### 990 **990**

## **Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

2022

Department of the Treasury Internal Revenue Service Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

| Α                              | For the     | 2022 calend      | dar year, or tax year beginning        | , <b>2022</b> , and end                            | ling                  | _                   | , 20                           |
|--------------------------------|-------------|------------------|--|--|-----------------------|---------------------|--------------------------------|
| В                              | Check if    | applicable:      | C Name of organization Ground          | swell International, Inc.                          |                       | D Emplo             | oyer identification number     |
|                                | Address     | change           | Doing business as                      |  |                       | 27-14               | 193841                         |
| П                              | Name ch     | nange            | Number and street (or P.O. box if      | mail is not delivered to street address)           | Room/suite            | E Teleph            | none number                    |
| $\overline{\Box}$              | Initial ret | •                | 2101 L St. NW                          | ·  | 300                   | (202)               | 952-2322                       |
| $\overline{\Box}$              |             | rn/terminated    | City or town, state or province, co    | ountry, and ZIP or foreign postal code             |                       |                     |                                |
| $\overline{\Box}$              | Amende      |                  | Washington, DC 20                      |  |                       | <b>G</b> Gross      | receipts \$2,593,895.          |
| $\overline{\Box}$              |             | on pending       | F Name and address of principal off    |  | H(a) Is this a gro    |                     | or subordinates? Yes No        |
|                                |             | p                | 1                                      | . NW Suite 300, Washington, DC 2                   |                       |                     |                                |
| $\overline{}$                  | Tax-exer    | mpt status:      | ▼ 501(c)(3) 501(c) (                   | ) (insert no.) 4947(a)(1) or 527                   |                       |                     | st. See instructions.          |
|                                | Website     | ·                | dswellinternational                    |  | H(c) Group e          |                     |                                |
| _                              |             |                  | Corporation Trust Associa              |  |                       |                     | of legal domicile: DC          |
| _                              | art I       | Summa            |  |  | 2005                  | Otato               | or regar derinioner 20         |
|                                | 1           |                  | <del>-</del>                           | ion or most significant activities: Grou           | indawoll Int          | ornati              | onal strongthons               |
| ø                              | '           |                  |  | hy farming and food system                         |                       |                     |                                |
| Activities & Governance        |             | COMMINICITY      | cres to build heart                    | ily farming and rood system                        | is IIOm che           | 91 Oui              | ila up.                        |
| Ĕ                              | 2           | Chack this       | box  if the organization d             | iscontinued its operations or disposed             | l of more than 25     | 50% of its          | e not accate                   |
| ŏ                              | 3           |                  | _                                      | rning body (Part VI, line 1a)                      |                       | 3                   | 13                             |
| ত                              | 4           |                  | _                                      | rs of the governing body (Part VI, line 1          |                       | 4                   | 13                             |
| Se Se                          |             |                  |  | n calendar year 2022 (Part V, line 2a)             | •                     | 5                   |                                |
| ξŧ                             | 5           |                  |  | •  |                       | 6                   | 5                              |
| Ċţ                             | 6           |                  |  | necessary)   |                       | -                   | 0                              |
| ٩                              | 7a          |                  |  | Part VIII, column (C), line 12                     |                       | 7a                  | 0.                             |
|                                | b           | ivet unreiat     | ted business taxable income            | from Form 990-T, Part I, line 11                   |                       | 7b                  | 0.                             |
|                                |             | 0                |  | Prior Yea  |                       | Current Year        |                                |
| e                              | 8           |                  | ons and grants (Part VIII, line        | 3,194,   |                       | 2,578,603.          |                                |
| Revenue                        | 9           | _                | ervice revenue (Part VIII, line        | 6,   | 550.                  | 15,000.             |                                |
| Ŗ                              | 10          |                  | t income (Part VIII, column (A         |  | 260.                  | 292.                |                                |
|                                | 11          |                  |  | es 5, 6d, 8c, 9c, 10c, and 11e)                    |                       | 895.                |                                |
|                                | 12          |                  |  | nust equal Part VIII, column (A), line 12)         |                       |                     | 2,593,895.                     |
|                                | 13          |                  |  | X, column (A), lines 1–3)                          | 1,548,                | 142.                | 1,402,753.                     |
|                                | 14          | -                | · · · · · · · · · · · · · · · · · · ·  | (, column (A), line 4)                             |                       |                     |                                |
| es                             | 15          |                  |  | benefits (Part IX, column (A), lines 5-10)         | 681,                  | 144.                | 562,494.                       |
| Expenses                       | 16a         | Profession       | al fundraising fees (Part IX, c        | olumn (A), line 11e)                               |                       |                     |                                |
| χbe                            | b           | Total fundr      | aising expenses (Part IX, col          | umn (D), line 25) 302,806.                         |                       |                     |                                |
| Ш                              | 17          | Other expe       | enses (Part IX, column (A), lin        | es 11a-11d, 11f-24e)                               |                       | 783.                | 613,076.                       |
|                                | 18          | Total expe       | nses. Add lines 13-17 (must            | equal Part IX, column (A), line 25) .              | 2,574,                | 069.                | 2,578,323.                     |
|                                | 19          | Revenue le       | ess expenses. Subtract line 1          | 8 from line 12                                     | 630,                  | 385.                | 15,572.                        |
| Net Assets or<br>Fund Balances |             |                  |  |  | Beginning of Curr     | ent Year            | End of Year                    |
| sets<br>alan                   | 20          | Total asset      | ts (Part X, line 16)                   |  | 2,407,                | 883.                | 2,349,343.                     |
| ASS                            | 21          | Total liabili    | ties (Part X, line 26)                 |  | 172,                  | 198.                | 102,448.                       |
| 돌                              | 22          | Net assets       | or fund balances. Subtract I           | ine 21 from line 20                                | 2,235,                | 685.                | 2,246,895.                     |
|                                | art II      | Signatu          | re Block                               |  | •                     |                     |                                |
| Un                             | der pena    | Ities of perjury | , I declare that I have examined this  | return, including accompanying schedules and s     | tatements, and to the | e best of r         | my knowledge and belief, it is |
| tru                            | e, correct  | t, and complete  | e. Declaration of preparer (other than | officer) is based on all information of which prep | arer has any knowled  | dge.                |                                |
|                                |             |                  |  |  | 0.9                   | /08/2               | 023                            |
| Sig                            | gn          | Signature of     | officer                                |  | Date                  |                     | 023                            |
| -                              | ere         | Ster             | ve Brescia, Executiv                   | ze Director  |                       |                     |                                |
|                                |             |                  | name and title                         | VC DIICCCOI  |                       |                     |                                |
| _                              |             | 1 · · · ·        | preparer's name                        | Preparer's signature                               | Date                  | Charl: [            |                                |
| Pa                             |             | Todd             | )ldenburg                              | Todd Oldenburg                                     | 09/11/2023            | Check L<br>self-emp | <b>⊸</b> "                     |
|                                | epare       | r                |  |  | Firm's                |                     | 102201071                      |
| Us                             | se Onl      | y Firm's nar     |  |  |                       |                     | 20-2571677                     |
| <u> </u>                       | v the IE    | Firm's add       |  | ST SUITE #1, ASHEVILLE, NC                         | 28801 Phone           | = 110. ( <b>8</b> . | 28)236-0206                    |

Form 990 (2022) Page **2** 

| Part | Statement of Program Service Accomplishments  Check if Schedule O contains a response or note to any line in this Part III   |   |
|------|--|---|
| 1    | Briefly describe the organization's mission:   |   |
|      | Groundswell International strengthens rural communities to build healthy farming and food  | Ĺ |
|      | systems from the ground up.  |   |
| 2    | Did the organization undertake any significant program services during the year which were not listed on the   | _ |
|      | prior Form 990 or 990-EZ?  | ) |
| 3    | Did the organization cease conducting, or make significant changes in how it conducts, any program services?   | ) |
| 4    | Describe the organization's program service accomplishments for each of its three largest program services, as measured k expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to other the total expenses, and revenue, if any, for each program service reported. |   |
| 4a   | (Code: ) (Expenses \$ 2,112,944. including grants of \$ 1,402,753.) (Revenue \$ 15,000.)   | _ |
|      | Groundswell International, Inc. (Groundswell) strengthens communities to build healthy farming   | L |
|      | and food systems from the ground up. Groundswell's global network includes 14 non-governmental   |   |
|      | organizations and hundreds of grassroots groups organized into three Regional Programs for Action  |   |
|      | Learning and Amplification (ALAs): Latin America and the Caribbean (Ecuador, Guatemala, Haiti,   |   |
|      | Honduras, Mexico), South Asia (Nepal), and West Africa (Burkina Faso, Ghana, Mali, Senegal).   |   |
|      | In 2022, collectively, the Groundswell network supported 164,549 smallholder   |   |
|      | farmers to strengthen their production, resilience, and wellbeing. Our work improved   |   |
|      | the lives of 959,419 people from 1019 marginalized communities by addressing   |   |
|      | the root causes of food insecurity, economic vulnerability, and social   |   |
|      |  |   |
|      | marginalization with a practical, "learning by doing" approach that  |   |
|      | built participants' confidence while meeting their basic needs.  |   |
| 415  | (Code: \(\sigma\)/Funences \(\ph\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\   | _ |
| 4b   | (Code: ) (Expenses \$ 0. including grants of \$ 0.) (Revenue \$ 0.)  |   |
|      | While programming in each regional ALA, as well as at the  |   |
|      | country level, is designed to respond to the local context,  |   |
|      | Groundswell programs everywhere:   |   |
|      | ~~ Work with farmer leaders to test and adopt agroecological methods and   |   |
|      | spread them to more people through farmer-to-farmer training,  |   |
|      | allowing these farmers to produce more healthy food and  |   |
|      | restore hundreds of thousands of acres of degraded land.   |   |
|      | ~~ Empower women farmers to participate in savings and credit  |   |
|      | groups, improve ecological production, gain access to productive assets,   |   |
|      | and diversify their livelihoods, which in turn improves  |   |
|      | their families' food security, incomes, and resilience.  |   |
|      |  |   |
| 4c   | (Code:) (Expenses \$   | _ |
|      | ~~ Strengthen local markets and increase farmers' incomes through  |   |
|      | savings and credit groups and community-based agricultural   |   |
|      | savings and credit groups and community-based agricultural   |   |
|      | enterprises that add value to locally grown crops.   |   |
|      | ~~Nourish vulnerable families by explicitly integrating gender   |   |
|      | equity and nutrition into agricultural programs. Our holistic approach   |   |
|      | links agroecologicalfarming to family nutrition.   |   |
|      | ~~Support citizens to engage with local decision-makers on enabling  |   |
|      | local policies and produce influential case studies, policy briefs,  |   |
|      | and reports to facilitate sharing across our networks and with   |   |
|      | other stakeholders to expedite the necessary transition to agroecology.  |   |
|      | defici beancing acts to empeated the incoessary cransition to agreement,   | _ |
|      |  |   |
|      | See Part III, Ln 4c statement  |   |
| 4d   | See Part III, Ln 4c statement Other program services (Describe on Schedule O.)   |   |
|      | See Part III, Ln 4c statement  | _ |

b 21

| orm 99 | 90 (2022)  |      | F   | Page ( |
|--------|--|------|-----|--------|
| Part   | IV Checklist of Required Schedules   |      |     |        |
| 1      | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"  |      | Yes | No     |
| •      | complete Schedule A  | 1    | ×   |        |
| 2      | Is the organization required to complete Schedule B, Schedule of Contributors? See instructions  | 2    | ×   |        |
| 3      | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If</i> "Yes," complete Schedule C, Part I  | 3    |     | ×      |
| 4      | <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>   | 4    |     | ×      |
| 5      | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III   | 5    |     | ×      |
| 6      | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I   | 6    |     | ×      |
| 7      | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II   | 7    |     | ×      |
| 8      | Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes,"</i> complete Schedule D, Part III   | 8    |     | ×      |
| 9      | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If</i> "Yes," <i>complete Schedule D, Part IV</i>   | 9    |     | ×      |
| 10     | Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V </i>   | 10   | ×   |        |
| 11     | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.   |      |     |        |
| а      | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI  | 11a  |     | ×      |
| b      | Did the organization report an amount for investments—other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII   | 11b  |     | ×      |
| С      | Did the organization report an amount for investments—program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | 11c  |     | ×      |
| d      | Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX  | 11d  | ×   |        |
| e<br>f | Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> | 11e  | ×   | ×      |
| 12a    | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII   | 12a  | ×   |        |
| b      | Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional  | 12b  |     | ×      |
| 13     | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule $E$  | 13   |     | ×      |
| 14a    | Did the organization maintain an office, employees, or agents outside of the United States?  | 14a  | ×   |        |
| b      | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV  | 4.41 |     |        |
| 15     | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>  | 14b  | ×   |        |
| 16     | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV.  | 16   | ×   |        |
| 17     | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions   | 17   |     | ×      |
| 18     | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II   | 18   |     | ×      |
| 19     | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?  If "Yes," complete Schedule G, Part III  | 19   |     | ×      |
| 20a    | Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>   | 20a  |     | ×      |

If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?

Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II . . . . .

