grants and Other Similar Amounts	b	Membership dues			1b		-			
يَ ق	С	Fundraising events			1c		-			
E E	d	Related organization			1d		1			
<u>a</u>	е	Government grants			1e	92,761.	-			
ns,	f	All other contribution		-		, ,	-			
e Si	-	and similar amounts no			1f	3,278,515.				
혈美	а	Noncash contribution	ons in	cluded in			-			
t e	9	lines 1a–1f			1g	\$				
a S	h	Total. Add lines 1a-					3,371,276.			
						Business Code				
e e	2a	Services				541900	13,503.	13,503.	0.	0.
ام جَ	b						13,303.	13,303.	0.	<u> </u>
gram Ser Revenue	c									
E S	d									
gra	e									
Program Service Revenue	f	All other program se								
-	g	Total. Add lines 2a-				•	13,503.			
	3	Investment income					23,3331			
	Ū	other similar amoun	,	•			2,705.	0.	0.	2,705.
	4	Income from investr								
	5	Royalties			•	•				
		,		(i) Rea		(ii) Personal				
	6a	Gross rents	6a				-			
	b	Less: rental expenses	6b				-			
	С	Rental income or (loss)	6c				-			
	d	Net rental income o		s)		•				
	7a	Gross amount from	((i) Securit		(ii) Other				
	1 a	sales of assets					-			
		other than inventory	7a		57.					
ø	h	Less: cost or other basis					-			
Revenue	~	and sales expenses .	7b							
e Ve	С	Gain or (loss)	7c		57.		-			
-	d					•	57.	0.	0.	57.
Other	8a	Gross income from	m fu	ndraising				Ů.	3.	3 <i>,</i> •
ŏ		events (not including								
		of contributions rep		d on line						
		1c). See Part IV, line	18		8a					
	b	Less: direct expens	es .		8b		-			
	С	Net income or (loss)			g eve	ents ►				
	9a	Gross income f	rom	aamina	Ĭ					
		activities. See Part I			9a					
	b	Less: direct expens	es .		9b					
		Net income or (loss)			ctivitie	es >				
	10a	Gross sales of ir	nvent	orv. less						
	-	returns and allowan			10a					
	b	Less: cost of goods	sold		10b		-			
	С	Net income or (loss)			vento	ory >				
<u>o</u>						Business Code				
e gr	11a									
ane in	b									
scellaneo Revenue	С									
Miscellaneous Revenue	d	All other revenue								
Σ	е	Total. Add lines 11a	a–11c	1		•				
	12	Total revenue. See				🕨	3,387,541.	13,503.	0.	2,762.

Part IX Statement of Functional Expenses

Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ► ☐ if following SOP 98-2 (ASC 958-720) . . .

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX (**D**) Fundraising expenses (B) Program service expenses Do not include amounts reported on lines 6b. 7b. (A) Total expenses Management and general expenses 8b. 9b. and 10b of Part VIII. Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 2 Grants and other assistance to domestic individuals. See Part IV, line 22 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 1,739,803. 1,739,803. Benefits paid to or for members Compensation of current officers, directors, 5 trustees, and key employees 134,580. 79,313. 19,931. 35,336. 6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . 7 Other salaries and wages 446,203. 98,093. 335,272. 12,838. Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) Other employee benefits 9,640. 9 32,571. 18,768. 4,163. 10 Payroll taxes 45,377. 25,110. 8,403. 11,864. Fees for services (nonemployees): 11 Legal 7,237. 2,439. 2,399. 2,399. Accounting 8,560. 2,853. 2,853. 2,854. Lobbying Professional fundraising services. See Part IV, line 17 Investment management fees 334. 334. 0. 0. Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) 20,019. 105,507. 36,799. 48,689. 12 Advertising and promotion 13 Office expenses 10,605. 6,651. 708. 3,246. Information technology 14 36,921. 13,304. 5,705. 17,912. 15 Occupancy 30,778. 15,394. 7,574. 7,810. 16 3,938. 29,464. 22,058. 3,468. 17 Payments of travel or entertainment expenses 18 for any federal, state, or local public officials 19 Conferences, conventions, and meetings . 20 21 Payments to affiliates 22 Depreciation, depletion, and amortization . 23 10,329. 2,077. 1,175. 7,077. 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) Program Service Contracts 30,481. 30,481. 0. 0. Licenses, Fees & Permits 1,576. 297. 933. 346. c Other Expenses 2,337. 844. 87. 1,406. Currency Exchange Rate Loss 239. 239. 0. 0. All other expenses Total functional expenses. Add lines 1 through 24e 25 2,672,902. 2,331,463. 91,299. 250,140.