20b

| Part     | Checklist of Required Schedules (continued)  |     |     |    |
|----------|--|-----|-----|----|
| 22       | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on  |     | Yes | No |
|          | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III  | 22  |     | ×  |
| 23       | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the   |     |     |    |
|          | organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J   | 00  |     |    |
| 24a      | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than  | 23  |     | ×  |
|          | \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b  |     |     |    |
|          | through 24d and complete Schedule K. If "No," go to line 25a   | 24a |     | ×  |
| b        | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?  | 24b |     |    |
| С        | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?   | 24c |     |    |
| d        | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?  | 24d |     |    |
| 25a      | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit   |     |     |    |
|          | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I  | 25a |     | ×  |
| b        | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?        |     |     |    |
|          | If "Yes," complete Schedule L, Part I  | 25b |     | ×  |
| 26       | Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current  |     |     |    |
|          | or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%  |     |     |    |
| 07       | controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II   | 26  |     | ×  |
| 27       | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee             |     |     |    |
|          | member, or to a 35% controlled entity (including an employee thereof) or family member of any of these   |     |     |    |
|          | persons? If "Yes," complete Schedule L, Part III   | 27  |     | ×  |
| 28       | Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV, instructions for applicable filing thresholds, conditions, and exceptions):                                   |     |     |    |
| а        | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If</i> "Yes," complete Schedule L, Part IV  | 28a |     | ×  |
|          | A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV  | 28b |     | ×  |
| С        | A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV   | 28c |     | ×  |
| 29       | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>  | 29  |     | ×  |
| 30       | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified   |     |     |    |
|          | conservation contributions? If "Yes," complete Schedule M  | 30  |     | ×  |
| 31<br>32 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes,"           | 31  |     | ×  |
| 32       | complete Schedule N, Part II   | 32  |     | ×  |
| 33       | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations   |     |     |    |
|          | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I  | 33  |     | ×  |
| 34       | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1   | 34  |     | ×  |
| 35a      | Did the organization have a controlled entity within the meaning of section 512(b)(13)?  | 35a |     | ×  |
| b        | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a  |     |     |    |
|          | controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2  | 35b |     |    |
| 36       | <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 </i>  | 36  |     | ×  |
| 37       | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> | 37  |     | ×  |
| 38       | Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? <b>Note:</b> All Form 990 filers are required to complete Schedule O  | 38  | ×   |    |
| Part     |  |     |     |    |
|          | Check if Schedule O contains a response or note to any line in this Part V   |     |     |    |
| 1a       | Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable   1a   4  |     | Yes | No |
| b        | Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable  |     |     |    |
| C        | Did the organization comply with backup withholding rules for reportable payments to vendors and   |     |     |    |
|          | reportable gaming (gambling) winnings to prize winners?  | 10  |     |    |

| Part    | V Statements Regarding Other IRS Filings and Tax Compliance (continued)   |     | Yes | No |
|---------|---|-----|-----|----|
| 2a      | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return  2a |     |     |    |
| b       | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? .  | 2b  | ×   |    |
| 3a      | Did the organization have unrelated business gross income of \$1,000 or more during the year?   | 3a  |     | ×  |
| b       | If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O .   | 3b  |     |    |
| 4a      | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over,   |     |     |    |
|         | a financial account in a foreign country (such as a bank account, securities account, or other financial account)?  | 4a  |     | ×  |
| b       | If "Yes," enter the name of the foreign country   |     |     |    |
| _       | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).   | _   |     |    |
| 5a      | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?   | 5a  |     | ×  |
| b       | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?  | 5b  |     | ×  |
| c<br>6a | If "Yes" to line 5a or 5b, did the organization file Form 8886-T?   | 5c  |     |    |
| Va      | organization solicit any contributions that were not tax deductible as charitable contributions?  | 6a  |     | ×  |
| b       | If "Yes," did the organization include with every solicitation an express statement that such contributions or  | 0a  |     | ^  |
| -       | gifts were not tax deductible?  | 6b  |     |    |
| 7       | Organizations that may receive deductible contributions under section 170(c).   |     |     |    |
| а       | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods   |     |     |    |
| _       | and services provided to the payor?   | 7a  |     | ×  |
| b       | If "Yes," did the organization notify the donor of the value of the goods or services provided?   | 7b  |     |    |
| С       | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?  |     |     |    |
| 4       |   | 7c  |     | ×  |
| d<br>e  | If "Yes," indicate the number of Forms 8282 filed during the year   | 7e  |     | ×  |
| f       | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  | 7f  |     | ×  |
| g       | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?  | 7g  |     |    |
| h       | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?  | 7h  |     |    |
| 8       | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the  |     |     |    |
|         | sponsoring organization have excess business holdings at any time during the year?  | 8   |     |    |
| 9       | Sponsoring organizations maintaining donor advised funds.   |     |     |    |
| а       | Did the sponsoring organization make any taxable distributions under section 4966?  | 9a  |     |    |
| b       | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?   | 9b  |     |    |
| 10      | Section 501(c)(7) organizations. Enter:   |     |     |    |
| а       | Initiation fees and capital contributions included on Part VIII, line 12  |     |     |    |
| b       | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . 10b   |     |     |    |
| 11      | Section 501(c)(12) organizations. Enter:  |     |     |    |
| a       | Gross income from members or shareholders   | -   |     |    |
| b       | Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)   |     |     |    |
| 12a     | ,   | 12a |     |    |
| b       | If "Yes," enter the amount of tax-exempt interest received or accrued during the year   12b   | 124 |     |    |
| 13      | Section 501(c)(29) qualified nonprofit health insurance issuers.  |     |     |    |
| а       | Is the organization licensed to issue qualified health plans in more than one state?  | 13a |     |    |
| -       | <b>Note:</b> See the instructions for additional information the organization must report on Schedule O.  |     |     |    |
| b       | Enter the amount of reserves the organization is required to maintain by the states in which  |     |     |    |
|         | the organization is licensed to issue qualified health plans  |     |     |    |
| С       | Enter the amount of reserves on hand  |     |     |    |
| 14a     | Did the organization receive any payments for indoor tanning services during the tax year?  | 14a |     | ×  |
| b       | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O.  | 14b |     |    |
| 15      | Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or   |     |     |    |
|         | excess parachute payment(s) during the year?  | 15  |     | ×  |
| 40      | If "Yes," see the instructions and file Form 4720, Schedule N.  | 40  |     |    |
| 16      | Is the organization an educational institution subject to the section 4968 excise tax on net investment income?   | 16  |     | ×  |
| 17      | If "Yes," complete Form 4720, Schedule O. Section 501(c)(21) organizations. Did the trust, or any disqualified or other person engage in any activities                           |     |     |    |
| .,      | that would result in the imposition of an excise tax under section 4951, 4952, or 4953?   | 17  |     |    |
|         | If "Yes," complete Form 6069.   | 17  |     |    |
|         | ·· · · · · · · · · · · · · · · · · · ·  |     |     |    |

| Part        | response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. Check if Schedule O contains a response or note to any line in this Part VI  | See in     | struc  | tions.   |
|-------------|--|------------|--------|----------|
| Secti       | on A. Governing Body and Management  |            |        |          |
| 1a          | Enter the number of voting members of the governing body at the end of the tax year  |            | Yes    | No       |
| b<br>2      | Enter the number of voting members included on line 1a, above, who are independent .    1b 13  Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2          |        | ×        |
| 3           | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person? .  | 3          |        | ×        |
| 4           | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?   | 4          |        | ×        |
| 5<br>6      | Did the organization become aware during the year of a significant diversion of the organization's assets? . Did the organization have members or stockholders?  | 5<br>6     |        | ×        |
| 7a          | Did the organization have members or stockholders?  Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?   | 7a         |        | <u> </u> |
| b           | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?  | 7b         |        |          |
| 8           | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:  | 76         |        | ×        |
| а           | The governing body?  | 8a         | ×      |          |
| ь<br>9      | Each committee with authority to act on behalf of the governing body?  | 8b         | ×      |          |
|             | the organization's mailing address? If "Yes," provide the names and addresses on Schedule O  | 9          |        | ×        |
| Secti       | on B. Policies (This Section B requests information about policies not required by the Internal Reven  | ue Co      |        |          |
| 10a         | Did the organization have local chapters, branches, or affiliates?   | 10a        | Yes    | No<br>×  |
| b           | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?   | 10b        |        |          |
| 11a         | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | 11a        | ×      |          |
| b<br>100    | Describe on Schedule O the process, if any, used by the organization to review this Form 990.  Did the organization have a written conflict of interest policy? If "No," go to line 13   | 100        | .,     |          |
| 12a<br>b    | Did the organization have a written conflict of interest policy? <i>If "No," go to line 13</i>   | 12a<br>12b | ×      |          |
| c           | Did the organization regularly and consistently monitor and enforce compliance with the policy? <i>If</i> "Yes," describe on Schedule O how this was done.   | 12c        | ×      |          |
| 13          | Did the organization have a written whistleblower policy?  | 13         | ×      |          |
| 14<br>15    | Did the organization have a written document retention and destruction policy?   | 14         | ×      |          |
| а           | independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  The organization's CEO, Executive Director, or top management official  | 15a        | ×      |          |
| b           | Other officers or key employees of the organization  | 15b        | ×      |          |
| 16a         | If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.  Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?                            | 16a        |        | ×        |
| b           | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the   |            |        |          |
| <del></del> | organization's exempt status with respect to such arrangements?  | 16b        |        | <u> </u> |
| Secti<br>17 | on C. Disclosure  List the states with which a copy of this Form 990 is required to be filed   |            |        |          |
| 18          | Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  | Γ (sec     | tion 5 | 501(c)   |
| 19          | ☑ Own website ☑ Another's website ☑ Upon request ☐ Other (explain on Schedule O)  Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of and financial statements available to the public during the tax year.   | f inter    | est p  | olicy,   |
| 20          | State the name, address, and telephone number of the person who possesses the organization's books and re Goldin Group LLC, 4500 East-West Hwy., Ste. 710, Bethesda, MD 20814 (303)9   |            |        |          |

Form 990 (2022)

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . . . . . . . . . . . . . .

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See the instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

| ☐ Check this box if neither the organization n | or any relate   | d org   | aniz | atic | n c        | ompe  | nsa   | ated any current   | officer, director, | or trustee. |
|--|---|---|------|------|------------|---|---|--|--------------------|-------------|
| (A)<br>Name and title                          | (B) Average hours per week (list any hours for related organizations below dotted line) | mer hest c ployee ployee icer ittution ividua |      |      | an<br>tee) | (D)  Reportable compensation from the organization (W-2/1099-MISC/1099-NEC) | (E) Reportable compensation from related organizations (W-2/ 1099-MISC/ 1099-NEC) | (F) Estimated amount of other compensation from the organization and related organizations |                    |             |
| (1) Steve Brescia  Executive Director          | 50.00   |   |      | ×    |            | ğ   |   | 147,059.   | 0.                 | 0.          |
| (2) Jeannette Tramhel Chair                    | 2.00  | ×   |      | ×    |            |   |   | 0.   | 0.                 | 0.          |
| (3) Jay Slaughter<br>Vice Chair                | 2.00  | ×   |      | ×    |            |   |   | 0.   | 0.                 | 0.          |
| (4) Bhadra Sheela Durgabakshi<br>Treasurer     | 1.00  | ×   |      | ×    |            |   |   | 0.   | 0.                 | 0.          |
| (5) Margaret Malloy Secretary                  | 1.00  | ×   |      | ×    |            |   |   | 0.   | 0.                 | 0.          |
| (6) Cantave Jean Baptiste<br>Board Member      | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (7) David Conner Board Member                  | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (8) Merrill Ewert Board Member                 | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (9) Jan Middendorf<br>Board Member             | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (10) Afua Bruce<br>Board Member                | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (11) Fatou Batta<br>Board Member               | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (12) Karen Ansara Board Member                 | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (13) Patricia Biermayr-Janzano Board Member    | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |
| (14)Tim Lasalle Board Member                   | 0.50  | ×   |      |      |            |   |   | 0.   | 0.                 | 0.          |

| Part    | VII Section A. Officers, Directors,   | rustees,     | Key I                          | Em  | plo     | yee          | s, an                        | d F    | lighest Compe   | nsated Emp   | loyees (       | continued)  |
|---------|---|--------------|--------------------------------|---|---------|--------------|------------------------------|--------|---|--|----------------|---|
|         |   |              |                                |   |         | C)           |                              |        |   |  |                |   |
|         | (A)<br>Name and title   |              | box, ı                         | Position<br>(do not check more than box, unless person is both<br>officer and a director/trus |         |              |                              | n an   | (D) Reportable compensation                               | <b>(E)</b> Reportable compensation                           |                | (F)<br>ated amount<br>of other                        |
|         |   |              | Individual trustee or director | Institutional trustee   | Officer | Key employee | Highest compensated employee | Former | from the<br>organization (W-2/<br>1099-MISC/<br>1099-NEC) | from related<br>organizations (W-<br>1099-MISC/<br>1099-NEC) | 2/ fi<br>orgar | pensation<br>rom the<br>nization and<br>organizations |
| (15)    |   |              |                                |   |         |              |                              |        |   |  |                |   |
| (16)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (17)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (18)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (19)    |   |              |                                |   |         |              |                              |        |   |  |                |   |
| (20)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (21)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (22)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| (23)    |   |              |                                |   |         |              |                              |        |   |  |                |   |
| (24)    |   |              |                                |   |         |              |                              |        |   |  |                |   |
| (25)    |   |              | -                              |   |         |              |                              |        |   |  |                |   |
| 1b<br>c | Subtotal  | VII, Section | n A                            |   |         |              |                              |        | 147,059.  | С  |                | 0.  |
| d<br>2  | Total (add lines 1b and 1c)   |              | <br>d to th                    | nose  | e list  | ed           | <br>above<br>1               | e) w   | 147,059.<br>Tho received mor                              | e than \$100,00  | 00 of          | 0.  |
| 3       | Did the organization list any <b>former</b> of employee on line 1a? <i>If "Yes," complete in the complete of the complete in the complete of the comp</i> |              |                                |   |         | e, k         | кеу е                        |        | loyee, or highes  | •  |                | Yes No  |
| 4       | For any individual listed on line 1a, is the organization and related organizations individual  | greater th   | an \$1                         | 150,  | ,000    | ? /          | f "Ye                        | s, "   | complete Sche   |  |                | ×   |
| 5       | Did any person listed on line 1a receive of for services rendered to the organization   |              |                                |   |         |              |                              |        |   |  | ıal            | ×   |
| Secti   | on B. Independent Contractors   |              |                                |   |         |              |                              |        |   |  |                | '   |
| 1       | Complete this table for your five high compensation from the organization. Rep  |              |                                |   |         |              |                              |        |   |  |                |   |
|         | (A)<br>Name and business add  | Iress        |                                |   |         |              |                              |        | <b>(B)</b><br>Description of ser                          | vices  | (C)<br>Compen  |   |
|         |   |              |                                |   |         |              |                              |        |   |  |                |   |
|         |   |              |                                |   |         |              |                              |        |   |  |                |   |
| 2       | Total number of independent contractor received more than \$100,000 of compens  |              |                                |   |         |              | ted to                       | th     | nose listed abov  | e) who   |                |   |

| Dart VIII | Statement of Revenue |
|-----------|----------------------|
|           | Statement of nevenue |

|   |          | Check if Schedule O contains a respor                  | nse or note to ar | ny line in this Pa   | ırt VIII                               |                                      | 🗆  |
|---|----------|--|-------------------|----------------------|--|--------------------------------------|--|
|   |          | ·  |                   | (A)<br>Total revenue | (B) Related or exempt function revenue | (C)<br>Unrelated<br>business revenue | (D) Revenue excluded from tax under sections 512–514 |
| Ś, Ś  | 1a       | Federated campaigns 1a                                 |                   |                      |  |                                      |  |
| Contributions, Gifts, Grants, and Other Similar Amounts | b        | Membership dues 1b                                     |                   |                      |  |                                      |  |
| ဇ် ဋ  | С        | Fundraising events 1c                                  |                   |                      |  |                                      |  |
| fts,  | d        | Related organizations 1d                               |                   |                      |  |                                      |  |
| <u>a</u>  | е        | Government grants (contributions) 1e                   |                   |                      |  |                                      |  |
| ns,   | f        | All other contributions, gifts, grants,                |                   |                      |  |                                      |  |
| ti<br>er (  |          | and similar amounts not included above 1f              | 2,578,603.        |                      |  |                                      |  |
| ള   | g        | Noncash contributions included in                      | , ,               |                      |  |                                      |  |
| a t   |          | lines 1a–1f 1g   | \$                |                      |  |                                      |  |
| ခြ လ  | h        | Total. Add lines 1a–1f                                 |                   | 2,578,603.           |  |                                      |  |
|   |          |  | Business Code     |                      |  |                                      |  |
| Se  | 2a       | Program Services                                       | 541900            | 15,000.              | 15,000.                                | 0.                                   | 0.   |
| e Z   | b        |  |                   |                      |  |                                      |  |
| Program Service<br>Revenue                              | С        |  |                   |                      |  |                                      |  |
| am<br>eve   | d        |  |                   |                      |  |                                      |  |
| g a   | е        |  |                   |                      |  |                                      |  |
| P.  | f        | All other program service revenue                      |                   |                      |  |                                      |  |
|   | g        | Total. Add lines 2a-2f                                 |                   | 15,000.              |  |                                      |  |
|   | 3        | Investment income (including dividend                  |                   |                      |  |                                      |  |
|   |          | other similar amounts)                                 |                   | 292.                 | 0.                                     | 0.                                   | 292.   |
|   | 4        | Income from investment of tax-exempt be                | ond proceeds      |                      |  |                                      |  |
|   | 5        | Royalties  |                   |                      |  |                                      |  |
|   |          | (i) Real   | (ii) Personal     |                      |  |                                      |  |
|   | 6a       | Gross rents 6a   |                   |                      |  |                                      |  |
|   | b        | Less: rental expenses 6b                               |                   |                      |  |                                      |  |
|   | C        | Rental income or (loss) 6c                             |                   |                      |  |                                      |  |
|   | _d       | Net rental income or (loss)                            |                   |                      |  |                                      |  |
|   | 7a       | Gross amount from (i) Securities                       | (ii) Other        |                      |  |                                      |  |
|   |          | sales of assets other than inventory 7a                |                   |                      |  |                                      |  |
|   | <b>L</b> |  |                   |                      |  |                                      |  |
| Jue   | b        | Less: cost or other basis and sales expenses . 7b      |                   |                      |  |                                      |  |
| Revenue   | _        |  |                   |                      |  |                                      |  |
| Re  |          | Gain or (loss)   |                   |                      |  |                                      |  |
| ē   | d<br>O-  |  |                   |                      |  |                                      |  |
| Other   | 8a       | Gross income from fundraising events (not including \$ |                   |                      |  |                                      |  |
|   |          | of contributions reported on line                      |                   |                      |  |                                      |  |
|   |          | 1c). See Part IV, line 18 8a                           |                   |                      |  |                                      |  |
|   | b        | Less: direct expenses 8b                               |                   |                      |  |                                      |  |
|   | c        | Net income or (loss) from fundraising ever             | ents              |                      |  |                                      |  |
|   |          | Gross income from gaming                               | -                 |                      |  |                                      |  |
|   |          | activities. See Part IV, line 19 . 9a                  |                   |                      |  |                                      |  |
|   | b        | Less: direct expenses 9b                               |                   |                      |  |                                      |  |
|   |          | Net income or (loss) from gaming activiti              | es                |                      |  |                                      |  |
|   | 10a      | Gross sales of inventory, less                         |                   |                      |  |                                      |  |
|   |          | returns and allowances 10a                             |                   |                      |  |                                      |  |
|   | b        | Less: cost of goods sold 10b                           |                   |                      |  |                                      |  |
|   | С        | Net income or (loss) from sales of invent              | ory               |                      |  |                                      |  |
| 2   |          |  | Business Code     |                      |  |                                      |  |
| Miscellaneous<br>Revenue                                | 11a      |  |                   |                      |  |                                      |  |
| scellaneo<br>Revenue                                    | b        |  |                   |                      |  |                                      |  |
| e Sel   | С        |  |                   |                      |  |                                      |  |
| Ais   | d        | All other revenue                                      |                   |                      |  |                                      |  |
| _   |          | Total. Add lines 11a–11d                               |                   |                      |  |                                      |  |
|   | 12       | Total revenue See instructions                         |                   | 2.593.895            | 15.000                                 | 0                                    | 292  |

#### Part IX Statement of Functional Expenses

following SOP 98-2 (ASC 958-720)

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX (**D**) Fundraising expenses (B) Program service expenses Do not include amounts reported on lines 6b. 7b. (A) Total expenses Management and general expenses 8b, 9b, and 10b of Part VIII. Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 Grants and other assistance to domestic 2 individuals. See Part IV, line 22 . . . . Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 1,402,753. 1,402,753. Benefits paid to or for members . . . . Compensation of current officers, directors, trustees, and key employees . . . . . 147,059. 73,530. 23,529. 50,000. 6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . Other salaries and wages 369,677. 58,575. 124,471. 186,631. Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) Other employee benefits . . . . . . 9 3,118. 1,559. 499. 1,060. 10 Payroll taxes . . . . . . . . . . . . 42,640. 21,320. 6,822. 14,498. Fees for services (nonemployees): 11 0. Legal . . . . . . . . . . . . . . . . 5,648. 0 5,648. 144,058. 67,134. 43,357. 33,567. Lobbying . . . . . . . . . . . Professional fundraising services. See Part IV, line 17 Investment management fees . . . . . 300. 300. 0. 0. Other. (If line 11g amount exceeds 10% of line 25, column (A), amount, list line 11g expenses on Schedule O.) 55,769. 273,611. 206,263. 11,579. 12 Advertising and promotion . . . . . 13 Office expenses 6,398. 3,262. 1,066. 2,070. . . . . . . . 14 Information technology . . . . . . 40,258. 20,130. 7,217. 12,911. 15 Occupancy . . . . . . . . . . . . 2,768. 1,384. 443. 941. 16 117,921. 117,921. 0. 17 0. Payments of travel or entertainment expenses 18 for any federal, state, or local public officials 19 Conferences, conventions, and meetings . 20 21 Payments to affiliates . . . . . . . 22 Depreciation, depletion, and amortization . 23 16,924. 8,462. 2,708. 5,754. Other expenses. Itemize expenses not covered 24 above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule O.) Other Expenses 830. 5,190. 2,595. 1,765. \_\_\_\_\_ C d All other expenses 25 **Total functional expenses.** Add lines 1 through 24e 2,578,323. 2,112,944. 162,573. 302,806. Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here 
if

| Р                           | art X    |  |                                 |     |                           |
|-----------------------------|----------|--|---------------------------------|-----|---------------------------|
|                             |          | Check if Schedule O contains a response or note to any line in this Pal  |                                 |     |                           |
|                             |          |  | <b>(A)</b><br>Beginning of year |     | <b>(B)</b><br>End of year |
|                             | 1        | Cash—non-interest-bearing  | 1,190,542.                      | 1   | 946,971.                  |
|                             | 2        | Savings and temporary cash investments   | 910,909.                        | 2   | 661,202.                  |
|                             | 3        | Pledges and grants receivable, net   | 267,469.                        | 3   | 709,909.                  |
|                             | 4        | Accounts receivable, net   |                                 | 4   |                           |
|                             | 5        | Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons      |                                 | 5   |                           |
|                             | 6        | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)  |                                 | 6   |                           |
| S                           | 7        | Notes and loans receivable, net  |                                 | 7   |                           |
| Assets                      | 8        | Inventories for sale or use  |                                 | 8   |                           |
| As                          | 9        | Prepaid expenses and deferred charges  | 9,821.                          | 9   | 8,071.                    |
|                             | 10a      | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D   10a  | 7,021.                          |     | 0,011.                    |
|                             | b        | Less: accumulated depreciation   |                                 | 10c |                           |
|                             | 11       | Investments—publicly traded securities   |                                 | 11  |                           |
|                             | 12       | Investments—other securities. See Part IV, line 11   |                                 | 12  |                           |
|                             | 13       | Investments—program-related. See Part IV, line 11  |                                 | 13  |                           |
|                             | 14       | Intangible assets  |                                 | 14  |                           |
|                             | 15       | Other assets. See Part IV, line 11   | 29,142.                         | 15  | 23,190.                   |
|                             | 16       | Total assets. Add lines 1 through 15 (must equal line 33)  | 2,407,883.                      | 16  | 2,349,343.                |
|                             | 17       | Accounts payable and accrued expenses  | 40,975.                         | 17  | 20,193.                   |
|                             | 18       | Grants payable   | 131,223.                        | 18  | 82,255.                   |
|                             | 19       | Deferred revenue   |                                 | 19  |                           |
|                             | 20       | Tax-exempt bond liabilities  |                                 | 20  |                           |
|                             | 21       | Escrow or custodial account liability. Complete Part IV of Schedule D .  |                                 | 21  |                           |
| Liabilities                 | 22       | Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons           |                                 |     |                           |
| jab                         |          | · · · · · · · · · · · · · · · · · · ·  |                                 | 22  |                           |
| _                           | 23       | Secured mortgages and notes payable to unrelated third parties   |                                 | 23  |                           |
|                             | 24<br>25 | Unsecured notes and loans payable to unrelated third parties Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X |                                 | 24  |                           |
|                             |          | of Schedule D  | 0.                              | 25  | 0.                        |
|                             | 26       | Total liabilities. Add lines 17 through 25   | 172,198.                        | 26  | 102,448.                  |
| Seou                        |          | Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33.  |                                 |     |                           |
| <u>alai</u>                 | 27       | Net assets without donor restrictions  | 487,761.                        | 27  | 920,218.                  |
| Ä                           | 28       | Net assets with donor restrictions   | 1,747,924.                      | 28  | 1,326,677.                |
| Net Assets or Fund Balances |          | Organizations that do not follow FASB ASC 958, check here and complete lines 29 through 33.  |                                 |     |                           |
| ō                           | 29       | Capital stock or trust principal, or current funds   |                                 | 29  |                           |
| ěţ                          | 30       | Paid-in or capital surplus, or land, building, or equipment fund   |                                 | 30  |                           |
| Ass                         | 31       | Retained earnings, endowment, accumulated income, or other funds .   |                                 | 31  |                           |
| et '                        | 32       | Total net assets or fund balances  | 2,235,685.                      | 32  | 2,246,895.                |
| <u>z</u>                    | 33       | Total liabilities and net assets/fund balances   | 2,407,883.                      | 33  | 2,349,343.                |
|                             |          |  |                                 |     | Form <b>990</b> (2022     |