Part X Balance Sheet

		Check if Schedule O contains a response of flote to any line in this Pa	(A) Beginning of year		(B) End of year
	1	Cash-non-interest-bearing	288,578.	1	1,018,563.
	2	Savings and temporary cash investments	358,234.	2	560,649.
	3	Pledges and grants receivable, net	323,545.	3	30,142.
	4	Accounts receivable, net		4	
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
		controlled entity or family member of any of these persons		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B).		6	
'n	7	Notes and loans receivable, net		7	
Assets	8	Inventories for sale or use		8	
1SS	9	h h	0 170	9	10.050
•		Prepaid expenses and deferred charges	9,179.	9	10,852.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 10a 11,874.			
	b	Less: accumulated depreciation 10b 11,874.	0.	10c	0.
	11	Investments—publicly traded securities	2,786.	11	0.
	12	Investments – other securities. See Part IV, line 11		12	
	13	Investments—program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	27,075.	15	28,610.
	16	Total assets. Add lines 1 through 15 (must equal line 33)	1,009,397.	16	1,648,816.
	17	Accounts payable and accrued expenses	38,197.	17	26,259.
	18	Grants payable	85,655.	18	19,664.
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
Liabilities	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
ige		controlled entity or family member of any of these persons		22	
Ë	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X			
	06	of Schedule D	102 050	25	0.
	26	Total liabilities. Add lines 17 through 25	123,852.	26	45,923.
nces		Organizations that follow FASB ASC 958, check here ► ⊠ and complete lines 27, 28, 32, and 33.			
ala	27	Net assets without donor restrictions	88,704.	27	201,837.
8	28	Net assets with donor restrictions	796,841.	28	1,401,056.
Net Assets or Fund Balances		Organizations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 29 through 33.			
ō	29	Capital stock or trust principal, or current funds		29	
ets	30	Paid-in or capital surplus, or land, building, or equipment fund		30	
SS	31	Retained earnings, endowment, accumulated income, or other funds		31	
λA	32	Total net assets or fund balances	885,545.	32	1,602,893.
Ž	33	Total liabilities and net assets/fund balances	1,009,397.	33	1,648,816.
			,,		Earm QQ () (2020)

Form 990 (2020) Page **12**

Check if Schedule O contains a response or note to any line in this Part XI	Part	XI Reconciliation of Net Assets			•	
Total expenses (must equal Part IX, column (A), line 25) Revenue less expenses. Subtract line 2 from line 1 Revenue less expenses. Subtract line 2 from line 1 Net unrealized gains (losses) on investments Net unrealized gains (losses) on investments Donated services and use of facilities Prior period adjustments Prior period adjustments Cher changes in net assets or fund balances (explain on Schedule O) Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) Part XIII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII Accounting method used to prepare the Form 990: Cash Accounting method used to prepare the Form 990: Revenue days an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis Both consolidated and separate basis Were the organization hanged either its oversight process or selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? By If "Yes," did the organization undergo the required audit or audits? If the organization indudergo the required audit or audits, explain why on Schedule O and describe any steps take		Check if Schedule O contains a response or note to any line in this Part XI				
Revenue less expenses. Subtract line 2 from line 1 Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) Net unrealized gains (losses) on investments Donated services and use of facilities Investment expenses Prior period adjustments Pother changes in net assets or fund balances (explain on Schedule O) Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) Part XIII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII Check if Schedule O contains a response or note to any line in this Part XII Accounting method used to prepare the Form 990:	1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,3	87,5	41.
Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	2	Total expenses (must equal Part IX, column (A), line 25)	2	2,6	72,9	02.
5 Net unrealized gains (losses) on investments	3		3	7.	14,6	39.
6 Donated services and use of facilities 6	4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	8	85,5	45.
7 Investment expenses 7 8 Prior period adjustments 8 9 Other changes in net assets or fund balances (explain on Schedule O) 9 10 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) 1,602,893. Part XII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII	5	Net unrealized gains (losses) on investments	5		2,7	09.
9 Other changes in net assets or fund balances (explain on Schedule O) . 9 10 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) . 1,602,893. Part XIII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII	6	Donated services and use of facilities	6			
9 Other changes in net assets or fund balances (explain on Schedule O)	7	Investment expenses	7			
Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	8	Prior period adjustments	8			
32, column (B)) 1,602,893. 2 1,6	9	Other changes in net assets or fund balances (explain on Schedule O)	9			
Check if Schedule O contains a response or note to any line in this Part XII	10					
Check if Schedule O contains a response or note to any line in this Part XII		32, column (B))	10	1,6	02,8	93.
1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other☐ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. 2a Were the organization's financial statements compiled or reviewed by an independent accountant?	Part	•				_
1 Accounting method used to prepare the Form 990: □ Cash ☒ Accrual □ Other □ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. 2a Were the organization's financial statements compiled or reviewed by an independent accountant?		Check if Schedule O contains a response or note to any line in this Part XII				
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. 2a Were the organization's financial statements compiled or reviewed by an independent accountant?					Yes	No
Schedule O. 2a Were the organization's financial statements compiled or reviewed by an independent accountant?	1			_		
Were the organization's financial statements compiled or reviewed by an independent accountant?			plain	in		
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits. 3b	_			_		
reviewed on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits. 3b	2a	·				<u>×</u>
□ Separate basis □ Consolidated basis □ Both consolidated and separate basis b Were the organization's financial statements audited by an independent accountant?			piled	or		
b Were the organization's financial statements audited by an independent accountant?		·				
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		· · · · · · · · · · · · · · · · · · ·				
separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits. 3b	b	· · · · · · · · · · · · · · · · · · ·			×	
 ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?			ed on	a		
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits. 3b		·				
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If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	С	· · · · · · · · · · · · · · · · · · ·	_			
Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?					X	
Single Audit Act and OMB Circular A-133?			piain d	on		
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits .	3a		h in th			
required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits . 3b		<u> </u>				×
	b	, , , , , , , , , , , , , , , , , , , ,	_			
			ıdıts .		000	

REV 08/16/21 PRO Form **990** (2020)

Additional information from your Form 990: Return of Organization Exempt from Income Tax

Form 990: Return of Organization Exempt from Income Tax Form 990, Page 2, Part III, Line 4c (continued)

Continuation Statement

Description
South Asia Partner BBB -Pariwar in Nepal
Strengthened 22 savings and credit groups with 495 members
Trained 752 smallholder farmers in agroecological farming practices
Distributed goats to 368 rural women who will distribute the kids to others
Benefitted directly and indirectly 3,740 people

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service ► Attach to Form 990 or Form 990-EZ.
► Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection

Employer identification number Name of the organization Groundswell International, Inc. 27-1493841 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in 5 section 170(b)(1)(A)(iv). (Complete Part II.) ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.) 8 An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross 10 receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12d, Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving а the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. b Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) d that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV. Sections A and D. and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations . . . Provide the following information about the supported organization(s). (iii) Type of organization (i) Name of supported organization (ii) EIN (iv) Is the organization (v) Amount of monetary (vi) Amount of (described on lines 1-10 listed in your governing support (see other support (see above (see instructions)) document? instructions) instructions) Yes No (A) (B) (C) (D) (E)

Total

18

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) Part II (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (or fiscal year beginning in) ▶ (a) 2016 **(b)** 2017 (c) 2018 (d) 2019 **(e)** 2020 (f) Total Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . 1,930,233. 1,863,767. 2,301,013. 1,954,200. 3,371,276. 11,420,489. Tax revenues levied for the organization's benefit and either paid to or expended on its behalf The value of services or facilities furnished by a governmental unit to the organization without charge 1,930,233. 1,863,767. 2,301,013. 1,954,200. 3,371,276. 11,420,489. Total. Add lines 1 through 3. . . . 4 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) 4,404,001. Public support. Subtract line 5 from line 4 7,016,488. Section B. Total Support Calendar year (or fiscal year beginning in) ▶ (a) 2016 **(b)** 2017 (c) 2018 (d) 2019 (e) 2020 (f) Total 1,930,233. 1,863,767. 2,301,013. 1,954,200. 3,371,276. 11,420,489. 7 Amounts from line 4 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources 134 293. 317. 2,705. 8,476. 11,925. Net income from unrelated business 9 activities, whether or not the business is regularly carried on 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) 537. 0. 0. 537. **Total support.** Add lines 7 through 10 11 11,432,951. Gross receipts from related activities, etc. (see instructions) 12 37,206. 13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) Section C. Computation of Public Support Percentage Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f)) 61.37 % 14 15 Public support percentage from 2019 Schedule A, Part II, line 14 15 331/3% support test - 2020. If the organization did not check the box on line 13, and line 14 is 331/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization 331/3% support test - 2019. If the organization did not check a box on line 13 or 16a, and line 15 is 331/3% or more, check 17a 10%-facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported 10%-facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported

Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see

Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.) If the organization fails to qualify under the tests listed below, please complete Part II.)

Secti	on A. Public Support			, ,		,	
Calen	dar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1	Gifts, grants, contributions, and membership fees						
	received. (Do not include any "unusual grants.")						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the						
•	organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
7a	Amounts included on lines 1, 2, and 3						
	received from disqualified persons						
b	Amounts included on lines 2 and 3						
-	received from other than disqualified						
	persons that exceed the greater of \$5,000						
	or 1% of the amount on line 13 for the year						
С	Add lines 7a and 7b						
8	Public support. (Subtract line 7c from						
	line 6.)						
	on B. Total Support						_
	dar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9	Amounts from line 6						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources.						
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
С	Add lines 10a and 10b						
11	Net income from unrelated business activities not included in line 10b, whether						
40	or not the business is regularly carried on						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First 5 years. If the Form 990 is for the organization, check this box and stop he	•			-	ear as a sectio	. , . ,
Secti	on C. Computation of Public Suppor						
15	Public support percentage for 2020 (line 8			13, column (f))		15	%
16	Public support percentage from 2019 Sch						%
	on D. Computation of Investment Inc	come Perce	ntage			1	
17	Investment income percentage for 2020 (oy line 13, colu	ımn (f))	17	%
18	Investment income percentage from 2019			-	. ,,		%
19a	331/3% support tests-2020. If the organi						
	17 is not more than 331/3%, check this box						
b	331/3% support tests-2019. If the organiz						
	line 18 is not more than 331/3%, check this b	oox and stop h	ere. The organ	ization qualifies	as a publicly s	upported organ	ization 🕨 🗌
20	Private foundation. If the organization di	d not check a	box on line 14	, 19a, or 19b, o	check this box	and see instru	ctions 🕨 🗌