Form 990 (2022) Page **12** 

| Part | XI Reconciliation of Net Assets  |      | -     |      |          |  |  |  |
|------|--|------|-------|------|----------|--|--|--|
|      | Check if Schedule O contains a response or note to any line in this Part XI  |      |       |      |          |  |  |  |
| 1    | Total revenue (must equal Part VIII, column (A), line 12)  | 2    | ,593  | 3,89 | 95.      |  |  |  |
| 2    | Total expenses (must equal Part IX, column (A), line 25)   | 2    | ,578  | 3,32 | 23.      |  |  |  |
| 3    | Revenue less expenses. Subtract line 2 from line 1   |      |       |      |          |  |  |  |
| 4    | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) 4  | 2    | , 235 | 5,68 | 85.      |  |  |  |
| 5    | Net unrealized gains (losses) on investments   |      | _ 4   | 1,30 | 62.      |  |  |  |
| 6    | Donated services and use of facilities   |      |       |      |          |  |  |  |
| 7    | Investment expenses  |      |       |      |          |  |  |  |
| 8    | Prior period adjustments   |      |       |      |          |  |  |  |
| 9    | Other changes in net assets or fund balances (explain on Schedule O)   |      |       |      |          |  |  |  |
| 10   | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line   |      |       |      |          |  |  |  |
|      | 32, column (B))  | 2    | ,246  | 5,89 | 95.      |  |  |  |
| Part | XII Financial Statements and Reporting   |      |       |      |          |  |  |  |
|      | Check if Schedule O contains a response or note to any line in this Part XII   |      |       |      |          |  |  |  |
|      |  | _    | Y     | 'es  | No       |  |  |  |
| 1    | Accounting method used to prepare the Form 990:  Cash Accrual Other  | _    |       |      |          |  |  |  |
|      | If the organization changed its method of accounting from a prior year or checked "Other," explain Schedule O.   | on   |       |      |          |  |  |  |
|      |  |      |       |      |          |  |  |  |
| 2a   |  |      | a l   |      | ×        |  |  |  |
|      | If "Yes," check a box below to indicate whether the financial statements for the year were compiled  | or   |       |      |          |  |  |  |
|      | reviewed on a separate basis, consolidated basis, or both:   |      |       |      |          |  |  |  |
|      | ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis   |      |       |      |          |  |  |  |
| b    | Were the organization's financial statements audited by an independent accountant?   |      | b     | ×    |          |  |  |  |
|      | If "Yes," check a box below to indicate whether the financial statements for the year were audited or separate basis, consolidated basis, or both:   | n a  |       |      |          |  |  |  |
|      |  |      |       |      |          |  |  |  |
| _    | Separate basis Consolidated basis Both consolidated and separate basis   | t of |       |      |          |  |  |  |
| С    | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversigh the audit, review, or compilation of its financial statements and selection of an independent accountant? |      |       | .    |          |  |  |  |
|      | If the organization changed either its oversight process or selection process during the tax year, explain   |      | C.    | ×    |          |  |  |  |
|      | Schedule O.  | OII  |       |      |          |  |  |  |
| 32   | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in  | the  |       |      |          |  |  |  |
| Ja   | Uniform Guidance, 2 C.F.R. Part 200, Subpart F?  |      | a     |      | ×        |  |  |  |
| b    | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo   |      | a     | +    | <u> </u> |  |  |  |
| D    | required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits  |      | ь     |      |          |  |  |  |
|      |  | . 1  |       | 200  |          |  |  |  |

REV 05/17/23 PRO Form **990** (2022)

#### Additional Information From Form 990: Return of Organization Exempt from Income Tax

# Form 990: Return of Organization Exempt from Income Tax Form 990, Page 2, Part III, Line 4c (continued)

**Continuation Statement** 

#### Description West Africa: We continued to work with our partners Agrecol Afrique in Senegal; Association Nourrir Sans Detruire in Burkina Faso; the Center for Indigenous Knowledge and Organizational Development in Ghana; and Sahel Eco in Mali. Our West Africa program focuses on supporting dryland farming households to transition from conventional agriculture to agroecological and climate-smart farming and food systems that allow them to mitigate and adapt to climate change; strengthen local management of biodiversity; and generate nature-based solutions. In 2022, across these four Sahelian countries, Groundswell-supported programs engaged 152,281 smallholder households through cascading, farmer-to-farmer training and contributed to regenerating 415,222 hectares of land. Last year, the West Africa programs benefitted 862,462 people. Latin America and the Caribbean - In 2022, we worked with partners Centro de Desarrollo Integral Campesino de la Mixteca in Mexico; EkoRural in Ecuador; Partenariat pour le Developpement Local in Haiti; Qachuu Aloom in Guatemala; and, Vecinos Honduras. In these five countries, in 2022, we supported 11,282 smallholder farmers to improve their farming, create sustainable rural livelihoods, and strengthen their communities' resilience. Groundswell-support programs in the region benefitted 90,560 people and helped regenerate 4,453 hectares of land. Additionally, last year Groundswell launched the Dry Corridor initiative in Honduras and Guatemala to strengthen smallholders' dryland farming systems to make them more resilient to climate change and to restore the agricultural landscape. Groundswell added two new partners through this initiative: Asociacion Comunitaria Flor del Cafe aldea El Durazno in Guatemala and Asociacion de Comites Ecologicos del Sur del Honduras. South Asia -- We worked with our partner Boudha Bahanupati Project - Pariwar in Nepal to create or strengthen 47 savings and credit groups with 1,180 members; train 794 smallholder farmers in agroecological farming practices to improve production and food security; and distribute goats and milking buffalos to 657 rural women, who will pass on the animals' offspring to other women in the future to spread benefits. In 2022, this program benefitted 6,397 people. Additionally, in October 2022, the Rural Women's Upliftment Association joined the Groundswell network. RWUA is a women-led NGO that works with women's groups in Haripur Municipality, Sarlahi, to improve their agricultural production and provide access to financial services through savings and credit groups. Finally, in 2022, we laid the groundwork for South Asia expansion with the NGO Preservation and Proliferation of Rural Resources and Nature in Bihar, India, which will join the Groundswell network in 2023.

## SCHEDULE A (Form 990)

Department of the Treasury Internal Revenue Service

#### **Public Charity Status and Public Support**

OMB No. 1545-0047

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

| Name of the organization  |   |   |                         |                                       | Employer identification                                 | number  |  |
|---|---|---|-------------------------|---------------------------------------|---|---|--|
| Groundswell International,  | Inc.                                    |   |                         |                                       | 27-1493841  |   |  |
| Part I Reason for Public Cha  | rity Status. (Al                        | l organizations mus   | t comple                | ete this p                            | oart.) See instruction                                  | ons.  |  |
| The organization is not a private found   | ation because it i                      | s: (For lines 1 through   | 12, ched                | ck only or                            | ne box.)  |   |  |
| 1 A church, convention of church  | ches, or associati                      | on of churches descr  | ibed in <b>se</b>       | ection 17                             | 0(b)(1)(A)(i).  |   |  |
| 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990).)   |   |   |                         |                                       |   |   |  |
| 3 A hospital or a cooperative hospital service organization described in <b>section 170(b)(1)(A)(iii)</b> .   |   |   |                         |                                       |   |   |  |
| 4 A medical research organization operated in conjunction with a hospital described in <b>section 170(b)(1)(A)(iii)</b> . Enter the hospital's name, city, and state:   |   |   |                         |                                       |   |   |  |
| An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) |   |   |                         |                                       |   |   |  |
| 7 X An organization that normally   |   |   |                         |                                       |   |   |  |
| 8 A community trust described   | in section 170(b)                       | )(1)(A)(vi). (Complete  | Part II.)               |                                       |   |   |  |
| 9 An agricultural research organ<br>or university or a non-land-gra<br>university:  |   |   |                         |                                       |   |   |  |
| 10 An organization that normally receipts from activities related support from gross investmen acquired by the organization a   | I to its exempt fu<br>It income and un  | nctions, subject to ce<br>related business taxa                                     | rtain exce<br>ble incom | eptions; a<br>ne (less se             | and (2) no more than<br>ection 511 tax) from            | 33 <sup>1</sup> / <sub>3</sub> % of its         |  |
| 11 An organization organized and  | •                                       | •   | , , ,                   | •                                     | ,   |   |  |
| 12 An organization organized and  | operated exclusi                        | ively for the benefit of,   | to perfor               | m the fun                             | ctions of, or to carry                                  | out the purposes of                             |  |
| one or more publicly supporte the box on lines 12a through 1  |   |   |                         |                                       |   |   |  |
| a Type I. A supporting organization supporting organization. Y  | n(s) the power to                       | regularly appoint or e  | lect a ma               | ijority of t                          |   |   |  |
| b Type II. A supporting orga<br>control or management of<br>organization(s). You must   | the supporting of                       | organization vested in  | the same                |                                       |   |   |  |
| c Type III functionally integrates supported organization   | <b>grated.</b> A suppor                 | ting organization oper  | rated in c              |                                       |   | ally integrated with,                           |  |
| d Type III non-functionally that is not functionally inte   | <b>integrated.</b> A sugrated. The orga | ipporting organization<br>inization generally mu                                    | operated<br>st satisfy  | d in conne<br>a distribu              | ection with its suppo<br>ution requirement an           | •         |  |
| requirement (see instruction  | ons). <b>You must c</b>                 | omplete Part IV, Sec  | ctions A a              | and D, ar                             | nd Part V.  |   |  |
| e   |   |   |                         |                                       |   | e II, Type III                                  |  |
| <b>f</b> Enter the number of supported  |   |   |                         |                                       |   |   |  |
| <b>g</b> Provide the following information  | n about the supp                        | orted organization(s).  |                         |                                       |   |   |  |
| (i) Name of supported organization  | (ii) EIN                                | (iii) Type of organization<br>(described on lines 1–10<br>above (see instructions)) | listed in you           | organization<br>ur governing<br>ment? | (v) Amount of monetary<br>support (see<br>instructions) | (vi) Amount of other support (see instructions) |  |
|   |   |   | Yes                     | No                                    |   |   |  |
| (A)   |   |   |                         |                                       |   |   |  |
| (B)   |   |   |                         |                                       |   |   |  |
| (C)   |   |   |                         |                                       |   |   |  |
| (D)   |   |   |                         |                                       |   |   |  |
| (E)   |   |   |                         |                                       |   |   |  |
|   |   |   |                         |                                       |   |   |  |

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) Part II (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (or fiscal year beginning in) (a) 2018 **(b)** 2019 (c) 2020 (d) 2021 **(e)** 2022 (f) Total Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . 2,301,013. 1,954,200. 3,371,276. 3,194,749. 2,578,603. 13,399,841. Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . The value of services or facilities furnished by a governmental unit to the organization without charge . . . . **Total.** Add lines 1 through 3 4 2,301,013. 1,954,200. 3,371,276. 3,194,749. 2,578,603. 13,399,841. 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . 5,843,133. **Public support.** Subtract line 5 from line 4 7,556,708. Section B. Total Support Calendar year (or fiscal year beginning in) (a) 2018 **(b)** 2019 (c) 2020 (d) 2021 (e) 2022 (f) Total 2,301,013. 1,954,200. 3,371,276. 3,194,749. 2,578,603. 13,399,841. 7 Amounts from line 4 . . . . . . Gross income from interest, dividends, 8 payments received on securities loans, rents, royalties, and income from similar sources . . . . . . . 317. 2,705. 292. 8,476. 260. 12,050. Net income from unrelated business 9 activities, whether or not the business is regularly carried on . . . . . . 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . . . . 537. 2,895. 0. 0. 3,432. **Total support.** Add lines 7 through 10 11 13,415,323. Gross receipts from related activities, etc. (see instructions) . . . . . . . . . . . . . . . . . . 12 57,930. 13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) Section C. Computation of Public Support Percentage Public support percentage for 2022 (line 6, column (f), divided by line 11, column (f)) . . . . . 56.33% 14 Public support percentage from 2021 Schedule A, Part II, line 14 . . . . . . . . . . . . . . . . . 15 15 331/3% support test - 2022. If the organization did not check the box on line 13, and line 14 is 331/3% or more, check this 331/3% support test - 2021. If the organization did not check a box on line 13 or 16a, and line 15 is 331/3% or more, check 17a 10%-facts-and-circumstances test - 2022. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported b 10%-facts-and-circumstances test - 2021. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported

18

#### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Secti | on A. Public Support   |                 |                 | , , ,            |          | ,               |                |
|-------|--|-----------------|-----------------|------------------|----------|-----------------|----------------|
| Calen | dar year (or fiscal year beginning in)   | (a) 2018        | <b>(b)</b> 2019 | (c) 2020         | (d) 2021 | (e) 2022        | (f) Total      |
| 1     | Gifts, grants, contributions, and membership fees  |                 |                 |                  |          |                 |                |
|       | received. (Do not include any "unusual grants.")   |                 |                 |                  |          |                 |                |
| 2     | Gross receipts from admissions, merchandise  |                 |                 |                  |          |                 |                |
|       | sold or services performed, or facilities furnished in any activity that is related to the                                       |                 |                 |                  |          |                 |                |
|       | organization's tax-exempt purpose  |                 |                 |                  |          |                 |                |
| 3     | Gross receipts from activities that are not an unrelated trade or business under section 513                                     |                 |                 |                  |          |                 |                |
| 4     | Tax revenues levied for the  |                 |                 |                  |          |                 |                |
| -     | organization's benefit and either paid to or expended on its behalf  |                 |                 |                  |          |                 |                |
| 5     | The value of services or facilities  |                 |                 |                  |          |                 |                |
|       | furnished by a governmental unit to the organization without charge  |                 |                 |                  |          |                 |                |
| 6     | Total. Add lines 1 through 5   |                 |                 |                  |          |                 |                |
| 7a    | Amounts included on lines 1, 2, and 3  |                 |                 |                  |          |                 |                |
|       | received from disqualified persons .   |                 |                 |                  |          |                 |                |
| b     | Amounts included on lines 2 and 3  |                 |                 |                  |          |                 |                |
|       | received from other than disqualified  |                 |                 |                  |          |                 |                |
|       | persons that exceed the greater of \$5,000   |                 |                 |                  |          |                 |                |
|       | or 1% of the amount on line 13 for the year  |                 |                 |                  |          |                 |                |
| С     | Add lines 7a and 7b  |                 |                 |                  |          |                 |                |
| 8     | Public support. (Subtract line 7c from   |                 |                 |                  |          |                 |                |
|       | line 6.)   |                 |                 |                  |          |                 |                |
|       | on B. Total Support  |                 | l               | T                | ı        | 1               |                |
|       | dar year (or fiscal year beginning in)   | <b>(a)</b> 2018 | <b>(b)</b> 2019 | (c) 2020         | (d) 2021 | <b>(e)</b> 2022 | (f) Total      |
| 9     | Amounts from line 6  |                 |                 |                  |          |                 |                |
| 10a   | Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources. |                 |                 |                  |          |                 |                |
| b     | Unrelated business taxable income (less  |                 |                 |                  |          |                 |                |
| b     | section 511 taxes) from businesses   |                 |                 |                  |          |                 |                |
|       | acquired after June 30, 1975   |                 |                 |                  |          |                 |                |
| С     | Add lines 10a and 10b  |                 |                 |                  |          |                 |                |
| 11    | Net income from unrelated business   |                 |                 |                  |          |                 |                |
|       | activities not included on line 10b, whether or not the business is regularly carried on   |                 |                 |                  |          |                 |                |
| 10    | <b>3</b>   |                 |                 |                  |          |                 |                |
| 12    | Other income. Do not include gain or loss from the sale of capital assets  |                 |                 |                  |          |                 |                |
|       | (Explain in Part VI.)  |                 |                 |                  |          |                 |                |
| 13    | <b>Total support.</b> (Add lines 9, 10c, 11, and 12.)  |                 |                 |                  |          |                 |                |
| 14    | First 5 years. If the Form 990 is for the  | •               |                 |                  | •        |                 | , , , ,        |
| Saat: | organization, check this box and stop he on C. Computation of Public Suppor  |                 |                 |                  |          |                 | · · · <u></u>  |
| 15    | Public support percentage for 2022 (line 8   |                 |                 | 13 column (f)    |          | 15              | %              |
| 16    | Public support percentage from 2021 Sch  |                 |                 |                  |          |                 | <del></del>    |
|       | on D. Computation of Investment In   | come Perce      | ntage           | <u></u>          | <u> </u> | 1.5             | /0             |
| 17    | Investment income percentage for 2022 (  |                 |                 | ov line 13. colu | ımn (f)) | 17              | %              |
| 18    | Investment income percentage from 2021   |                 |                 | -                |          |                 | <del>/</del> 6 |
| 19a   | 33 <sup>1</sup> / <sub>3</sub> % support tests—2022. If the organ  |                 |                 |                  |          |                 |                |
| . 54  | 17 is not more than 33 <sup>1</sup> / <sub>3</sub> %, check this box   |                 |                 |                  |          |                 |                |
| b     | 33 <sup>1</sup> / <sub>3</sub> % support tests—2021. If the organiz  | _               | _               | -                |          | =               | _              |
|       | line 18 is not more than 331/3%, check this l  |                 |                 |                  |          |                 |                |
| 20    | Private foundation. If the organization di   | _               | =               | =                | -        |                 | _              |