Part IV **Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

ecu	on A. All Supporting Organizations			
			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B)			
	purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?			
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5b 5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.	9b		
С	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.	9c		
10a	4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated			
L.	supporting organizations)? If "Yes," answer line 10b below.	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)	10b		

Part	Supporting Organizations (continued)					
			Yes	No		
11	Has the organization accepted a gift or contribution from any of the following persons?					
а	A person who directly or indirectly controls, either alone or together with persons described in lines 11b and					
	11c below, the governing body of a supported organization?	11a				
b	A family member of a person described in line 11a above?	11b				
С	A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide					
	detail in Part VI.	11c				
Secti	on B. Type I Supporting Organizations					
	<u> </u>		Yes	No		
4						
1	Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or					
	more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,					
	directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s)					
	effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the					
	supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.					
		1				
2	Did the organization operate for the benefit of any supported organization other than the supported					
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part					
	VI how providing such benefit carried out the purposes of the supported organization(s) that operated,					
	supervised, or controlled the supporting organization.	2				
Secti	on C. Type II Supporting Organizations					
			Yes	No		
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors					
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control					
	or management of the supporting organization was vested in the same persons that controlled or managed					
	the supported organization(s).	1				
Secti	on D. All Type III Supporting Organizations					
	<u> </u>		Yes	No		
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			110		
•	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax					
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the					
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1				
2						
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how					
	the organization maintained a close and continuous working relationship with the supported organization(s).					
_		2				
3	By reason of the relationship described in line 2, above, did the organization's supported organizations have					
	a significant voice in the organization's investment policies and in directing the use of the organization's					
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's					
	supported organizations played in this regard.	3				
Secti	on E. Type III Functionally Integrated Supporting Organizations					
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see it	instru	ctions	s).		
а	☐ The organization satisfied the Activities Test. Complete line 2 below.					
b	☐ The organization is the parent of each of its supported organizations. Complete line 3 below.					
С	☐ The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity	(see in	struct	ions).		
2	Activities Test. Answer lines 2a and 2b below.		Yes	No		
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of					
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify					
	those supported organizations and explain how these activities directly furthered their exempt purposes,					
	how the organization was responsive to those supported organizations, and how the organization determined					
	that these activities constituted substantially all of its activities.	2a				
b	Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement,					
~	one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in					
	Part VI the reasons for the organization's position that its supported organization(s) would have engaged in					
	these activities but for the organization's involvement.	2b				
2	-	20				
3	Parent of Supported Organizations. <i>Answer lines 3a and 3b below.</i> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or					
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No," provide details in Part VI.					
1		3a				
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Vos." describe in Part VI the role played by the organization in this regard					
	of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b				

Part	V Type III Non-Functionally Integrated 509(a)(3) Supporting Org	gani	zations	
1	Check here if the organization satisfied the Integral Part Test as a qualifying instructions. All other Type III non-functionally integrated supporting organ	•	,	,
Sect	ion A—Adjusted Net Income	nzac	(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Sect	ion B—Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
С	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	ion C-Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		
7	☐ Check here if the current year is the organization's first as a non-functional	_	ntegrated Type III support	ting organization
•	(see instructions).	uny i	mogration Type III suppor	ang organization

Schedule A (Form 990 or 990-EZ) 2020

Secti	on D—Distributions				Current Year
1	Amounts paid to supported organizations to accomplish	exempt purposes		1	
2	Amounts paid to perform activity that directly furthers exe		rted		
	organizations, in excess of income from activity	2			
3	Administrative expenses paid to accomplish exempt purp	oses of supported orga	nizations	3	
4	Amounts paid to acquire exempt-use assets	11 0		4	
5	Qualified set-aside amounts (prior IRS approval required-	provide details in Part	VI)	5	
6	Other distributions (describe in Part VI). See instructions.	,	,	6	
7	Total annual distributions. Add lines 1 through 6.			7	
8	Distributions to attentive supported organizations to whic	h the organization is res	ponsive		
	(provide details in Part VI). See instructions.			8	
9	Distributable amount for 2020 from Section C, line 6			9	
10	Line 8 amount divided by line 9 amount			10	
Secti	on E—Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributior Pre-2020	าร	(iii) Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6				
2	Underdistributions, if any, for years prior to 2020 (reasonable cause required—explain in Part VI). See instructions.				
3	Excess distributions carryover, if any, to 2020				
а	From 2015				
b	From 2016				
С	From 2017				
d	From 2018				
е	From 2019				
f	Total of lines 3a through 3e				
g	Applied to underdistributions of prior years			_	
h	Applied to 2020 distributable amount				
i	Carryover from 2015 not applied (see instructions)				
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.				
4	Distributions for 2020 from Section D, line 7: \$				
а	Applied to underdistributions of prior years				
b	Applied to 2020 distributable amount				
С	Remainder. Subtract lines 4a and 4b from line 4.				
5	Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, <i>explain in Part VI</i> . See instructions.				
6	Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.				
7	Excess distributions carryover to 2021. Add lines 3j and 4c.				
8	Breakdown of line 7:				
а	Excess from 2016				
b	Excess from 2017				
С	Excess from 2018				
d	Excess from 2019				
_	Excess from 2020				