#### Part IV **Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

#### Se

| Secti | on A. All Supporting Organizations  |     |     |    |
|-------|---|-----|-----|----|
|       |   |     | Yes | No |
| 1     | Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.  | 1   |     |    |
| 2     | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).   | 2   |     |    |
| 3a    | Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.   | 3a  |     |    |
| b     | Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.   | 3b  |     |    |
| С     | Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.  | 3c  |     |    |
| 4a    | Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.  | 4a  |     |    |
| b     | Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.  | 4b  |     |    |
| С     | Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.   | 4c  |     |    |
| 5а    | Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document). | 5a  |     |    |
| b     | <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?  | 5b  |     |    |
| С     | <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?   | 5с  |     |    |
| 6     | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or  |     |     |    |
|       | benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.  | 6   |     |    |
| 7     | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with record to a substantial contributor.   |     |     |    |
| 8     | with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990).</i> Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line   | 7   |     |    |
| 0     | 7? If "Yes," complete Part I of Schedule L (Form 990).  | 8   |     |    |
| 9a    | Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .   | 9a  |     |    |
| b     | Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>  | 9b  |     |    |
| С     | Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .  | 9c  |     |    |
| 10a   | Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.   | 10a |     |    |
| h     | Did the organization have any excess business holdings in the tay year? (I se Schedule C. Form 1720, to   |     |     |    |

determine whether the organization had excess business holdings.)

| Part             | Supporting Organizations (continued)   |            |                      |     |
|------------------|--|------------|----------------------|-----|
|                  |  |            | Yes                  | No  |
| 11<br>a          | Has the organization accepted a gift or contribution from any of the following persons?  A person who directly or indirectly controls, either alone or together with persons described on lines 11b and  |            |                      |     |
| a                | 11c below, the governing body of a supported organization?   | 11a        |                      |     |
| b                | A family member of a person described on line 11a above?   | 11b        |                      |     |
|                  | A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to line 11a, 11b, or 11c,</i>  | 110        |                      |     |
|                  | provide detail in <b>Part VI</b> .   | 11c        |                      |     |
| Secti            | on B. Type I Supporting Organizations  |            |                      |     |
|                  |  |            | Yes                  | No  |
| 1                | Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. | 1          |                      |     |
| 2                | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.   | 2          |                      |     |
| Secti            | on C. Type II Supporting Organizations   |            |                      |     |
|                  |  |            | Yes                  | No  |
| 1                | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).  | 1          |                      |     |
| Secti            | on D. All Type III Supporting Organizations  |            |                      |     |
|                  |  |            | Yes                  | No  |
| 1                | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?   | 1          |                      |     |
| 2                | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).   | 2          |                      |     |
| 3                | By reason of the relationship described on line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.  | 3          |                      |     |
| Secti            | on E. Type III Functionally Integrated Supporting Organizations  |            |                      |     |
| 1                | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see   | instru     | ction                | s). |
| a<br>b<br>c<br>2 | <ul> <li>☐ The organization satisfied the Activities Test. Complete line 2 below.</li> <li>☐ The organization is the parent of each of its supported organizations. Complete line 3 below.</li> <li>☐ The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity Activities Test. Answer lines 2a and 2b below.</li> </ul>  | (see in    | struct<br><b>Yes</b> |     |
| а                | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported organizations and explain</b> how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.   | <b>2</b> a |                      |     |
| b                | Did the activities described on line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.  | 2b         |                      |     |
| 3<br>a           | Parent of Supported Organizations. <i>Answer lines 3a and 3b below.</i> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No," provide details in Part VI.</i>  | 3a         |                      |     |
| b                | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.   | 3b         |                      |     |

|      |  |        |                           | •                                   |
|------|--|--------|---------------------------|-------------------------------------|
| Part | V Type III Non-Functionally Integrated 509(a)(3) Supporting Org  | gani   | izations                  |                                     |
| 1    | ☐ Check here if the organization satisfied the Integral Part Test as a qualifying  | g tru  | st on Nov. 20, 1970 (expl | ain in <b>Part VI</b> ). <b>See</b> |
|      | instructions. All other Type III non-functionally integrated supporting organ  | nizat  | ions must complete Sect   | ions A through E.                   |
| Sect | ion A-Adjusted Net Income  |        | (A) Prior Year            | (B) Current Year (optional)         |
| 1    | Net short-term capital gain  | 1      |                           |                                     |
| 2    | Recoveries of prior-year distributions   | 2      |                           |                                     |
| 3    | Other gross income (see instructions)  | 3      |                           |                                     |
| 4    | Add lines 1 through 3.   | 4      |                           |                                     |
| _ 5  | Depreciation and depletion   | 5      |                           |                                     |
| 6    | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6      |                           |                                     |
| 7    | Other expenses (see instructions)  | 7      |                           |                                     |
| 8    | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)   | 8      |                           |                                     |
| Sect | ion B—Minimum Asset Amount   |        | (A) Prior Year            | (B) Current Year (optional)         |
| 1    | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):  |        |                           |                                     |
| а    | Average monthly value of securities  | 1a     |                           |                                     |
| b    | Average monthly cash balances  | 1b     |                           |                                     |
| С    | Fair market value of other non-exempt-use assets   | 1c     |                           |                                     |
| d    | Total (add lines 1a, 1b, and 1c)   | 1d     |                           |                                     |
| е    | Discount claimed for blockage or other factors (explain in detail in Part VI):   |        |                           |                                     |
| 2    | Acquisition indebtedness applicable to non-exempt-use assets   | 2      |                           |                                     |
| 3    | Subtract line 2 from line 1d.  | 3      |                           |                                     |
| 4    | Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).   | 4      |                           |                                     |
| 5    | Net value of non-exempt-use assets (subtract line 4 from line 3)   | 5      |                           |                                     |
| 6    | Multiply line 5 by 0.035.  | 6      |                           |                                     |
| 7    | Recoveries of prior-year distributions   | 7      |                           |                                     |
| 8    | Minimum Asset Amount (add line 7 to line 6)  | 8      |                           |                                     |
| Sect | ion C—Distributable Amount   | •      |                           | Current Year                        |
| 1    | Adjusted net income for prior year (from Section A, line 8, column A)  | 1      |                           |                                     |
| 2    | Enter 0.85 of line 1.  | 2      |                           |                                     |
| 3    | Minimum asset amount for prior year (from Section B, line 8, column A)   | 3      |                           |                                     |
| 4    | Enter greater of line 2 or line 3.   | 4      |                           |                                     |
| 5    | Income tax imposed in prior year   | 5      |                           |                                     |
| 6    | Distributable Amount. Subtract line 5 from line 4, unless subject to   |        |                           |                                     |
|      | emergency temporary reduction (see instructions).  | 6      |                           |                                     |
| 7    | Check here if the current year is the organization's first as a non-functional (see instructions)  | ally i | ntegrated Type III suppor | rting organization                  |

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) Part V Section D-Distributions **Current Year** Amounts paid to supported organizations to accomplish exempt purposes 1 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity 2 3 Administrative expenses paid to accomplish exempt purposes of supported organizations 4 Amounts paid to acquire exempt-use assets 4 Qualified set-aside amounts (prior IRS approval required - provide details in Part VI) 5 5 Other distributions (describe in Part VI). See instructions. 6 6 7 Total annual distributions. Add lines 1 through 6. 7 Distributions to attentive supported organizations to which the organization is responsive 8 (provide details in Part VI). See instructions. 8 Distributable amount for 2022 from Section C, line 6 9 9 10 10 Line 8 amount divided by line 9 amount (ii) (iii) Section E—Distribution Allocations (see instructions) **Underdistributions Distributable Excess Distributions** Pre-2022 Amount for 2022 Distributable amount for 2022 from Section C, line 6 2 Underdistributions, if any, for years prior to 2022 (reasonable cause required - explain in Part VI). See instructions. Excess distributions carryover, if any, to 2022 **a** From 2017 . . . . . From 2018 **c** From 2019 **d** From 2020 . . . . . From 2021 . . . . . Total of lines 3a through 3e Applied to underdistributions of prior years Applied to 2022 distributable amount Carryover from 2017 not applied (see instructions) j Remainder. Subtract lines 3g, 3h, and 3i from line 3f. Distributions for 2022 from 4 Section D, line 7: Applied to underdistributions of prior years Applied to 2022 distributable amount Remainder. Subtract lines 4a and 4b from line 4. Remaining underdistributions for years prior to 2022, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions. Remaining underdistributions for 2022. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions. Excess distributions carryover to 2023. Add lines 3j and 4c. Breakdown of line 7: Excess from 2018 . . . Excess from 2019 . . . Excess from 2020 . . . Excess from 2021 . . . Excess from 2022 . . .

Schedule A (Form 990) 2022 Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.) Pt II Ln 10: Other Income Part II, Line 10 Description: Other Income 2018: 537. 2019: 0. 2021: 2895. 2022: 0.

## Schedule B (Form 990)

Department of the Treasury

Name of the organization

Internal Revenue Service

Schedule of Contributors

Attach to Form 990 or Form 990-PF.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2022

**Employer identification number** 

Groundswell International, Inc. 27-1493841 Organization type (check one): Filers of: Section: Form 990 or 990-EZ × 501(c)( 3) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation ☐ 527 political organization Form 990-PF ☐ 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. **Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 331/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions 

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line

2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization
Groundswell International, Inc.

Employer identification number

27-1493841

| Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is | needed. |
|---|---------|
|---|---------|

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions           | (d)<br>Type of contribution   |
|------------|--|--------------------------------------|---|
| 1(a)       | Paul Milburn Charitable Gift Fund/ Oklahoma City Community Foundation, PO Box 1146 Oklahoma City OK 731011146  | \$125,000                            | Person X Payroll Complete Part II for noncash contributions.)                               |
| No.        | Name, address, and ZIP + 4   | Total contributions                  | Type of contribution  |
| 2          | AgroEcology Fund  1201 Conneticut Ave. NW Ste 300  Washington DC 20036   | \$150,000.                           | Person Payroll Noncash  (Complete Part II for noncash contributions.)                       |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions           | (d)<br>Type of contribution   |
| 3          | Hapke Family Fund P O Box 9509 Warwick RI 02889  | \$ 125,000.                          | Person X Payroll  |
|            |  |                                      |   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions           | (d)<br>Type of contribution   |
|            | ` '  |                                      |   |
| No.        | Name, address, and ZIP + 4  11th Hour Project/Schmidt Family Foundation  555 Bryant Street, #370   | Total contributions                  | Person Payroll Noncash (Complete Part II for  |
| No. 4      | Name, address, and ZIP + 4  11th Hour Project/Schmidt Family Foundation  555 Bryant Street, #370  Palo Alto CA 94301  (b)  | \$ 500,000.                          | Type of contribution  Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | Name, address, and ZIP + 4  11th Hour Project/Schmidt Family Foundation  555 Bryant Street, #370  Palo Alto CA 94301  (b)  Name, address, and ZIP + 4  Deutsche Gesellschaft fļr Internationale Zusammenarbeit (GIZ) GmbH  Friedrich-Ebert-Allee 32 + 36 | \$ 500,000.  (c) Total contributions | Type of contribution  Person  |

Name of organization
Groundswell International, Inc.

Employer identification number

27-1493841

| Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is n | needed. |
|---|---------|
|---|---------|

| (a)<br>No.       | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------------|---|----------------------------|---|
| 7                | McKnight Foundation 710 S Second St. Ste 400 Minneapolis MN 55401   | \$93,000.                  | Person X Payroll  |
| (a)<br>No.       | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 8                | Vista Hermosa Foundation  1111 Fishhook Park Rd.  Prescott WA 99348   | \$188,100.                 | Person X Payroll  |
| (a)<br>No.       | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 9                | Community Foundation of Southeast Michigan 333 W. Fort Street, Suite 2010 Detroit MI 48226                                  | \$150,000.                 | Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No.       | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)   |
|                  |   | lotal contributions        | Type of contribution  |
| 10               | WOKA Foundation  4041 Barcelona Place  Newbury Park CA 91320  | \$300,000.                 | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| 10<br>(a)<br>No. | WOKA Foundation 4041 Barcelona Place  |                            | Person X Payroll  |
| (a)              | WOKA Foundation  4041 Barcelona Place  Newbury Park CA 91320  (b)   | \$                         | Person X Payroll Complete Part II for noncash contributions.)         |
| (a)<br>No.       | WOKA Foundation  4041 Barcelona Place  Newbury Park CA 91320  (b)  Name, address, and ZIP + 4  European Union  19 Rue Borno | \$                         | Person  |

Schedule B (Form 990) (2022)

Name of organization Employer identification number
Groundswell International, Inc. 27-1493841

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|---------------------------|--|---|----------------------|
|                           |  | \$  |                      |
| (a) No.<br>from<br>Part I | (b)  Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |  | \$  |                      |
| (a) No.<br>from<br>Part I | (b)  Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |  | \$  |                      |
| (a) No.<br>from<br>Part I | (b)  Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |  | \$  |                      |
| (a) No.<br>from<br>Part I | (b)  Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |  | \$  |                      |
| (a) No.<br>from<br>Part I | (b)  Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |  | \$  |                      |

Schedule B (Form 990) (2022)

27-1493841 Groundswell International, Inc. Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) \$ Use duplicate copies of Part III if additional space is needed. (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held from Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (c) Use of gift (b) Purpose of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

Name of organization

**Employer identification number** 

## SCHEDULE D (Form 990)

#### **Supplemental Financial Statements**

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

Go to www.irs.gov/Form990 for instructions and the latest information.

| Name c | f the organization   |   | Employer identification number         |
|--------|--|---|--|
| Gro    | undswell International, Inc.   |   | 27-1493841                             |
| Par    |  |   | ls or Accounts.                        |
|        | Complete if the organization answered "  | Yes" on Form 990, Part IV, line 6.          |  |
|        |  | (a) Donor advised funds                     | (b) Funds and other accounts           |
| 1      | Total number at end of year  |   |  |
| 2      | Aggregate value of contributions to (during year) .  |   |  |
| 3      | Aggregate value of grants from (during year)   |   |  |
| 4      | Aggregate value at end of year   |   |  |
| 5      | Did the organization inform all donors and donor a   |   |  |
| •      | funds are the organization's property, subject to the  | =     |  |
| 6      | Did the organization inform all grantees, donors, are only for charitable purposes and not for the benefit             |   |  |
|        | conferring impermissible private benefit?  |   |  |
| Par    |  |   | · · · · · · · · · Yes L No             |
| Par    |  | Voo" on Form 000 Bort IV line 7             |  |
| _      | Complete if the organization answered "  Purpose(s) of conservation easements held by the organization answered "      |   |  |
| 1      | , , ,  |   | f a biotorically important land area   |
|        | Preservation of land for public use (for example, recreation of natural habitat  | · ·   | f a certified historic structure       |
|        | Preservation of open space   |   | r a certified historic structure       |
| 2      | Complete lines 2a through 2d if the organization hel   | d a qualified conservation contribution     | in the form of a conservation          |
|        | easement on the last day of the tax year.  |   | Held at the End of the Tax Year        |
| а      |  |   | _                                      |
| b      | Total acreage restricted by conservation easements   |   |  |
| c      | Number of conservation easements on a certified hi   |   |  |
| d      | Number of conservation easements included in (c) a   |   |  |
|        |  |   |  |
| 3      | Number of conservation easements modified, trans   | ferred, released, extinguished, or term     | ninated by the organization during the |
|        | tax year   |   |  |
| 4      | Number of states where property subject to conserv   |   |  |
| 5      | Does the organization have a written policy regard   |   |  |
|        | violations, and enforcement of the conservation eas  | ements it holds?                            | · · · · · Yes . No                     |
| 6      | Staff and volunteer hours devoted to monitoring, inspec  | ting, handling of violations, and enforcing | conservation easements during the year |
|        |  |   |  |
| 7      | Amount of expenses incurred in monitoring, inspecting  | g, handling of violations, and enforcing o  | conservation easements during the year |
| _      | <del></del>  |   |  |
| 8      | Does each conservation easement reported on line 2   |   |  |
| 9      | and section 170(h)(4)(B)(ii)?  |   |  |
| Э      | balance sheet, and include, if applicable, the text of   |   | •                                      |
|        | organization's accounting for conservation easemer   |   | inclai statements that describes the   |
| Part   | <u> </u>   |   | Other Similar Assets                   |
| rait   | Complete if the organization answered "  |   | ottlei oliillai Assets.                |
| 12     | If the organization elected, as permitted under FAS  |   | e statement and halance sheet works    |
| ıu     | of art, historical treasures, or other similar assets  |   |  |
|        | service, provide in Part XIII the text of the footnote to  |   |  |
| b      | If the organization elected, as permitted under FAS  | B ASC 958, to report in its revenue s       | tatement and balance sheet works of    |
| -      | art, historical treasures, or other similar assets held  |   |  |
|        | provide the following amounts relating to these item   |   |  |
|        | (i) Revenue included on Form 990, Part VIII. line 1  |   | \$                                     |
|        | <ul><li>(i) Revenue included on Form 990, Part VIII, line 1</li><li>(ii) Assets included in Form 990, Part X</li></ul> |   | \$                                     |
| 2      | (ii) Assets included in Form 990, Part X   | historical treasures, or other similar      | assets for financial gain, provide the |
|        | following amounts required to be reported under FA   | SB ASC 958 relating to these items:         | - · ·                                  |
| а      | Revenue included on Form 990, Part VIII, line 1 .  |   | \$                                     |
| b      | Revenue included on Form 990, Part VIII, line 1 . Assets included in Form 990, Part X                                  |   | \$                                     |

| Part   | Organizations Maintaining  | Collections of A          | Art, Histo    | rical T  | reasures,             | , or Ot  | her Similar Ass         | <b>ets</b> (cont | tinued)   |
|--------|--|---------------------------|---------------|----------|-----------------------|----------|-------------------------|------------------|-----------|
| 3      | Using the organization's acquisition, a collection items (check all that apply): | ccession, and oth         | her records   | s, checl | k any of the          | e follov | ving that make sig      | ınificant u      | se of its |
| а      | ☐ Public exhibition  |                           | d 🗌           | Loan     | or exchange           | e progr  | am                      |                  |           |
| b      | ☐ Scholarly research   |                           | e 🗆           | Other    |                       |          |                         |                  |           |
| С      | ☐ Preservation for future generations  |                           |               |          |                       |          |                         |                  |           |
| 4      | Provide a description of the organizati XIII.                                    | on's collections a        | and explair   | how th   | ney further           | the org  | ganization's exemp      | ot purpos        | e in Part |
| 5      | During the year, did the organization assets to be sold to raise funds rather    |                           |               |          |                       |          |                         | ☐ Yes            | ☐ No      |
| Part   | IV Escrow and Custodial Arra   | ngements.                 |               |          |                       |          |                         |                  |           |
|        | Complete if the organization 990, Part X, line 21.                               |                           |               |          |                       |          |                         |                  | orm       |
| 1a     | Is the organization an agent, trustee, included on Form 990, Part X?             |                           |               |          |                       |          |                         | ☐ Yes            | ☐ No      |
| b      | If "Yes," explain the arrangement in Pa  | rt XIII and comple        | ete the follo | wing ta  | ıble:                 |          | Am                      | ount             |           |
| С      | Beginning balance  |                           |               |          |                       | 10       | ;                       |                  |           |
| d      | Additions during the year  |                           |               |          |                       | 1d       | ı                       |                  |           |
| е      | Distributions during the year  |                           |               |          |                       | 1e       | ,                       |                  |           |
| f      | Ending balance   |                           |               |          |                       | 1f       |                         |                  |           |
| 2a     | Did the organization include an amoun  | t on Form 990, Pa         | art X, line 2 | 1, for e | scrow or cu           | ustodia  | l account liability?    | ☐ Yes            | ☐ No      |
| b      | If "Yes," explain the arrangement in Pa  | rt XIII. Check here       | e if the exp  | lanatior | has been              | provide  | ed on Part XIII .       |                  |           |
| Par    |  |                           |               |          |                       |          |                         |                  |           |
|        | Complete if the organization   | answered "Yes"            | on Form       | 990, F   | art IV, line          | e 10.    |                         |                  |           |
|        |  | (a) Current year          | (b) Prior     | year     | (c) Two year          | s back   | (d) Three years back    | (e) Four ye      | ars back  |
| 1a     | Beginning of year balance  | 29,142.                   | 26,           | 885.     | 25,                   | 350.     | 22,263.                 | 24               | 1,544.    |
| b      | Contributions  |                           |               |          |                       |          |                         |                  | 250.      |
| С      | Net investment earnings, gains, and  |                           |               |          |                       |          |                         |                  |           |
|        | losses   | -4,362.                   | 2,            | 407.     | 3,                    | 056.     | 4,595.                  | -1               | 1,034.    |
| d      | Grants or scholarships   | 1,290.                    |               |          |                       |          |                         |                  |           |
| е      | Other expenditures for facilities and  |                           |               |          |                       |          |                         |                  |           |
|        | programs   |                           |               |          | 1,                    | 187.     | 1,172.                  | 1                | 1,148.    |
| f      | Administrative expenses  | 300.                      |               | 150.     |                       | 334.     | 336.                    |                  | 349.      |
| g      | End of year balance  | 23,190.                   | 29,           | 142.     | 26,                   | 885.     | 25,350.                 | 22               | 2,263.    |
| 2      | Provide the estimated percentage of the  | ne current year en        | d balance     | (line 1g | , column (a           | )) held  | as:                     |                  |           |
| а      | Board designated or quasi-endowmen   | t 97.4 <b>9</b>           | <b>½</b>      |          |                       |          |                         |                  |           |
| b      | Permanent endowment 2.5  |                           |               |          |                       |          |                         |                  |           |
| С      | Term endowment %   |                           |               |          |                       |          |                         |                  |           |
|        | The percentages on lines 2a, 2b, and 2   | c should equal 10?        | 00%.          |          |                       |          |                         |                  |           |
| 3a     | Are there endowment funds not in the   | possession of the         | e organiza    | tion tha | t are held            | and ad   | ministered for the      |                  |           |
|        | organization by:   |                           |               |          |                       |          |                         | Y                | es No     |
|        | (i) Unrelated organizations  |                           |               |          |                       |          |                         | 3a(i)            | ×         |
|        | ( )  |                           |               |          |                       |          |                         | 3a(ii)           | ×         |
| b      | If "Yes" on line 3a(ii), are the related or                                      | •                         |               |          |                       |          |                         | 3b               |           |
| 4      | Describe in Part XIII the intended uses  |                           | n's endow     | ment fu  | ınds.                 |          |                         |                  |           |
| Part   |  |                           |               |          |                       |          |                         |                  |           |
|        | Complete if the organization   | answered "Yes"            | on Form       | 990, F   | art IV, line          | e 11a.   | See Form 990, F         | Part X, lin      | e 10.     |
|        | Description of property  | (a) Cost or oth (investme | ١,            | •        | r other basis<br>her) |          | Accumulated epreciation | (d) Book v       | alue      |
| 1a     | Land   |                           |               |          |                       |          |                         |                  |           |
| b      | Buildings  |                           |               |          |                       |          |                         |                  |           |
| С      | Leasehold improvements   |                           |               |          |                       |          |                         |                  |           |
| d      | Equipment  |                           |               |          |                       |          |                         |                  |           |
| е      | Other  |                           |               |          |                       |          |                         |                  |           |
| Total. | Add lines 1a through 1e. (Column (d) m   | ust equal Form 99         | 90, Part X,   | column   | (B), line 10          | c.) .    |                         |                  |           |