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Schedule A (Form 990 or 990-EZ) 2020

Part VI	Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)
Pt II 1	Ln 10: Other Income Part II, Line 10 Description: Other Income 2016: 0.
2018: !	537. 2019: 0.

SCHEDULE D (Form 990)

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

Inspect

Groundswell International, Inc. 27-1493841 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Part I Complete if the organization answered "Yes" on Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts 1 Total number at end of year 2 Aggregate value of contributions to (during year) . 3 Aggregate value of grants from (during year) . . 4 Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised 5 funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose ☐ Yes ☐ No **Conservation Easements.** Part II Complete if the organization answered "Yes" on Form 990, Part IV, line 7. Purpose(s) of conservation easements held by the organization (check all that apply). Preservation of land for public use (for example, recreation or education) Preservation of a historically important land area Protection of natural habitat Preservation of a certified historic structure Preservation of open space Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year. Held at the End of the Tax Year a Total number of conservation easements . . . 2a 2b Number of conservation easements on a certified historic structure included in (a) 2c Number of conservation easements included in (c) acquired after 7/25/06, and not on a 2d Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the 3 tax year ► Number of states where property subject to conservation easement is located ▶ Does the organization have a written policy regarding the periodic monitoring, inspection, handling of Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year 6 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements. Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Part III Complete if the organization answered "Yes" on Form 990, Part IV, line 8. If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items. b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

Schedule D (Form 990) 2020 Page **2**

Part	III Organizations Maintaining	Collections of	Art, His	torical 1	Treasures, c	or Ot	her Similar Ass	ets (cont	inued)
3	Using the organization's acquisition, collection items (check all that apply):		her recor	ds, chec	k any of the	follow	ring that make sig	nificant u	se of its
а	☐ Public exhibition		d	Loan	or exchange	progra	am		
b	☐ Scholarly research		е	Other					
С	☐ Preservation for future generations	3							
4	Provide a description of the organiza XIII.		and expla	ain how t	hey further th	e org	anization's exemp	ot purpose	∍ in Part
5	During the year, did the organization								
	assets to be sold to raise funds rather		ined as p	part of the	e organizatior	ı's co	llection?	☐ Yes	☐ No
Part		•							
	Complete if the organization	answered "Yes'	' on For	m 990, F	Part IV, line 9	9, or i	reported an amo	ount on F	orm
	990, Part X, line 21.								
1a	Is the organization an agent, trustee								
h	included on Form 990, Part X? If "Yes," explain the arrangement in P							☐ Yes	☐ No
b	ii res, explain the arrangement in F	art Aili and comple	ete the lo	mowing to	able.		Am	ount	
С	Beginning balance					1c		Odific	
d	Additions during the year					1d			
	Distributions during the year					1e			
e •						1f			
f	Ending balance							□ Vaa	
2a	Did the organization include an amou						-		∐ No
Par	If "Yes," explain the arrangement in P Endowment Funds.	art Alli. Check here	e ii trie ex	кріапацоі	n nas been pr	ovide	on Part XIII		
Par	Complete if the organization	anawarad "Vaa"	, on Lor	000 F	Dort IV line	10			
	Complete if the organization						(A) Thurs	(-) F	
	B : : ()	(a) Current year		or year	(c) Two years b	_	(d) Three years back	(e) Four ye	
1a	Beginning of year balance	25,350.	22	2,263.	24,5		22,856.	22	2,641.
b	Contributions				2.	50.			
С	Net investment earnings, gains, and								
_	losses	3,056.		1,595.	-1,0	34.	3,165.	1	,619.
d	Grants or scholarships								
е	Other expenditures for facilities and								
	programs	1,187.		l,172.			1,124.	1	.,094.
f	Administrative expenses	334.		336.		49.	353.		310.
g	End of year balance	26,885.		5,350.	22,2		24,544.	22	2,856.
2	Provide the estimated percentage of			e (line 1g	ı, column (a)) l	held a	as:		
а	Board designated or quasi-endowme	nt ▶97.8	3 %						
b	Permanent endowment ▶ 2	. 2 %							
С	Term endowment ▶%	ı							
	The percentages on lines 2a, 2b, and								
3a	Are there endowment funds not in th	e possession of th	e organi:	zation tha	at are held an	nd adr	ministered for the		
	organization by:							Y	es No
	(i) Unrelated organizations							3a(i)	×
	(ii) Related organizations							3a(ii)	×
b	If "Yes" on line 3a(ii), are the related of	rganizations listed	as requi	red on So	chedule R? .			3b	
4	Describe in Part XIII the intended uses	s of the organization	n's endo	wment fu	unds.				
Part	VI Land, Buildings, and Equip	oment.							
	Complete if the organization	answered "Yes'	on For	m 990, F	Part IV, line	11a. S	See Form 990, F	art X, lin	e 10.
	Description of property	(a) Cost or ot	her basis	(b) Cost o	or other basis	(c) /	Accumulated	(d) Book v	alue
		(investme	ent)	(0	ther)	de	preciation		
1a	Land		0.						0.
b	Buildings								
С	Leasehold improvements								
d	Equipment				11,874.		11,874.		0.
е	Other								
Total	Add lines 1a through 1e. (Column (d) r		90 Part	Column	(R) line 10c)	•		0