| (a) Description of security or category (including name of security) (1) Financial derivatives (2) Closely held equity interests (3) Other (3) Other (4) (1) (1) (1) (1) (1) (1) (1) (1) (1) (1  | Part VII      | Investments—Other Securities.  Complete if the organization answered "Yes" on For | m 990, Part IV, line | e 11b. See Form      | 990, Part X, line 12. |
|--|---------------|---|----------------------|----------------------|-----------------------|
|  |               | (a) Description of security or category   |                      | (c) Met              | hod of valuation:     |
| (3) Other  | (1) Financial | derivatives   |                      |                      |                       |
| (A) (B) (C) (C) (C) (C) (C) (D) (E) (D) (E) (D) (E) (D) (E) (D) (E) (E) (D) (E) (E) (D) (E) (E) (E) (E) (E) (E) (E) (E) (E) (E   | ` '           | • •   |                      |                      |                       |
| (A) (B) (C) (C) (C) (C) (C) (D) (E) (D) (E) (D) (E) (D) (E) (D) (E) (E) (D) (E) (E) (D) (E) (E) (E) (E) (E) (E) (E) (E) (E) (E   | (3) Other     |   |                      |                      |                       |
| Co   | (A)           |   |                      |                      |                       |
| (D)   (E)   (F)    |               |   |                      |                      |                       |
| (F)    |               |   |                      |                      |                       |
| (F)  |               |   |                      |                      |                       |
| (9)  |               |   |                      |                      |                       |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)   |               |   |                      |                      |                       |
|  |               |   |                      |                      |                       |
| Investments - Program Related.   Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13   |               | mn (b) must equal Form 990 Part X-col (B) line 12 )                               |                      |                      |                       |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13   (a) Description of investment   (b) Book value   Cost or end-of-year market value  |               |   |                      |                      |                       |
| (a) Description of investment (b) Book value (c) Method of valuation: Cost of end-of-year market value (f) (2) (3) (4) (5) (6) (7) (8) (9) (9) (9) (1) (1) (1) (1) (1) (1) (1) (1) (1) (1  | r are viii    | •   | m 990. Part IV. line | e 11c. See Form      | 990. Part X. line 13. |
| (1) (2) (3) (4) (5) (6) (7) (8) (9) (9) (10) Endorment at the Oklahoma City Community Foundation (23,19) (2) (3) (4) (4) (5) (6) (7) (8) (9) (7) (8) (9) (9) (10) Endorment at the Oklahoma City Community Foundation (9) Endorment (10) Endorment (10 |               | · · · · · · · · · · · · · · · · · · ·   |                      |                      |                       |
| 23   |               | (a) Decomption of investment  | (b) Book value       | ( - / -              |                       |
| 23   | (1)           |   |                      |                      |                       |
| (8)   (9)   (9)   (1)    |               |   |                      |                      |                       |
| [4] [5] [6] [7] [8] [9] Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) .  Part IX Other Assets.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15  (a) Description (b) Book value (1) Endowment at the Oklahoma City Community Foundation 23,19: (2) (3) (4) (5) (6) (7) (8) (9) Part X Other Liabilities.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| (6)   (7)   (8)   (9)   (9)   (10)    |               |   |                      |                      |                       |
| (6)   (7)   (8)   (9)   Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) .     Part IX   Other Assets.   Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15   (a) Description   (b) Book value   (1) Endowment at the Oklahoma City Community Foundation   23,19   (2)   (3)   (4)   (5)   (6)   (6)   (7)   (8)   (9)   (9)   (9)   (1   |               |   |                      |                      |                       |
| (8) (9) Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.)  Part IX Other Assets.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15  (a) Description (b) Book value  (1) Endowment at the Oklahoma City Community Foundation 23,19 (2) (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) 23,19  Part X Other Liabilities.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value  (1) Federal income taxes (2) None (3) (4) (5) (6) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.)   Part IX   Other Assets.   | (7)           |   |                      |                      |                       |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.)   Part IX   | (8)           |   |                      |                      |                       |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15  (a) Description (b) Book value  (1) Endowment at the Oklahoma City Community Foundation (2) (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  23, 19.  Part X Other Liabilities.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value  (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)   |               |   |                      |                      |                       |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15  (a) Description (b) Book value (f) Endowment at the Oklahoma City Community Foundation (2) (3) (4) (5) (6) (7) (8) (9)  Part X Other Liabilities.  Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9) (9) (9) (9) (9) (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9) (9) (9) (9) (9) (1) Foundation answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  |               |   |                      |                      |                       |
| (a) Description (b) Book value  (1) Endowment at the Oklahoma City Community Foundation 23,19  (2)  (3)  (4)  (5)  (6)  (7)  (8)  (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  | Part IX       |   | m 990. Part IV. line | e 11d. See Form      | 990. Part X. line 15. |
| (2) (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  |               | · •   | ,,                   |                      |                       |
| (2) (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  | (1) Endow     | ment at the Oklahoma City Community Foun  | dation               |                      | 23,190.               |
| (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  |               |   |                      |                      |                       |
| (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)   |               |   |                      |                      |                       |
| (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  |               |   |                      |                      |                       |
| (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  | (5)           |   |                      |                      |                       |
| (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  |               |   |                      |                      |                       |
| (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)  | (7)           |   |                      |                      |                       |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)         23,19           Part X         Other Liabilities.           Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.           1.         (a) Description of liability         (b) Book value           (1) Federal income taxes         (2) None         (3)           (4)         (5)         (6)           (7)         (8)         (9)           Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)   | (8)           |   |                      |                      |                       |
| Part X Other Liabilities. Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value  (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9) Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.  1. (a) Description of liability (b) Book value  (1) Federal income taxes  (2) None  (3)  (4)  (5)  (6)  (7)  (8)  (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)   |               |   |                      |                      | 23,190.               |
| 1. (a) Description of liability (b) Book value  (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  | Part X        | Complete if the organization answered "Yes" on For                                | m 990, Part IV, line | e 11e or 11f. See    | e Form 990, Part X,   |
| (1) Federal income taxes (2) None (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  | 1             |   |                      |                      | (b) Pook volus        |
| (2) None (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)   |               | ***   |                      |                      | (b) Book value        |
| (3) (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               | icome taxes   |                      |                      | 0                     |
| (4) (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      | 0 .                   |
| (5) (6) (7) (8) (9)  Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| (6) (7) (8) (9) <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| (7) (8) (9) <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| (8) (9) <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| (9) <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |               |   |                      |                      |                       |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)   |               |   |                      |                      |                       |
|  |               | mn (b) must equal Form 990. Part X. col. (B) line 25.)                            |                      |                      | 0.                    |
| 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the   |               |   |                      | 's financial stateme |                       |

| Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.  |  |                             |  |  |  |   |
|--|--|-----------------------------|--|--|--|---|
|  | Complete if the organization answered "Yes" on Form 990, F   | ⊃art I                      | V, line 12a.   |  |  |   |
| 1  | Total revenue, gains, and other support per audited financial statements   |                             |  |  | 1  | 2,589,233.  |
| 2  | Amounts included on line 1 but not on Form 990, Part VIII, line 12:  |                             |  |  |  |   |
| а  | Net unrealized gains (losses) on investments   | 2a                          | -4,  | 362.   |  |   |
| b  | Donated services and use of facilities   | 2b                          |  |  |  |   |
| С  | Recoveries of prior year grants  | 2c                          |  |  |  |   |
| d  | Other (Describe in Part XIII.)   | 2d                          |  |  |  |   |
| е  | Add lines 2a through 2d  |                             |  |  | 2e   | -4,362.   |
| 3  | Subtract line 2e from line 1   |                             |  | [  | 3  | 2,593,595.  |
| 4  | Amounts included on Form 990, Part VIII, line 12, but not on line 1:   |                             |  |  |  |   |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b   | 4a                          |  | 300.   |  |   |
| b  | Other (Describe in Part XIII.)   | 4b                          |  |  |  |   |
| С  | Add lines <b>4a</b> and <b>4b</b>  |                             |  |  | 4c   | 300.  |
| 5  | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line   |                             |  |  | 5  | 2,593,895.  |
| Part   |  |                             |  | es pe  | r Ret  | turn.   |
|  | Complete if the organization answered "Yes" on Form 990, F   | ⊃art I                      | V, line 12a.   |  |  |   |
| 1  | Total expenses and losses per audited financial statements   |                             |  |  | 1  | 2,578,023.  |
| 2  | Amounts included on line 1 but not on Form 990, Part IX, line 25:  |                             |  |  |  |   |
| а  | Donated services and use of facilities   | 2a                          |  |  |  |   |
| b  | Prior year adjustments   | 2b                          |  |  |  |   |
| С  | Other losses   | 2c                          |  |  |  |   |
| d  | Other (Describe in Part XIII.)   | 2d                          |  |  |  |   |
| е  | Add lines 2a through 2d  |                             |  |  | 2e   |   |
| 3  | Subtract line <b>2e</b> from line <b>1</b>   |                             |  | [  | 3  | 2,578,023.  |
| 4  | Amounts included on Form 990, Part IX, line 25, but not on line 1:   |                             |  |  |  |   |
| а  | Investment expenses not included on Form 990, Part VIII, line 7b   | 4a                          | ;  | 300.   |  |   |
| b  | Other (Describe in Part XIII.)   | 416                         |  |  |  |   |
|  |  | 4b                          |  |  |  |   |
|  | Add lines <b>4a</b> and <b>4b</b>  |                             |  |  | 4c   | 300.  |
|  | ·  |                             |  |  | 4c<br>5  | 300.<br>2,578,323.  |
| c<br>5<br>Part   | Add lines <b>4a</b> and <b>4b</b>  | <br>e 18.)                  |  |  | 5  | 2,578,323.  |
| 5 Part   | Add lines <b>4a</b> and <b>4b</b>  | <br>e <i>18.)</i><br>d 4; P | art IV, lines 1b a   |  | <b>5</b><br>; Part                                 | 2,578,323.<br>V, line 4; Part X, line   |
| 5 Part   | Add lines <b>4a</b> and <b>4b</b>  | <br>e <i>18.)</i><br>d 4; P | art IV, lines 1b a   |  | <b>5</b><br>; Part                                 | 2,578,323.<br>V, line 4; Part X, line   |
| 5 Part   | Add lines <b>4a</b> and <b>4b</b>  | <br>e <i>18.)</i><br>d 4; P | art IV, lines 1b a   |  | <b>5</b><br>; Part                                 | 2,578,323.<br>V, line 4; Part X, line   |
| <b>c</b><br>5<br>Part 2<br>Provide<br>2; Part  | Add lines 4a and 4b  | d 4; P                      | art IV, lines 1b a   |  | 5<br>; Part<br>forma                               | 2,578,323.<br>V, line 4; Part X, line tion.                                     |
| <b>c</b><br>5<br>Part 2<br>Provide<br>2; Part  | Add lines <b>4a</b> and <b>4b</b>  | d 4; P                      | art IV, lines 1b a   |  | 5<br>; Part<br>forma                               | 2,578,323.<br>V, line 4; Part X, line tion.                                     |
| c<br>5<br>Part 2<br>Provide<br>2; Part   | Add lines 4a and 4b  | 2 4; P<br>d 4; P<br>to pro  | art IV, lines 1b avide any addition  | nd 2b  | ; Part<br>forma                                    | 2,578,323.  V, line 4; Part X, line tion.                                       |
| c<br>5<br>Part 2<br>Provide<br>2; Part   | Add lines 4a and 4b  | 2 4; P<br>d 4; P<br>to pro  | art IV, lines 1b avide any addition  | nd 2b  | ; Part<br>forma                                    | 2,578,323.  V, line 4; Part X, line tion.                                       |
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| Provide 2; Part X  | Add lines 4a and 4b  Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line  Supplemental Information.  The the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part III.  Line 2: Groundswell is exempt from federal incomine Internal Revenue Code. Under the Code, however, related to an organization's tax-exempt purpose management.  | inc                         | art IV, lines 1b a  ovide any addition  axes under  come from come   | o ta   | ; Part<br>forma<br>c)(3<br>in a<br>xati            | 2,578,323.  V, line 4; Part X, line tion. ) .ctivities                          |
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| Provide 2; Part 2 Pt X  | Add lines 4a and 4b  Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, lines III)  Supplemental Information.  The descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part III.  Line 2: Groundswell is exempt from federal incomplete Internal Revenue Code. Under the Code, however, related to an organization's tax-exempt purpose managed the internal Revenue The organization had not related business income. The organization had not related business income. The organization had not related business Income Tax Return). The as appropriate support for all tax positions taken any uncertain tax positions that are material to   | incongan, an                | art IV, lines 1b a poide any addition axes under come from come from under the subject to the form anization bound as such, financial  | nnd 2b. conal inf  501( erta  o ta  rela  Form  celie  doe  stat | ; Part formac) (3 in a xati ted y900 ves s no emen | 2,578,323.  V, line 4; Part X, line tion.  )  ctivities  on  business  -T  that |
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| Provide 2; Part 2 Pt X  | Add lines 4a and 4b  Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, lines III)  Supplemental Information.  The descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part III.  Line 2: Groundswell is exempt from federal incomplete Internal Revenue Code. Under the Code, however, related to an organization's tax-exempt purpose managed the internal Revenue The organization had not related business income. The organization had not related business income. The organization had not related business Income Tax Return). The as appropriate support for all tax positions taken any uncertain tax positions that are material to   | incongan, an                | art IV, lines 1b a poide any addition axes under come from come from under the subject to the form anization bound as such, financial  | nnd 2b. conal inf  501( erta  o ta  rela  Form  celie  doe  stat | ; Part formac) (3 in a xati ted y900 ves s no emen | 2,578,323.  V, line 4; Part X, line tion.  )  ctivities  on  business  -T  that |
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| Schedule D (Fo | rm 990) 2022                         | Page \$ |
|----------------|--------------------------------------|---------|
| Part XIII      | Supplemental Information (continued) |         |
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#### **SCHEDULE F** (Form 990)

#### Statement of Activities Outside the United States

Complete if the organization answered "Yes" on Form 990. Part IV. line 14b. 15. or 16. Attach to Form 990.

Department of the Treasury Internal Revenue Service

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Name of the organization **Employer identification number** Groundswell International, Inc. 27-1493841 Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b. For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? × Yes □ No 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States. 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) (c) Number of (b) Number (d) Activities conducted in the (a) Region (e) If activity listed in (d) is (f) Total employees, of offices in expenditures for region (by type) (such as, a program service, agents, and the region fundraising, program services, describe specific type of and investments independent investments, grants to recipients service(s) in the region in the region contractors located in the region) in the region 0 978. (1) Central America Program Services Agricultural (2) Central America 0 3 Regional Grants Agricultural 575,289. 0 (3) South America 1 Program Services Agricultural 46,920. Agricultural (4) South America 0 2 Regional Grants 114,800. 0 (5) Sub-Saharan Africa 2 Program Services Agricultural 92,207. 0 (6) Sub-Saharan Africa 4 Regional Grants Agricultural 637,664. (7) South Asia 0 2 Program Services Agricultural 12,220. (8) South Asia 0 75,000. 1 Regional Grants Agricultural (9)(10)(11)(12)(13)(14)(15)(16)(17)Subtotal . . . . . 0 16 1,555,078. Total from continuation

16

sheets to Part I . . . . Totals (add lines 3a and 3b)

1,555,078.