Schedule D (Form 990) 2020 Page **3**

Part VII	Investments—Other Securities. Complete if the organization answered "Yes" on For	rm 990 Part IV line	e 11h See Form	990 Part X line 12
	(a) Description of security or category	(b) Book value		nod of valuation:
	(including name of security)	(a) Dook value		of-year market value
(1) Financial	derivatives			
	neld equity interests			
(3) Other				
(A)				
(B)				
(C)				
(D)		_		
(E)		_		
(F)		_		
(G)		_		
(H)	man (h) must agual Farm 000 Part V and (D) line 12	-		
Part VIII	mn (b) must equal Form 990, Part X, col. (B) line 12.) . Investments—Program Related.			
Part VIII	Complete if the organization answered "Yes" on Fo	rm 990 Part IV line	e 11c. See Form	990 Part X line 13
	(a) Description of investment	(b) Book value		nod of valuation:
	(a) Description of investment	(b) book value		of-year market value
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
Total. (Colui	mn (b) must equal Form 990, Part X, col. (B) line 13.) . •			
Part IX	Other Assets.			
	Complete if the organization answered "Yes" on Fo	rm 990, Part IV, lind	e 11d. See Form	990, Part X, line 15.
	(a) Description			(b) Book value
(1) Endown	ment at the Oklahoma City Community Foun	dation		26,885.
(2) Securi	ity Deposit			1,725.
(3)				
_(4)				
(5)				
(6)				
_(7)				
(8)				
(9)	(h) manual Farma (200 Part V and (P) line (F)			
	mn (b) must equal Form 990, Part X, col. (B) line 15.)	<u> </u>	•	28,610.
Part X	Other Liabilities.	wa 000 Dart IV lia	0 110 or 11f Cod	Laws 000 Dart V
	Complete if the organization answered "Yes" on Folline 25.	rm 990, Part IV, Im	e i le or i ii. See	e Form 990, Part X,
1.	(a) Description of liability		1	(b) Dook value
(1) Federal in	** * * * * * * * * * * * * * * * * * * *			(b) Book value
	icome taxes			0
(2) None				0.
(3)				
(4)				
(5)				
(6)				
<u>(7)</u>				
(8) (9)				
	mn (b) must equal Form 990, Part X, col. (B) line 25.)			0.
	runcertain tax positions. In Part XIII, provide the text of the footn			
	s liability for uncertain tax positions under FASB ASC 740. Chec			

Schedule D (Form 990) 2020 Page 4

Part X				ue per l	Return	l .
	Complete if the organization answered "Yes" on Form 990, I					
	otal revenue, gains, and other support per audited financial statements				1	3,389,677.
	mounts included on line 1 but not on Form 990, Part VIII, line 12:	1 1				
	let unrealized gains (losses) on investments	2a	2	,709.		
	onated services and use of facilities	2b				
	ecoveries of prior year grants	2c				
d C	Other (Describe in Part XIII.)	2d				
e A	dd lines 2a through 2d				2e	2,709.
3 S	subtract line 2e from line 1				3	3,386,968.
4 A	mounts included on Form 990, Part VIII, line 12, but not on line 1:					
a li	ovestment expenses not included on Form 990, Part VIII, line 7b	4a		334.		
b (Other (Describe in Part XIII.)	4b		239.		
c A	dd lines 4a and 4b				4c	573.
5 T	otal revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line	12.)			5	3,387,541.
Part X	Reconciliation of Expenses per Audited Financial Statem	nents	With Exper	ises pe	r Retu	rn.
	Complete if the organization answered "Yes" on Form 990, F	Part I\	/, line 12a.			
1 T	otal expenses and losses per audited financial statements				1	2,672,329.
2 A	mounts included on line 1 but not on Form 990, Part IX, line 25:					
a D	onated services and use of facilities	2a				
	rior year adjustments	2b				
	Other losses	2c				
	Other (Describe in Part XIII.)	2d				
	dd lines 2a through 2d				2e	
	subtract line 2e from line 1				3	2,672,329.
	mounts included on Form 990, Part IX, line 25, but not on line 1:	i .				2,072,327.
	envestment expenses not included on Form 990, Part VIII, line 7b	4a		334.		
	Other (Describe in Part XIII.)			239.		
	dd lines 4a and 4b				4c	573.
	otal expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line				5	2,672,902.
Part X		0 10.,	<u></u>			2,072,002.
Provide	the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and					
2; Part X	I, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part	to prov	vide any addi	tional in	formation	on.
D+ v	Line 2: Groundswell is exempt from federal incom	no + a	vog under	501/	a) (3)	
	Time 2. Groundswell is exempt from rederal incom-			. 301(
of the	Internal Revenue Code. Under the Code, however,	. inc	ome from	certa	in ac	tivities
not re	elated to an organization's tax-exempt purpose ma	ay be	subject	to ta	xatio	n
as uni	related business income. The organization had no	inco	me from u	nrela	ted b	usiness
activ	ties in 2018 and was, therefore, not required to		e Federal	Form	990-	 т
(Exemp	ot Organization Business Income Tax Return). The	orga	nization	belie	ves t	hat
it has	s appropriate support for all tax positions taken	ı, an	d as such	ı, doe	s not	
have a	any uncertain tax positions that are material to	the	financial	. stat	ement	s.
	Line 4: To build a fund that will eventually sup					
	Different to built a fund that will evenicually sup-	PTEIII	CIIC ODELC	9	սսբբ	- C •
Pt XI						
	Line 4b: Foreign currency exchange rate loss \$2	239				

Schedule D (Fo	orm 990) 2020	Page \$
Part XIII	Supplemental Information (continued)	

SCHEDULE F (Form 990)

Statement of Activities Outside the United States ► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

OMB No. 1545-0047 20**20**

Open to Public Inspection

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization

Employer identification number

Groundswell International, Inc. 27-1493841 General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Part I Form 990, Part IV, line 14b. For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? X Yes

☐ No 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States. 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) (c) Number of (b) Number (d) Activities conducted in the (e) If activity listed in (d) is (f) Total employees, of offices in expenditures for region (by type) (such as, a program service, agents, and the region fundraising, program services, describe specific type of and investments independent investments, grants to recipients service(s) in the region in the region contractors located in the region) in the region 0 (1) Central America Program Services Agricultural 8,255. (2) Central America 0 3 Regional Grants Agricultural 591,926. (3) South America 0 1 Program Services Agricultural 38,002. Agricultural (4) South America 0 2 Regional Grants 156,795. 0 (5) Sub-Saharan Africa 2 Program Services Agricultural 81,000. 0 (6) Sub-Saharan Africa 4 Regional Grants Agricultural 954,282. (7) South Asia 0 1 Program Services Agricultural 5,700. (8) South Asia 0 36,800. 1 Regional Grants Agricultural (9)(10)(11)(12)(13)(14)(15)(16)(17)Subtotal 0 16 1,872,760. Total from continuation sheets to Part I Totals (add lines 3a and 3b) 1,872,760. 16

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			Central America	Haiti Program	381,898.	Wire			
(2)			Central America	Honduras Program	57,498.	Wire			
(3)			Central America	Mexico Program	152,530.	Wire			
(4)			South America	Ecuador Program	66,750.	Wire			
(5)			South America	Guatemala Program	90,045.	Wire			
(6)			Sub-Saharan Africa	Burkina Faso Program	298,932.	Wire			
(7)			Sub-Saharan Africa	Ghana Program	450,828.	Wire			
(8)			Sub-Saharan Africa	Mali Program	122,264.	Wire			
(9)			Sub-Saharan Africa	Senegal Program	82,258.	Wire			
(10)			South Asia	Nepal Program	36,800.	Wire			
(11)									
(12)									
(13)									
(14)									
(15)									
(16)				sted above that are r					

	oreign country, recognized as a tax
exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 5	01(c)(3) equivalency letter

10

Schedule F (Form 990) 2020

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
_(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)		PEV 08/16/21 PPC					

Schedule F (Form 990) 2020 Page **4**

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	☐ Yes	⊠ No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)	☐ Yes	⊠ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)	☐ Yes	⊠ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	☐ Yes	⊠ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)	☐ Yes	⊠ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)	☐ Yes	⊠ No

Schedule F (Form 990) 2020 Page 5

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

Schedule F (Fo	orm 990) 2020 Page 5	j
Part V	Supplemental Information Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.	

in the cooperation agreement entered into with each grantee.
Finally, a Groundswell staff member conducts a site visit at least once during
the term of all projects or programs undertaken with Groundswell funding.

SCHEDULE 0 (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

Department of the Treasury Internal Revenue Service Name of the organization

► Go to www.irs.gov/Form990 for the latest information.