10

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1    | (a) Name of organization | (b) IRS code<br>section and EIN<br>(if applicable) | (c) Region         | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) |
|------|--------------------------|--|--------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|--|
| (1)  |                          |  | Central America    | Haiti Program        | 362,289.                 | Wire                            |                                  |                                       |  |
| (2)  |                          |  | Central America    | Honduras Program     | 174,500.                 | Wire                            |                                  |                                       |  |
| (3)  |                          |  | Central America    | Mexico Program       | 38,500.                  | Wire                            |                                  |                                       |  |
| (4)  |                          |  | South America      | Ecuador Program      | 47,800.                  | Wire                            |                                  |                                       |  |
| (5)  |                          |  | South America      | Guatemala Program    | 67,000.                  | Wire                            |                                  |                                       |  |
| (6)  |                          |  | Sub-Saharan Africa | Burkina Faso Program | 196,454.                 | Wire                            |                                  |                                       |  |
| (7)  |                          |  | Sub-Saharan Africa | Ghana Program        | 197,000.                 | Wire                            |                                  |                                       |  |
| (8)  |                          |  | Sub-Saharan Africa | Mali Program         | 45,000.                  | Wire                            |                                  |                                       |  |
| (9)  |                          |  | Sub-Saharan Africa | Senegal Program      | 199,210.                 | Wire                            |                                  |                                       |  |
| (10) |                          |  | South Asia         | Nepal Program        | 75,000.                  | Wire                            |                                  |                                       |  |
| (11) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |
| (12) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |
| (13) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |
| (14) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |
| (15) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |
| (16) |                          |  |                    |                      |                          |                                 |                                  |                                       |  |

| 2 | Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as   | a ta | X           |
|---|---|------|-------------|
|   | exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . |      | <b>&gt;</b> |
| 3 | Enter total number of other organizations or entities   | . 1  | ▶           |

BAA REV 05/17/23 PRO Schedule F (Form 990) 2022

Schedule F (Form 990) 2022

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (e) Manner of cash disbursement | (f) Amount of noncash assistance | (g) Description of noncash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1)                             |            |                          |                                 |                                  |                                       |   |
| (2)                             |            |                          |                                 |                                  |                                       |   |
| (3)                             |            |                          |                                 |                                  |                                       |   |
| (4)                             |            |                          |                                 |                                  |                                       |   |
| (5)                             |            |                          |                                 |                                  |                                       |   |
| (6)                             |            |                          |                                 |                                  |                                       |   |
| (7)                             |            |                          |                                 |                                  |                                       |   |
| (8)                             |            |                          |                                 |                                  |                                       |   |
| (9)                             |            |                          |                                 |                                  |                                       |   |
| (10)                            |            |                          |                                 |                                  |                                       |   |
| (11)                            |            |                          |                                 |                                  |                                       |   |
| (12)                            |            |                          |                                 |                                  |                                       |   |
| _(13)                           |            |                          |                                 |                                  |                                       |   |
| _(14)                           |            |                          |                                 |                                  |                                       |   |
| (15)                            |            |                          |                                 |                                  |                                       |   |
| (16)                            |            |                          |                                 |                                  |                                       |   |
| _(17)                           |            |                          |                                 |                                  |                                       |   |
| (18)                            |            |                          |                                 |                                  |                                       |   |

#### Part IV Foreign Forms

| 1 | Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)  | ☐ Yes | ⊠ No |
|---|---|-------|------|
| 2 | Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) | ☐ Yes | ⊠ No |
| 3 | Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)  | ☐ Yes | ⊠ No |
| 4 | Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)   | ☐ Yes | ⊠ No |
| 5 | Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)  | ☐ Yes | ⊠ No |
| 6 | Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)  | ☐ Yes | ⊠ No |

#### Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

| Pt I Line 2: Procedures for monitoring the use of grant funds outside the U.S.          |
|---|
| Groundswell engages in extensive pre-grant due diligence of any organization            |
| to which it disburses money. For foreign organizations, Groundswell requires            |
| that the organization be a legally registered charitable non-profit organization        |
| within its country. The information required to be provided by potential grantees       |
| includes financials for the current and previous years, governing documents,            |
| details about the board of directors, and descriptions of programs and activities.      |
| These requirements are in addition to rather than in lieu of a project funding          |
| proposal.   |
| Once the decision is made to make a grant to a foreign organization, Groundswell        |
| requires a written cooperation agreement between the grantee and Groundswell,           |
| which outlines each organization's duties and responsibilities, including the grantee's |
| responsibility to request prior written approval from Groundswell before making         |
| substantial modifications to the project and/or budget.                                 |
| When the agreement is signed by the grantee, a transfer is made either from             |
| Groundswell headquarters or by direct transfer from the donor to a local bank           |
| account in the country where the grantee intends to implement activities outlined       |
| in its project proposal.  |
| During the grant period, Groundswell maintains regular and frequent contact             |
| with grantees, including through e-mail, phone and occasional field visits.             |
| Groundswell also requires regular progress and final narrative and financial            |
| reports. When reports are received, management and staff compare actual expenses        |
| to the approved budgeted expense, and, as necessary, seek additional explanation        |
| for any significant variations not already documented in the narrative report.          |
| Groundswell encourages all grantees to undertake annual audits of their overall         |
| operations, and Groundswell reserves the right to require an independent audit          |
| at its expense at any time during the project or program it is funding. This            |

# Part V **Supplemental Information** Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions. authority is set forth explicitly in the cooperation agreement entered into with grantee. each Finally, a Groundswell staff member conducts a site visit at least once during the term of all projects or programs undertaken with Groundswell funding.

BAA REV 05/17/23 PRO **Schedule F (Form 990) 2022** 

#### SCHEDULE O (Form 990)

#### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

Name of the organization

Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

**Employer identification number** 

27-1493841 Groundswell International, Inc. Pt VI, Line 11b: The 990 is prepared by independent accountants, reviewed by management, presented to the Board for review, proposed revisions and final approval. Pt VI, Line 12c: Annually conflict of interest statements are required to be signed by each Board member. The statements affirm that the policy has been read Pt VI, Line 15a: In the annual budgeting process, the Board approves a budget line for each salaried employee. Thereafter, individual salaries and salary increases for employees are determined by the Executive Director (the organization's title for the lead staff person). The Board of Directors sets the Executive Director's salary after a performance review & a check of comparable salary information for nonprofit organizations with similar budgets. Pt VI, Line 15b: Groundswell International carries out a salary review with external assistance and salary comparisons. Pt VI, Line 18: Forms 990 are available on the IRS website, GuideStar Exchange website and Groundswell's website. Form 1023 is available upon request. Pt VI, Line 19: The organization's financial statements & conflict of interest policy are available upon request. Its governing documents are available upon request. Pt IX, Line 11g: Description: Total Contract Services Total: \$431,942 Program services: \$277,710 Management and general: \$62,740 Fundraising: \$91,492 Description: Less Accounting Total: -\$134,268

| Schedule O (Form 990) 2022        | Page <b>2</b>                  |
|-----------------------------------|--------------------------------|
| Name of the organization          | Employer identification number |
| Groundswell International, Inc.   | 27-1493841                     |
| Program services: -\$67,134       |                                |
| Management and general: -\$33,567 |                                |
| Fundraising: -\$33,567            |                                |
| Description: Less IT Consultant   |                                |
| Total: -\$8,625                   |                                |
| Program services: -\$4,313        |                                |
| Management and general: -\$2,156  |                                |
| Fundraising: -\$2,156             |                                |
| Description: Less Auditing        |                                |
| Total: -\$9,790                   |                                |
| Program services: \$0             |                                |
| Management and general: -\$9,790  |                                |
| Fundraising: \$0                  |                                |
| Description: Less Legal           |                                |
| Total: -\$5,648                   |                                |
| Program services: \$0             |                                |
| Management and general: -\$5,648  |                                |
| Fundraising: \$0                  |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   |                                |
|                                   | ·                              |
|                                   |                                |

2022

Name Employer Identification No. Groundswell International, Inc. 27-1493841

| Description                          | (A)<br>Total | (B)<br>Program<br>services | (C)<br>Management<br>and general | (D)<br>Fundraising |
|--------------------------------------|--------------|----------------------------|----------------------------------|--------------------|
| Total Contract Services              | 431,942.     | 277,710.                   | 62,740.                          | 91,492.            |
| Less Accounting                      | -134,268.    | -67,134.                   | -33,567.                         | -33,567.           |
| Less IT Consultant                   | -8,625.      | -4,313.                    | -2,156.                          | -2,156.            |
|                                      |              |                            |                                  |                    |
|                                      |              |                            |                                  |                    |
| Less Auditing Less Legal             |              |                            | -9,790.<br>-5,648.               |                    |
|                                      |              |                            |                                  |                    |
|                                      |              |                            |                                  |                    |
|                                      |              |                            |                                  |                    |
| Total to Form 990, Part IX, line 11g | 273,611.     | 206,263.                   | 11,579.                          | 55,769.            |

Form **8879-TE** 

## IRS e-file Signature Authorization for a Tax Exempt Entity

|                | IOI G TGA EAG            | ompt Entity     |    |
|----------------|--------------------------|-----------------|----|
| ndar vear 2022 | or fiscal year beginning | 2022 and ending | 20 |

OMB No. 1545-0047

|   | For calendar year 2022, or fiscal ye   | ar beginning   | , 2022, and ending   | , 20  | 2022  |
|---|--|--|--|---|---|
| Department of the Treasury nternal Revenue Service  | Do not s   | end to the IRS. Keep fo<br>.gov/Form8879TE for th  | r your records.  |   |   |
| Name of filer   |  |  |  | EIN or SSN  |   |
|   | ernational, Inc.   |  |  | 27-1493841  |   |
| Name and title of officer or p  | person subject to tax  |  |  |   |   |
|   | Executive Director   |  |  |   |   |
| Part I Type of  | Return and Return Informa  | tion   |  |   |   |
| 3038-CP and Form 53:<br>3a, 4a, 5a, 6a, 7a, 8a,<br>3b, 4b, 5b, 6b, 7b, 8b,<br>applicable line below. I<br>1a Form 990 chec<br>2a Form 990-EZ of<br>3a Form 1120-POL | heck here b Total rev<br>check here b Total tax  | nts. For all other forms<br>t on that line for the ret<br>le, blank (do not enter  | , enter whole dollars<br>urn being filed with t<br>-0-). But, if you ente<br>, Part VIII, column (A<br>-EZ, line 9)  | s only. If you check<br>this form was blank<br>ered -0- on the retur<br>a), line 12)<br>                                | the box on line 1a, 2a, then leave line 1b, 2b,   |
| 5a Form 8868 che  | ck here $\square$ <b>b Balance</b>   | due (Form 8868, line 3   | c)   |   | 5b  |
| <b>6a Form 990-T</b> ch   | eck here 🗌 <b>b Total tax</b>  | (Form 990-T, Part III, li  | ne 4)  |   | 6b  |
| 7a Form 4720 che  | ck here 🗌 🛮 b Total tax  | (Form 4720, Part III, lin  | ne 1)  |   | 7b  |
|   |  | ssets at end of tax ye   |  |   | 8b  |
| 9a Form 5330 che  |  | (Form 5330, Part II, line  | ,  |   | 9b  |
| 10a Form 8038-CP  |  | of credit payment reque  |  |   | 10b   |
|   | tion and Signature Authoriz  |  |  |   |   |
|   | ury, I declare that 🛛 I am an off  |  |  |   |   |
| of entity)  |  |  |  |   |   |
|   | and accompanying schedules and<br>are that the amount in Part I abov   |  |  |   |   |
| the date of any refund. (direct debit) entry to the return, and the financial 1-888-353-4537 no late processing of the elect  | eceipt or reason for rejection of the If applicable, I authorize the U.S. the financial institution account and I institution to debit the entry to the than 2 business days prior to the ronic payment of taxes to receive ected a personal identification nual awal. | Treasury and its design<br>icated in the tax prepara<br>is account. To revoke a<br>re payment (settlement)<br>confidential information | ated Financial Agen<br>ation software for pa<br>a payment, I must co<br>date. I also authoriz<br>n necessary to answ | t to initiate an electrayment of the federa<br>ontact the U.S. Trea<br>te the financial instit<br>er inquiries and rese | ronic funds withdrawal<br>al taxes owed on this<br>sury Financial Agent at<br>autions involved in the<br>olve issues related to |
| PIN: check one box o  | nly  |  |  |   | 7   |
| ▼ I authorize COF   | RLISS & SOLOMON, PLLC<br>ERO firm name   |  | to enter my PIN  | 9 3 8 4 1  Enter five numbers, b  |   |
| agency(ies) regul   | 022 electronically filed return. If lating charities as part of the IRS e consent screen.  |  |  | ppy of the return is  | being filed with a state  |
| filed return. If I ha   | erson subject to tax with respective indicated within this return that ate program, I will enter my PIN o  | t a copy of the return is  | being filed with a s   |   |   |
| Signature of officer or perso   | n subject to taxSteve Bresu  | a  |  | Date  | <b>1203</b> 23  |
| Part III Certifica  | ation and Authentication   |  |  |   |   |
|   | r your six-digit electronic filing ide<br>I by your five-digit self-selected P   |  | 5 6 1 9 1 3<br>Do not ente   |   | ]   |
|   | numeric entry is my PIN, which i<br>urn in accordance with the requir<br>Returns.  |  |  |   |   |
| ERO's signature   |  |  | Date   | 09/08/2023  |   |
|   |  | letein This Farm   | Coolmaturette :  |   |   |
|   | EKU MUST H   | etain This Form -  | see instruction  | 5   |   |

Do Not Submit This Form to the IRS Unless Requested To Do So