Groundswell International, Inc.	27-1493841
Pt VI, Line 11b: The 990 is prepared by independent accountants, i	reviewed by
management, presented to the Board for review, proposed revisions	and final approval.
Pt VI, Line 12c: Annually conflict of interest statements are requ	uired to be
signed by each Board member. The statements affirm that the policy	y has been read
Pt VI, Line 15a: In the annual budgeting process, the Board approv	res a budget
line for each salaried employee. Thereafter, individual salaries a	and salary increases
for employees are determined by the Executive Director (the organ	ization's title
for the lead staff person). The Board of Directors sets the Execut	cive Director's
salary after a performance review & a check of comparable salary	information
for nonprofit organizations with similar budgets.	
Pt VI, Line 15b: Groundswell International carried out a salary re	eview with
external assistance and salary comparisons for 2017.	
Pt VI, Line 18: The Form 990 is available on the GuideStar Exchang	ge website
and Groundswell's website. The Form 1023 is available upon request	<u>.</u>
Pt VI, Line 19: The organization's financial statements & conflict	of interest
policy are available upon request. Its governing documents are ava	ailable upon
request.	

Form **8879-E0**

IRS e-file Signature Authorization for an Exempt Organization

101 0111 =/1011	.b. 6.8=a.c.
or calendar year 2020, or fiscal year beginning	g , 2020, and ending , 2
► Do not send to the	IRS. Keep for your records.

2020

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

► Go to www.irs.gov/Form8879EO for the latest information.

Name of exempt organization or person subject to tax	Taxpayer identification number
Groundswell International, Inc.	27-1493841
Name and title of officer or person subject to tax	
Steve Brescia, Executive Director	
Part I Type of Return and Return Information (Whole Dollars O	•
Check the box for the return for which you are using this Form 8879-EO and exheck the box on line 1a, 2a, 3a, 4a, 5a, 6a, or 7a below, and the amount blank, then leave line 1b, 2b, 3b, 4b, 5b, 6b, or 7b, whichever is applicable return, then enter -0- on the applicable line below. Do not complete more that	on that line for the return being filed with this form was blank (do not enter -0-). But, if you entered -0- on the
la Form 990 check here ► 🗵 b Total revenue, if any (Form 990, Part VIII	I, column (A), line 12) 1b _ 3,387,541.
2a Form 990-EZ check here ► b Total revenue, if any (Form 990-EZ, I	· — — — — — — — — — — — — — — — — — — —
Ba Form 1120-POL check here ▶ ☐ b Total tax (Form 1120-POL, line 22	·
b Tax based on investment income (Fo	
5a Form 8868 check here ▶ □ b Balance due (Form 8868, line 3c).	
Sa Form 990-T check here ► □ b Total tax (Form 990-T, Part III, line 4)	
7a Form 4720 check here ► □ b Total tax (Form 4720, Part III, line 1)	
Part II Declaration and Signature Authorization of Officer or P	
Under penalties of perjury, I declare that $oxtimes$ I am an officer of the above organization) $_$, (
of the 2020 electronic return and accompanying schedules and statements, a crue, correct, and complete. I further declare that the amount in Part I above is consent to allow my intermediate service provider, transmitter, or electronic to receive from the IRS (a) an acknowledgement of receipt or reason for reject processing the return or refund, and (c) the date of any refund. If applicable, I Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial apayment, I must contact the U.S. Treasury Financial Agent at 1-888-353-453 settlement) date. I also authorize the financial institutions involved in the processor confidential information necessary to answer inquiries and resolve issues related the time of the electronic return and, if appears the check one box only	and, to the best of my knowledge and belief, they are as the amount shown on the copy of the electronic return. The return originator (ERO) to send the return to the IRS and tion of the transmission, (b) the reason for any delay in authorize the U.S. Treasury and its designated Financial institution account indicated in the tax preparation al institution to debit the entry to this account. To revoke 37 no later than 2 business days prior to the payment design of the electronic payment of taxes to receive ted to the payment. I have selected a personal policable, the consent to electronic funds withdrawal. The enter my PIN The enter five numbers, but do not enter all zeros this return that a copy of the return is being filed with a
As an officer or person subject to tax with respect to the organization, I velectronically filed return. If I have indicated within this return that a copy regulating charities as part of the IRS Fed/State program, I will enter my	of the return is being filed with a state agency(ies)
Signature of officer or person subject to tax > Stur Brescu	Date ► September 8, 2021
Part III Certification and Authentication	· · · · · · · · · · · · · · · · · · ·
ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.	5 6 1 9 1 3 7 1 6 7 7 Do not enter all zeros
certify that the above numeric entry is my PIN, which is my signature on the hat I am submitting this return in accordance with the requirements of Pub. 4 RS <i>e-file</i> Providers for Business Returns.	
ERO's signature ▶	Date ▶ <u>07/27/2021</u>

ERO Must Retain This Form — See Instructions